

FEDERAL BUREAU OF INVESTIGATION  
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FOI/PA# 1354635-0

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S8A-NY-278417 Sub 302  
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(see Exhibit 1A51)  
(Received 1/17/02) 49

- 1 -

## FEDERAL BUREAU OF INVESTIGATION

Date of transcription 05/22/01

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)

1234  
S1212

[redacted] date of birth [redacted] Social Security  
number [redacted] home  
address [redacted] work phone  
[redacted] was interviewed at 950 Pennsylvania Avenue, NW,  
Room 2712, Washington, D.C. 20530. Present during the interview  
was [redacted] Assistant United States Attorney, Southern  
District of New York (SDNY). After being advised of the  
identities of the interviewing parties and the nature of the  
interview, [redacted] provided the following information:

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Investigation on [redacted] at Washington, D.C.

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File # 58A-NY-278417-Sub302

Date dictated

by SA [redacted] kjw

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58A-NY-278417 Sub 302

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(Received 1/17/02) 48

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## FEDERAL BUREAU OF INVESTIGATION

Date of transcription 05/15/01

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)

[redacted] date of birth [redacted] Social  
Security number [redacted]  
[redacted] home address [redacted]

[redacted] was interviewed at 950  
Pennsylvania Avenue, NW, Room 2712, Washington, D.C. Present  
during the interview was [redacted] Assistant United  
States Attorney, Southern District of New York (SDNY). After  
being advised of the identities of the interviewing parties and  
the nature of the interview, [redacted] provided the following  
information:

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5,6,7,8 b6  
9,10,11,12 b7C  
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Investigation on [redacted] at Washington, D.C.

File # 58A-NY-278417-Sub302

Date dictated

by SA [redacted] kjw

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FEDERAL BUREAU OF INVESTIGATION  
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## FEDERAL BUREAU OF INVESTIGATION

Date of transcription 05/18/01

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)

[redacted] date of birth [redacted] Social  
Security number [redacted]

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[redacted] home address [redacted]  
[redacted] work phone [redacted] was interviewed at

950 Pennsylvania Avenue, NW, Room #2712, Washington, D.C.  
Present during the interview was [redacted] Assistant  
United States Attorney, Southern District of New York (SDNY).  
After being advised of the identities of the interviewing parties  
and the nature of the interview, [redacted] provided the following  
information:

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Investigation on [redacted] at Washington, D.C.

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File # 58A-NY-278417-Sub302

Date dictated

by SA [redacted] kjw

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FEDERAL BUREAU OF INVESTIGATION  
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S8A-NY-278417 Sub 302

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(see Exhibit 1A57)  
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## FEDERAL BUREAU OF INVESTIGATION

Date of transcription 05/21/01

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)

[redacted] date of birth [redacted] Social  
Security number [redacted]  
[redacted] home address [redacted]  
[redacted] work phone [redacted] was interviewed at  
950 Pennsylvania Avenue, NW, Room 2712, Washington, D.C. Present  
during the interview was [redacted] Assistant United  
States Attorney, Southern District of New York (SDNY). After  
being advised of the identities of the interviewing parties and  
the nature of the interview, [redacted] provided the following  
information:

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Investigation on [redacted] at Washington, D.C.

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File # 58A-NY-278417-Sub302

Date dictated

by SA [redacted] kjw

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## FEDERAL BUREAU OF INVESTIGATION

1

Date of transcription 8/16/83

[redacted] was interviewed at the Office of Assistant United States Attorney (AUSA) [redacted]. At the outset of the interview [redacted] was advised that his attendance was strictly voluntary and that he was free to leave at any time. [redacted] was also advised that he was a possible target for prosecution and that before making any statements he had the right to consult with an attorney. [redacted] replied that he understood that his attendance was voluntary and he was not compelled to make any statement. [redacted] added that he did not wish to speak with an attorney and that as far as he was concerned his conscious was clear and that he had not knowingly broken any Federal Laws. [redacted] then provided the following information:

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Investigation on 8/10/83 at Washington, D.C. File # WFO 159A-493  
by SA's [redacted] and [redacted]  
by [redacted] JS RPH:arc Date dictated 8/14/83

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## FEDERAL BUREAU OF INVESTIGATION

1

Date of transcription 11/22/83

Mr. DAVID MARGOLIS, Chief, Organized Crime and Racketeering Section, United States Department of Justice (DOJ), Washington, D. C. (WDC), telephonically contacted Special Agent (SA) [ ] and advised as follows:

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MARGOLIS advised that in thinking back on the previous interview of October 19, 1983, he recalled that on January 13, 1982, which was the day of the Air Florida airplane crash, he had plans to attend a going-away party for [ ] who was council for the Senate Permanent Subcommittee on Investigations on Capitol Hill. In going over his appointment catalog, he noticed a telephone call to [ ] and after thinking about this, he remembered that [ ] was the individual working with [ ] whom he telephoned in order to cancel his plans to attend the reception. MARGOLIS advised that [ ] was leaving to go into private practice and a large group of people were expected to attend.

MARGOLIS advised that it was well known that he planned on attending this reception and he believed that invitations were sent out regarding it. Because of the blizzard and traffic problems on that day, he was forced to cancel, but according to his recollection, the party did go ahead as scheduled. MARGOLIS did not know whether or not a guest list was maintained, but advised that this was being arranged by FBI Agent [ ] who was on detail to the committee. MARGOLIS suggested the possibility of attempting to obtain a guest list or possibly a list of people invited in order to determine whether or not [ ] or anyone associated with him, might have been in attendance.

Investigation on 10/24/83 at Washington, D. C. File # WFO 163A-873-149  
by SA [ ] kfo Date dictated 10/27/83

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## FEDERAL BUREAU OF INVESTIGATION

1Date of transcription 11/22/83

Mr. DAVID MARGOLIS, Chief, Organized Crime and Racketeering Section, United States Department of Justice (DOJ), Washington, D. C. (WDC), telephonically contacted Special Agent (SA) [ ] and advised as follows:

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MARGOLIS advised that after being interviewed by the Federal Bureau of Investigation (FBI) on the previous day (October 19, 1983) he contacted Assistant Attorney General, [ ] and advised him that he had been interviewed. In discussing this with [ ] advised MARGOLIS that it was his recollection that during the April, 1981, visit by MARGOLIS to BROWN UNIVERSITY, the trip was covered by the news media. [ ] daughter was a student at BROWN UNIVERSITY during this time period and [ ] recalled receiving a copy of a news article which she cut out and sent to him concerning MARGOLIS' visit. He could not recall whether the article was published by one of the local newspapers or by the BROWN UNIVERSITY student newspaper. MARGOLIS advised that he wanted to bring this information to the attention of the FBI as a possible means of [ ] obtaining his name.

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Investigation on 10/20/83 at Washington, D. C. File # WFO 183A-873-150  
by SA [ ] kfo Date dictated 10/27/83

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## FEDERAL BUREAU OF INVESTIGATION

Date of transcription 11/30/83

Mr DAVID MARGOLIS, Chief, Organized Crime and Racketeering Section, Criminal Division, United States Department of Justice (DOJ), was interviewed at his office which is located in the Main Justice Building, Washington, D.C. (WDC). Having been apprised of the identities of the interviewing agents, MARGOLIS was advised that the interview concerned allegations that someone within DOJ had attempted to block or impede an investigation of several officers and associates of the Laborers International Union of North America (LIUNA). MARGOLIS was advised that this investigation began in approximately 1980 and was initiated in Miami, Florida, and Boston, Massachusetts. MARGOLIS was further advised that, according to information provided to the Federal Bureau of Investigation (FBI), his name had been provided as a DOJ official who had possibly participated in blocking this investigation. It was further explained to MARGOLIS that it was for this reason that he was being interviewed. MARGOLIS consented to the interview and provided the following information:

MARGOLIS was shown a photograph of [ ] and after viewing it he advised that this individual was not familiar to him. He further advised that the name [ ] was not familiar to him, and to the best of his knowledge he has never met [ ] MARGOLIS advised that he was not familiar with the Vericat Corporation and did not associate this company with [ ]

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It was explained to MARGOLIS that [ ] attended Brown University from approximately 1959 through 1963, and he expressed surprise stating that he (MARGOLIS) had graduated from Brown University in 1961. At this point in the interview MARGOLIS checked his 1971 Brown University Catalog of Alumni, and was able to locate [ ] name who, according to this catalog, graduated in 1963. This catalog gave a brief description and background on [ ] and after reviewing it, MARGOLIS advised that he was still unable to recall ever meeting [ ] or having any contact with him. Because they did attend Brown University during the same time period, MARGOLIS advised that he could not swear that he has never been introduced to [ ] however, he had no recollection of such an introduction.

MARGOLIS' attention was directed to the dates of January 13, 1982, and March 17, 1982, and he was asked whether or not he recalled meeting with, or talking to, [ ] or any one who might have been [ ]

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Investigation on 10/19/83 at Washington, D. C. File # WFO 183A-873-151by SA [ ] AND [ ] kfo Date dictated 10/24/83b6  
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associated with him. MARGOLIS advised that he did not do so. In order to verify this he located his 1982 appointment calendar, and reviewed it for the dates in question. According to his appointment calendar, MARGOLIS was in his office during the morning hours of January 13, 1982, and was scheduled to attend a going-away party on Capitol Hill in the afternoon. MARGOLIS recalled that a party was being given for [redacted] [redacted] Counsel to the Permanent Subcommittee on Investigations for the United States Senate. MARGOLIS recalled that January 13, 1982, was a day on which a severe snow storm hit the WDC area, and was also the day of the Air Florida Airline Crash. Because of the weather, MARGOLIS was forced to cancel his plans to attend [redacted] going-away party, and he left his office early in an effort to go home.

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MARGOLIS advised that it was his recollection that he left with his car pool at approximately 3:00 p.m. on this date. After being held up by a massive traffic jam they were forced to return to the DOJ building where they remained until approximately 8:00 p.m. MARGOLIS advised that members of his car pool, who were with him on that day, were [redacted] from his section, [redacted] from the Public Integrity Section, and he believed [redacted] (phonetic) from the Office of Professional Responsibility. He further advised that after returning to the DOJ building, all of these individuals went to MARGOLIS' office where they remained until approximately 3:00 p.m. At that time they were able to depart for their residences. According to his records and recollection, MARGOLIS remained home on the following day as Administrative Leave was given to most government employees. In reviewing the records for this time period, MARGOLIS was unable to locate anything which was unusual or appeared to be abnormal. There was nothing which would indicate that Margolis was scheduled to meet with anyone outside the DOJ, with the exception of [redacted] going-away party, and there were no indications that anyone tried to contact him.

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In reviewing the records of March 17, 1982, MARGOLIS advised that this date also appeared to be a normal business day. There was nothing which would indicate meetings or contacts with MARGOLIS on the part of anyone outside the normal course of business. MARGOLIS had no specific recollection of his activities on March 17, 1982, but advised he was certain that he had no contact with [redacted] or anyone associated with, or representing [redacted]

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MARGOLIS advised that he was familiar with a prosecutive memo (Pros Memo) submitted by [redacted] in March of 1980, which requested authorization to indict several individuals associated with or employed by the LIUNA. MARGOLIS advised, however, that he did not get involved in this matter until after a second memo was written by [redacted] in approximately December, 1980. Until that time it was MARGOLIS' recollection that his deputies, [redacted] and [redacted] were handling this matter. Because the first memo was not up to usual standards, and lacked the necessary information, [redacted] had been ordered to rewrite his proposal. It was as a result of this that MARGOLIS became involved. A difference of opinion occurred between what [redacted] felt was necessary for approval, and what [redacted] felt was necessary for approval, and MARGOLIS became involved in the dispute. MARGOLIS advised that he does not normally become involved in matters such as these, and it was very unusual for him to participate in this type of thing. MARGOLIS advised that he usually becomes involved in matters of national importance such as the "ABSCAM" investigation, or matters involving political figures such as congressmen. MARGOLIS did not consider the LIUNA investigation such that it warranted his personal attention, and he felt that it could be adequately handled by his deputies.

MARGOLIS advised that at no time during the LIUNA investigation was he approached by anyone, and asked to influence any portion of the investigation, or subsequent indictment, for political consideration or any other reason. MARGOLIS advised that he was not approached by anyone from within DOJ, or anywhere else, concerning such a request, and he had no information, whatsoever, which would indicate that any attempt was made to delay this investigation in any fashion. MARGOLIS advised that he could make this statement without hesitation to include anyone at DOJ to include the Attorney General who was BENJAMIN CIVILETTI at that time.

MARGOLIS was advised that his name had surfaced as an individual within DOJ whom [redacted] was using in order to obtain information. He was further advised that this information had been provided to the FBI by an informant, and he was asked to speculate why his name would have been provided. MARGOLIS advised that the information was not true. He speculated that someone might have been using his name in order to influence other individuals, and in order to give appearances that they could produce information. MARGOLIS referred to this tactic as "rain making," and advised that this has happened to him in the past. MARGOLIS could offer no other explanation, and advised that he did not associate [redacted] or anyone else who might be connected to [redacted] as being in a position to use his name in this fashion.

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MARGOLIS was asked to review the history of [ ] prosecutive memo as he recalled it. He advised that, as previously stated, he did not really become involved until the second prosecutive memo was submitted in December, 1980. It was MARGOLIS' recollection that a "document purporting to be a pros memo" was submitted by [ ] in March, 1980, while he was still with the Miami Strike Force. [ ] was in charge of the Miami Strike Force, and was initially responsible for reviewing this prosecutive memo. At some point it was turned over to [ ] MARGOLIS advised that [ ] was appointed as Chief of Strike Force 18 in WDC sometime after submitting the first memo, and because Strike Force 18 is handled by [ ] the memo was transferred to him. MARGOLIS recalled that [ ] had been involved in a trial in Atlanta, Georgia, in 1980, and returned to WDC in approximately June or July. It was after returning to WDC that [ ] became involved in the review of [ ] first memo.

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MARGOLIS advised that [ ] became Chief of Strike Force 18 in approximately June, 1980, after applying for the position. Generally, Strike Force Chiefs are selected by MARGOLIS, but in this case the decision was made by Deputy Assistant Attorney General [ ] MARGOLIS explained that [ ] was in the process of rejuvenating Strike Force 18. [ ] wanted to use Strike Force 18 as a National Strike Force which would handle cases throughout the country. [ ] was [ ] choice and MARGOLIS did not object to him.

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MARGOLIS went onto advise that he did not know [ ] very well at this time, and his choice was [ ] who was being promoted by DOJ Public Integrity Chief [ ] [ ] had a good reputation, and because [ ] wanted him MARGOLIS did not raise any objections.

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In December, 1980, MARGOLIS became aware that [ ] was dissatisfied with the way the prosecutive memo was being handled. MARGOLIS was not aware of previous discussions between [ ] concerning the memo, and he was not made aware of the quality of the first memo until that time. MARGOLIS believed that he received a copy of the second memo approximately two weeks after it had been written and after reviewing it he was of the opinion that it was still not up to standard. The memo did not specify how [ ] intended to prove the allegations as stated. In short, MARGOLIS advised that the memo did not state what proof, or evidence, [ ] had which could be introduced at the time the matter was tried in court.

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MARGOLIS advised that after reviewing this memo he had several long discussions with [ ] and [ ] special Counsel within the Organized Crime and Racketeering Section. These individuals were all in agreement that the second prosecutive memo submitted by [ ] was not a complete product and they were against approving it. In addition to these discussions, there were several discussions on the same subject matter with [ ] and other prosecutors assigned to assist him. During these discussions MARGOLIS made [ ] aware of the problems he felt needed correction, and [ ] tried to argue his point that the prosecutive memo was complete and should be authorized.

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MARGOLIS recalled that one of these meetings was attended by [ ] who is considered the "RICO expert" in the Organized Crime and Racketeering Section. [ ] reviewed the case and was of the opinion that a viable RICO theory existed. During [ ] review he was not concerned with the evidence, and was lead to assume that [ ] could prove what he he was alleging. [ ] was consulted for his assistance in determining whether or not the theory of the case was valid, and could be prosecuted under the RICO statute. [ ] determined that it could be, but he had no input and was not consulted regarding the evidence, or whether or not [ ] could prove his case.

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MARGOLIS advised that this was the thrust of the whole problem. Everyone was in agreement that there was a good RICO violation, but the problem they were faced with was that of trying to get [ ] to list the evidence in some type of written format in order to evaluate it.

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It was shortly after this time that both [ ] and MARGOLIS began writing memos, as the discussions did not appear to be resolving anything. MARGOLIS advised that on February 20, 1961, he wrote a memo to [ ] suggesting that he tailor the proposed indictment to focus on the strongest portions of the case. MARGOLIS went on to state his reasons for making this proposal, and suggested that [ ] prepare a memo outlining the strengths and weaknesses of this type of prosecution. MARGOLIS also suggested that [ ] prepare a draft indictment; MARGOLIS pointed out that until this time he had yet to see a draft indictment, and it was his understanding that one had not been prepared.

MARGOLIS advised that also on February 20, 1981, a memo was prepared by [ ] urging that a decision in the proposed LIUNA prosecution be reached as soon as possible. [ ] advised that although he had no proof, it was his opinion that the [ ] memo was prepared in response to the memo written by MARGOLIS on the same day. MARGOLIS advised that his memo was hand-delivered to [ ] office by [ ] several hours before the [ ] memo was received by [ ]. It was MARGOLIS' belief that [ ] had read his memo and then prepared a response for it. MARGOLIS advised that this was his opinion, and he stated that the memo prepared by him was not in response to [ ] memo.

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MARGOLIS advised that on February 23, 1981, [ ] prepared a memo to him addressing the February 20, 1981, memo in which MARGOLIS suggested limiting the prosecution. In this memo [ ] set out his reasons for authorizing the prosecution as originally proposed and argued that the indictment should include all defendants as set out in his original March, 1980 prosecutive memo.

MARGOLIS did not agree with [ ] argument, as set forth in this memo, and he instructed [ ] to prepare a memo in response to [ ] February 23, 1981, memo. At this point in the interview MARGOLIS provided a copy of a routing and transmittal slip to [ ] advising him to respond to [ ] memo. Under the remarks section of this slip, MARGOLIS advised that [ ] memo was inaccurate and contained omissions of material facts. MARGOLIS went on to instruct [ ] to prepare a memo in response, and in doing so he advised [ ] that "it should be polite, but firm and should point out that his written product and the problems inherent in his cavalier approach caused us to spend innumerable hours on this. Further, that his so-called starting date of a year ago is false. A pros memo is not submitted until it is at least semi literate." MARGOLIS went on to advise [ ] that if he felt it was appropriate, he could prepare this memo, over MARGOLIS' signature and leave off [ ] initials. MARGOLIS went on to advise that he assumed that the memo had been prepared by [ ] and at a later date, he learned that [ ] had not prepared the memo as requested. After questioning him, MARGOLIS determined that [ ] had chosen not to respond, and had let the matter drop out of friendship to [ ] felt that by responding in such a matter it would only create more friction, and he chose to let the matter drop. MARGOLIS advised that he learned that [ ] had not prepared the response after reviewing the file in preparation for a meeting requested by [ ] in early April, 1981. [ ] was the United States Attorney for the Southern District of Florida, and he had requested a meeting by letter to Associate Attorney General. RUDOLPH W. GUILIANI.

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MARGOLIS advised that by this time there was a considerable amount of hostility between [ ] and himself, and [ ] was angry with the results of the earlier reviews. [ ] resented being asked the questions which were raised, but in MARGOLIS' opinion the questions were fair and to the point. They needed to be addressed. As stated previously, the main problem was the lack of evidence, or [ ] refusal to include it in his memos. [ ] also took the position that certain people should be prosecuted, which in the opinion of MARGOLIS and his subordinates, was not warranted.

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The main problem surrounding this was a concern on MARGOLIS' part that [ ] would be prosecuting individuals who had previously been immunized, and individuals who were already serving jail sentences for similar offenses. There was also a considerable amount of concern on MARGOLIS' part that the main government witness, [ ] was not credible. MARGOLIS was aware that prosecutors from other jurisdictions, who had used [ ] as a witness, were not pleased with his ability as a witness for the government. MARGOLIS advised that in New Orleans and Los Angeles [ ] testimony was useful in the prosecution of [ ] but in those cases tape recordings were used to corroborate [ ] testimony. These cases were successful, however, in Texas the prosecution of officials there was not successful. This was partly due to the fact that [ ] could not be corroborated. There was concern in the Florida LIUNA case that [ ] could not be corroborated.

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MARGOLIS advised that even with these problems, it was the opinion of the Organized Crime and Racketeering Section that the case should be indicted, and this was never a question. The only serious question was who should be indicted, and it was his opinion that the number of individuals to be indicted should be scaled down considerably. MARGOLIS advised that even with all of the problems previously stated it was his opinion that it was still a salvageable case. However, in order to indict all of the defendants, which [ ] was seeking, it would have been necessary to obtain additional evidence. MARGOLIS advised that if he had felt at any time that the case was not indictable, he would have told [ ] so, and that would have been the end of it. MARGOLIS advised that the discussions, and subsequent memos, were not exercises to try and grind [ ] down or discourage him. MARGOLIS advised that had he wanted to do something of this nature, he would have simply ordered [ ] off the case, and closed it.

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MARGOLIS advised that [ ] became involved in this investigation during the early part of 1982, however, MARGOLIS had no communication or contact with [ ] until he received a letter dated April 3, 1981, from [ ] to GUILIANI. This letter requested a conference to appeal MARGOLIS' decision to decline prosecution on some of the defendants in South Florida. MARGOLIS did not know how [ ] stood on this matter until this time, but he was sure that [ ] was supportive of [ ] and his attempts to indict the individuals who were originally set out in the March, 1980, prosecutive memo. [ ] letter was written as a result of a March 5, 1982, memo from MARGOLIS to [ ] which basically stated that MARGOLIS was standing firm on his position as previously stated in the February 20, 1982, memo.

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As a result of [ ] letter, two conferences were arranged in WDC which were attended by MARGOLIS. The first conference was with ASSISTANT ATTORNEY GENERAL [ ] and after discussing matters with [ ] they proceeded to GUILIANI'S office where a second conference was held. Besides himself, [ ] and [ ] there were a few other DOJ officials in attendance, but MARGOLIS had no specific recollection of who was actually there. In the first conference with [ ] [ ] made a presentation stating his reasons for supporting [ ] and the need to indict all individuals under investigation in South Florida. MARGOLIS presented his views to [ ] and argued basically the same as he had throughout this investigation. MARGOLIS told [ ] that he had doubts as to whether or not convictions could be obtained for all of these individuals.

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At the conclusion of the conference, [ ] made a decision that the indictment should include all of the individuals set forth in the original prosecutive memo, with the exception of four or five individuals. As MARGOLIS recalled, the individuals who [ ] declined on were [ ]

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[ ] was in agreement with MARGOLIS that the government lacked corroboration for the witnesses against these individuals. [ ] reasoning behind reinstating the remaining defendants was that they were not the main thrust of the case, and the government really had nothing to loose by indicting them. He felt there was enough evidence to go ahead and include them in the indictment, however, if a problem came up later concerning them, it would not influence the main thrust of the case.

After concluding the conference with [ ] the group went into GUILIANI'S office, and [ ] began to make his presentation to GUILIANI. At this time MARGOLIS interrupted [ ] and told GUILIANI that it was not necessary to go through [ ] presentation as [ ] had already made a decision. MARGOLIS briefed GUILIANI on the previous decision, and brought him up to date on the present status of the investigation. After listening to this, GUILIANI questioned why the other five individuals were not also being included, and he advised that it was his opinion that they should also be indicted. GUILIANI overruled the previous decision by [ ] and he made a decision that all of the defendants should be included. GUILIANI'S reasoning was based upon his opinion that there was sufficient evidence against all of the defendants, rather than just a portion of them. He took an all or none position.

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MARGOLIS advised that he was not upset with the decisions made by [ ] or GUILIANI, and he stated that it was their call to make. MARGOLIS considered this to be a policy decision, which was made by his superiors, and because he was overruled by them he considered the matter finished. MARGOLIS advised that he made his opinions known and felt that he had fulfilled his responsibilities. MARGOLIS advised that [ ] and GUILIANI were excellent attorneys, and were more than qualified for their positions. It was their responsibility to make decisions such as this one, and they were in a position to evaluate all of the facts and circumstances. MARGOLIS had no problem with the decision which was ultimately reached, and he was not upset that he had been overruled. He felt that in voicing his opinion, and making his arguments, he had done everything he could. His superiors did not agree with him in this case, and there was nothing wrong with that. MARGOLIS was not bitter as a result of this decision and considered the matter closed.

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Concerning the prosecution in this matter, MARGOLIS advised that the attorneys were assigned to the United States Attorney's Office under [ ] MARGOLIS advised GUILIANI that he felt uncomfortable prosecuting the case out of the Organized Crime and Racketeering Section for the reasons already set out. He further advised GUILIANI that it was his call to prosecute it, and that if [ ] wanted it MARGOLIS preferred letting him have the case completely. MARGOLIS went on to advise GUILIANI that the attorneys who had been working on this matter and who were familiar with the case, should be allowed to prosecute it. However, MARGOLIS suggested that these attorneys, who were assigned to him, be temporarily assigned to the United States Attorney's Office in order to prosecute it.

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MARGOLIS advised that it was at his suggestion that these attorneys were assigned to [ ] and he did so in order to give the case the best shot at successful prosecution. This was not done in an effort to withdraw support, but rather to assist in providing the best resources available. MARGOLIS advised that once the decision had been made it was in the best interest of the DOJ to do everything possible to insure successful prosecution.

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There was one additional reason for requesting the attorneys be assigned to [ ] and this had to do with budgeting. MARGOLIS advised that although this was a minor concern it was taken into consideration, and because his funds were running low, he saw this as an opportunity to cut some expenses. MARGOLIS advised that although this was a consideration it was a small one, and he would never let something of that nature effect the prosecution of a case. [ ] agreed to accept this responsibility, and there was no further discussion.

MARGOLIS conceded that to someone on the outside, it might appear as though he had lost control of the LIUNA case, but this would not be an accurate assessment. There was some gossip regarding this, but nothing to any great extent. MARGOLIS advised that there was an account of this in the December, 1982, issue of the American Lawyer.

MARGOLIS was advised that statements had been made to the FBI concerning MARGOLIS' memorandum denying the prosecution and these comments suggested that facts other than the "strength of the case" went into the decision making, and that the reasons set forth justifying this decision were "spurious." MARGOLIS was further advised that statements had also been made that this was a "strange process" as far as the length of time involved in making this decision, and that one prosecutor had stated that he had "never seen anything like it in his experience as a federal prosecutor." It was further stated that statements had been made that prosecutors were "shocked" and "knew of no precedence" concerning the length of time involved. MARGOLIS responded by stating that all of these statements were inaccurate, and unfair, and that this was not the type of decision which could have been made without a considerable amount of thought. There was difficulty in getting all of the facts out in this case, and it was not the type of case where they were able to make a positive decision of yes or no. MARGOLIS went on to advise that he did not believe there were any communication difficulties initially, but towards the end of this decision-making process there may have been some minor problems. He advised that there were some strong passions on the part of [ ] but there were no any flare-ups that he was aware of. If there were any flare-ups on [ ] part they were deep seeded. Concerning the length of time involved, MARGOLIS advised that the primary reason had been set out as previously stated, and everything came back to the original prosecutive memo submitted by [ ] which was insufficient. Additionally, the prosecutive memo did not have a draft indictment attached, as is required, and this also contributed to the

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MARGOLIS went on to state that he considered the prosecutive memo submitted by [ ] to be more like a "pre-pros memo." In a case of this nature an investigation which is at the pre-pros. memo stage could take anywhere up to nine months before it is ready for indictment. MARGOLIS based his opinion on the fact that the case was not complete at the time the prosecutive memo was submitted by [ ]. He advised that it was his understanding that [ ] was still presenting evidence to a federal grand jury in this matter after his original prosecutive memo had been submitted. MARGOLIS advised that had the case been completed to the stage where it was ready for an indictment all of the evidence should have been presented to the grand jury at this point, and [ ] should have been ready to go to trial. Because [ ] was not at this stage in his investigation, and was still presenting evidence to the grand jury, MARGOLIS felt, that he was not able to provide the necessary information in his prosecutive memo. MARGOLIS pointed this out only as an example of why the process was stretched out for such a long period of time, and in order to illustrate his reasons for believing that it was not delayed unnecessarily.

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MARGOLIS advised that he did not know why the forfeiture provisions in the RICO indictments were deleted. If they were deleted by the Organized Crime and Racketeering Section, MARGOLIS had no participation in it. MARGOLIS was not certain, but he believed that he had a conversation with [ ] stated that he did not want the forfeiture provisions included, but that [ ] had ordered him to reinstate them.

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MARGOLIS advised that prior to the indictments, there were several conferences with defense attorneys representing the various defendants. During these conferences, most of the defense attorneys made requests that in the event of indictments they be contacted in order to surrender their clients in lieu of arrests. MARGOLIS advised them that he would comply with their requests, however, after the matter had been transferred to [ ] office, MARGOLIS was of the opinion that he was no longer obligated to honor this request. MARGOLIS advised [ ] that he would write the various defense attorneys, and advise them that all of their contacts, or comments, should be directed to [ ] from that point on. MARGOLIS advised that he did not care one way or the other whether or not these individuals were arrested, but Deputy Assistant Attorney General [ ] took the position that the promises made to the defense attorneys earlier should be complied with. [ ] did not agree with this position, but he was overruled by [ ]. MARGOLIS advised that this was the reason for some of the

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defense attorneys being advised of the indictments, but he was not personally involved, and did not make any contacts with defense attorneys. MARGOLIS went on to advise that after the indictments were returned, he recalled that he was in Buffalo, New York, on business, and he received a call from the Organized Crime and Racketeering Section advising him that some of the defense attorneys were trying to reach him. MARGOLIS advised his people that any calls from defense attorneys should be referred to [REDACTED] as it was his responsibility at that point.

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With regards to the conferences arranged with the various defense attorneys, MARGOLIS advised that several attorneys representing potential defendants contacted the Organized Crime and Racketeering Section in late 1981 or early 1982 and requested the opportunity to come in and argue their clients cases. MARGOLIS advised that, to the best of his recollection, these meetings were arranged by requests made through the mail, and he believed that correspondence relating to these requests would be on file. MARGOLIS advised that such requests are normal procedure in the Organized Crime and Racketeering Section, and he recalled that similar occurrences have taken place both prior to and subsequent to the LIUNA investigation.

MARGOLIS advised that all meetings with defense attorneys in this matter were arranged as a result of contacts initiated by the defense attorneys, and no meetings were initiated by anyone at DOJ. He further advised that it was common knowledge that a grand jury investigation did exist, and the attorneys who thought their clients might be the targets of the investigation arranged to make arguments on behalf of their clients. This was an attempt to persuade the DOJ that their clients should not be indicted. MARGOLIS advised that in these cases, as well as any meeting of this nature, the DOJ listens, but has no input except for questions which might be asked of the attorneys in order to clarify their positions. No comments are made concerning the status of the investigation, or the grand jury, and no indications are given as to whether or not an individual will be indicted. In the case of the LIUNA defendants, these guidelines were followed, and there were no discussions concerning which individuals would be indicted or when an indictment would be forthcoming. MARGOLIS further advised that in all of the conferences held with LIUNA defense attorneys, [REDACTED] was present. This was at his request in order to address any questions or problems which might arise. MARGOLIS requested that [REDACTED] BE present as he was the lead attorney and was more familiar with background and circumstances surrounding each defendant. MARGOLIS advised that during all of these conferences, he had no recollection of any major problems coming up, and for the most part, it was his recollection that [REDACTED] listened to the presentations, and offered very few comments. MARGOLIS described this as standard procedure, and stated that there was nothing unusual or different concerning any of the LIUNA conferences.

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MARGOLIS advised that none of the attorneys that he met with appeared to have any prior knowledge of the status of the grand jury proceeding, or the indictments, and there was no indication that anyone had knowledge of the disagreement between various individuals within DOJ concerning the indictments. None of the defense attorneys appeared to have much knowledge at all concerning the indictments, and MARGOLIS advised that a few of the attorneys had less knowledge than they needed in order to make a decent presentation.

MARGOLIS was asked to comment on the defense attorneys who attended these conferences and to comment on whether or not he recalled having any previous contact with them. He advised that the first individual recalled was [redacted] who was with another individual named [redacted]. MARGOLIS believed that these individuals represented [redacted] and after being introduced to them, they both stated that they had met MARGOLIS previously on other cases. MARGOLIS did not recall meeting them previously.

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Another attorney who arranged for a meeting was [redacted] who is General Counsel for LIUNA in WDC. Accompanying [redacted] was an attorney from New York named [redacted] and possibly [redacted]. MARGOLIS advised that [redacted] was previously employed at the DOJ and at one time was in MARGOLIS' Section but he was not certain whether [redacted] came alone or was with [redacted] did attend a meeting at some point. MARGOLIS advised that he knew [redacted] from similar meetings of this type in the past, but he did not recall any specific information concerning the previous meetings. He believed that his meeting with [redacted] was the first time he had ever met him, but stated that it might be possible that he has met [redacted] on a previous occasion. MARGOLIS advised that [redacted] was previously employed at DOJ and worked for a brief period of time at Strike Force 18. After leaving DOJ he went to work for [redacted] firm. As MARGOLIS recalled, these attorneys represented the various LIUNA officials who were expected to be indicted.

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MARGOLIS advised that he recalled an additional attorney by the name of [redacted] from the Chicago area, but was not certain who he represented. This was the first time MARGOLIS had ever met [redacted] and he has had no further contact.

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Another individual who represented some of the defendants from the Chicago area was [redacted] who was previously the United States Attorney from that area. MARGOLIS advised that he knew of [redacted] and was familiar with him professionally, but he had never dealt with him or met him prior to that time.

MARGOLIS advised that all of the above contacts were as described above, and were of a professional nature. He further

advised that he has had no further contact with these individuals and has never socialized with any of them.

MARGOLIS advised that he is familiar with [redacted] and [redacted] and described them as prominent attorneys in the WDC area. He advised that both of these individuals were previously DOJ attorneys and [redacted] was, at one time, the Associate Attorney General. MARGOLIS advised that he knows both of these individuals, but has never had any contact with them concerning LIUNA or any related investigations.

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MARGOLIS advised that the above named attorneys are the ones who he recalled having meetings with relative to LIUNA investigations, but he advised there may have been one or two other who were unrecalled at this time.

MARGOLIS advised that he was familiar with an allegation received which charged that LIUNA had made a campaign contribution to the Carter/Mondale Reelection Committee, but he was not officially aware of an investigation into this matter until being advised of it during this interview. He advised that this matter was first brought to his attention by either [redacted] and that it was being opened by Strike Force 18 as a possible illegal campaign contribution by LIUNA. Upon learning this, MARGOLIS ordered that the matter be brought to the attention of Public Integrity Section Attorney [redacted] who is an expert on Federal Election Laws, and he also ordered either [redacted] to do a sensitive case report in order to alert their superiors. He further ordered that the United States Attorney's Office in the District of Columbia be cut in and made aware of the allegation as it would probably involve their office if the allegation could be substantiated.

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MARGOLIS could not recall exactly when he was made aware of this allegation, but he recalled subsequent to it, the same allegation appeared in a series of Spotlight newspaper articles which were written by [redacted]. MARGOLIS advised that the allegation was first brought to his attention a short time prior to the date of the Spotlight articles.

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MARGOLIS advised that a few weeks after the Spotlight articles appeared, he was telephoned at his residence by [redacted] who is a Washington Post reporter, who asked MARGOLIS whether or not he was familiar with the [redacted] articles. [redacted] advised that another Washington Post reporter had come up with similar allegations from an independent source, and

BABCOCK'S editor had requested that [ ] telephone MARGOLIS in order to ask him if there was anything to it. The allegations, which were raised by [ ] suggested that the LIUNA campaign contribution to the Carter/Mondale Reelection Committee was made in return for White House assistance in stopping or delaying the various investigations of LIUNA officials. The [ ] allegations further suggested that influence had been placed on the DOJ through contacts from the White House to the Attorney General's office. MARGOLIS advised that after being questioned on this by [ ] he became very angry and asked [ ] if he realized what he was saying and the implications. [ ] responded by stating that he did realize what the allegations implied, and MARGOLIS hung up on him. MARGOLIS received no further contacts or inquiries by [ ] on this matter.

MARGOLIS advised that, because of this, he came into the office the next day and advised his deputies that if there was any type of investigation in his section concerning these allegations, they should not be involved. At this point MARGOLIS had no specific knowledge of any investigation within his office, and he could only speculate that there might have been. MARGOLIS gave this order so as to avoid any problems from second guessing at a later date. He thought it best that any allegation against his section should be handled by someone else, in order to avoid implications or questions that suggested a cover-up.

MARGOLIS advised that a couple of months later [ ] and [ ] came into his office and advised him that [ ] had received an allegation from [ ] that Former Vice President [ ] had received money from LIUNA in return for fixing the LIUNA case. According to information provided by [ ] Vice President [ ] had been contacted by Attorney General BENJAMIN CIVILETTI, who had in turn contacted MARGOLIS and ordered him to get rid of the case. MARGOLIS advised that this was absolutely false and he stated so to both [ ] at that time. MARGOLIS denied this allegation to [ ] and told him to prepare a memo to the Office of Professional Responsibility in order that it be brought to their attention for the proper handling. At this point, MARGOLIS was shown a copy of a memorandum dated November 20, 1981, from [ ] Office of Professional Responsibility, and he advised that this would have been the correct date on which this allegation was received and brought to his attention.

MARGOLIS advised that approximately eight months later on July 28, 1982, he received a letter from [ ] of the Office of Professional Responsibility Section stating

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that the matter was being closed by their section inasmuch as MARGOLIS had denied the allegation to [redacted] MARGOLIS advised that he took exception to this and went to see [redacted] MARGOLIS told him that if there was a vote of lack of confidence in him by DOJ officials, they ought to consider finding a replacement for him and choose someone else to run the Organized Crime and Racketeering Section. [redacted] advised that he would look into this matter and get back to him, and a short time later he contacted MARGOLIS and told him to go back to doing his job as there was nothing to be concerned about. [redacted] advised MARGOLIS that he had misinterpreted the meaning of [redacted] letter and that there were no problems.

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MARGOLIS advised that an additional occurrence took place just after the Memorial Day weekend of 1983, which further raised his suspicion that some type of investigation was under way concerning the campaign contribution. He advised that he received a telephone call from an attorney, last name [redacted] who advised him that he was a partner in the law firm which represented [redacted] advised MARGOLIS that he had just been contacted by the Cable News Network (CNN) and was advised that a grand jury in WDC was investigating an allegation that [redacted] had received a bribe in order to fix the LIUNA case. MARGOLIS advised [redacted] that he knew nothing of any investigation and after hanging up, he telephoned [redacted] and passed this information on to him. [redacted] asked [redacted] if someone from his section could telephone [redacted] and talk to him.

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MARGOLIS advised that an additional occurrence took place a few days later on June 3, 1983, when [redacted] a [redacted] had been subpoenaed by a federal grand jury in WDC. MARGOLIS advised that [redacted] was upset and concerned about this, but he made a joke of it, and told her not to be concerned about it. After receiving [redacted] MARGOLIS recused himself from anything having to do with the United States Attorney's Office in the District of Columbia. He went to [redacted] office and advised him that he did not want anything referred to his section from the United States Attorney's Office. MARGOLIS advised [redacted] that he felt he might be under some type of investigation, and he did not

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want anything influenced through his position. MARGOLIS denied any wrong doing to [ ] and told him that it would be in his best interest to check into this matter, and determine whether or not anything might be going on which could prove embarrassing to DOJ. MARGOLIS advised [ ] that he was bringing this matter to his attention in order to protect the Department from any problems which might be forthcoming, and he told [ ] that he should decide whether or not MARGOLIS should remain at his present position. MARGOLIS offered to step down in order to avoid any embarrassing problems for DOJ should he actually be under some type of investigation. Margolis advised that a short time later [ ] got back to him and told him to remain at his position and to continue doing his job. He had no further contact with [ ] regarding this matter. MARGOLIS was not sure what was going on at this point, but knew he had done nothing wrong, and he stated this to [ ]

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MARGOLIS advised that he has never discussed this allegation with former Attorney General CIVILETTI, and he has purposely avoided any such conversation as he would have suffered personal embarrassment by bringing it up. MARGOLIS advised that he met CIVILETTI at a cocktail party in approximately June, 1982, and he purposely avoided this subject for the reasons stated above. MARGOLIS advised that he has nothing but the highest regard for the integrity and honesty of CIVILETTI, and he dismissed this allegation as being completely false.

MARGOLIS was asked whether or not he had ever heard allegations that [ ] had "someone big in Washington, D.C." who was going to help take care of his LIUNA case. MARGOLIS advised that he vaguely recalled reading something from an FBI source who had made this type of statement concerning either [ ] but he did not take it seriously at the time. MARGOLIS could not recall exactly when or in what context, this statement was made, and he had no recollection that there was any indication that this individual was from within the Attorney General's Office.

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MARGOLIS advised that he was aware that a subpoena had been issued in [ ] and that a joint investigation with the United States Attorney's Office in the District of Columbia and Strike Force 18 Attorney [ ] was being conducted. MARGOLIS advised that this was probably around the same time period he was contacted by Washington Post reporter [ ] and that might have caused him to check to see whether or not the United States Attorney's Office or the Public Integrity Section were looking into the allegation of an illegal campaign contribution. MARGOLIS believed that he would

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have learned of the [ ] subpoena at about the same time the [ ] articles or the Washington Post contact was made, and he did not believe that he was aware of any subpoena for [ ] prior to it being served. MARGOLIS went on to advise that after November, 1981, when the memo was sent to [ ] he had no further knowledge of any investigation into this matter, with the exception of the previously discussed instances. MARGOLIS advised that he was not aware of any attempts to subpoena [ ] and did not recall discussing attempts to secure authorization for such a subpoena with anyone.

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MARGOLIS advised that he was not aware of any attempts by attorney [ ] to bring [ ] before a federal grand jury in Los Angeles, California, in order to testify in an attempt to prove his innocence. MARGOLIS advised that although he knows [ ] he has never had any professional contact with him. MARGOLIS was aware that [ ] was a former DOJ employee, but believed this was before MARGOLIS came to WDC and assumed his present position.

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MARGOLIS advised that after cutting himself off from this investigation in 1981, he was aware of one contact with the Office of Professional Responsibility on the part of [ ] which was made in order to determine whether or not the investigation into the [ ] allegation was continuing. MARGOLIS believed this contact was made after the November, 1981, memo, and he recalled [ ] telling him that he made a contact in order to insure that the allegation had not slipped through the cracks, and was being pursued by someone. According to MARGOLIS, [ ] wanted to make sure that after this allegation had been referred, it was actually investigated. [ ] was concerned that if someone was not investigating the matter, it would come up at a later date and he would be held responsible. [ ] made this inquiry in order to cover himself. According to [ ] he was told by the Office of Professional Responsibility to mind his own business and not to worry about the matter. MARGOLIS was not certain, but believed [ ] was told this by [ ]. It was MARGOLIS' belief that because they had not heard anything concerning this allegation for some time, [ ] was concerned that someone might be dropping the ball. This was the reason for his inquiry, and MARGOLIS had no reason to believe there was anything more to it.

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MARGOLIS advised that there was a series of events which lead him to believe that he was under some type of investigation,

and his main concern was that of not putting DOJ in any position which might cause embarrassment. He knew that he had committed no wrong doing, however, he felt that it was best not to involve himself and let the investigation run its own course. MARGOLIS advised that as a result of this series of events, he knew that any investigation would eventually funnel down to his section, and it was just a matter of time until he would be implicated. It was for this reason that he chose to remove himself from any investigation into this area. The series of events which MARGOLIS referred to were the [ ] articles, the Washington Post inquiry, the November 21, 1981, memo to the Office of Professional Responsibility, and the [ ] [ ] had been subpoenaed.

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Concerning the Boston portion of the LIUNA investigation, MARGOLIS advised that he was aware of a series of telephone calls between [ ] and Boston Strike Force Chief [ ] concerning this matter. He further recalled that a Strike Force Attorney named [ ] was also talking to [ ] regarding this investigation, and it was their opinions that the case lacked strength. Both [ ] were of the opinion that the case could not be prosecuted successfully. MARGOLIS advised, however that [ ] was of the opinion that the investigation could be won if the individuals were indicted in Miami, Florida, rather than Boston, and he requested that this be done. MARGOLIS advised that he recalled a meeting in approximately September of 1981, which was attended by the Strike Force Chiefs from throughout the United States, and during this meeting the LIUNA investigation in Boston was discussed. MARGOLIS recalled [ ] making the statement that he did not think the case was winnable, and it was after this statement that [ ] made his pitch to have the case moved to Miami. Ultimately, the decision was made to go ahead and indict the individuals from Boston in Miami as requested by [ ]

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MARGOLIS advised that under normal conditions he probably would have denied [ ] request, but because of the previous decision and the similarities between the two cases, he concluded that it would probably be a rerun of the events leading up to the Miami indictments. In view of this he decided to let [ ] go ahead with the indictment. MARGOLIS advised that he was satisfied with [ ] arguments that he could successfully prosecute the matter in Miami, and this also lead to this decision, but normally when a Strike Force Attorney does not recommend going forward with prosecution of an investigation, as was the case with [ ] no further effort is made. This case was an exception to the rule

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due to the arguments made by [ ] and the similarities in this case and the previous LIUNA case in Miami. MARGOLIS advised that he had some recollection of a Statute of Limitations problem involving the Boston indictments, but this became a mute point because of the representation of the Boston Strike Force attorneys. MARGOLIS also thought the problem was a situation where some counts might be lost, but not all of them.

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MARGOLIS was asked whether or not he was familiar with an individual named [ ] and he advised that the name rang a bell, but he was not certain for what reason. After being advised that [ ] was from the New England area, MARGOLIS advised that he had previously worked with the Boston Strike Force, and he might have come across the name [ ] from his position there. He also advised that he read the prosecutive memo submitted by Strike Force Chief [ ] and he felt he could have read the name from the prosecutive memo as well. MARGOLIS had no specific recollection of [ ] or anything which he might have been involved in.

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MARGOLIS advised that he had no information which could assist in determining how [ ] was able to obtain his name, however, he advised that his name has been published in the media from time to time. MARGOLIS advised that he has never been back to Brown University for any class reunions, but has been a guest speaker on two occasions. After reviewing his appointment catalog, MARGOLIS advised that he went to Brown University in November, 1980, and April, 1981. At this time he addressed some of the classes, and also addressed a small group of students during one evening. To the best of his knowledge, no alumni were in attendance at any of these speeches, but he believed that at least the first visit got some type of media coverage. He recalled during the second trip he met with and socialized with faculty members at a luncheon in addition to making the speeches.

MARGOLIS advised that he could think of nothing which had not been covered during this interview that might be pertinent to the investigation. He advised that both [ ] and [ ] were totally familiar with the entire process, and could confirm any information which MARGOLIS had provided. He vouched for the integrity of these individuals, as well as [ ] and stated that there was no question in his mind that any allegations of wrongdoing concerning individuals within his section were entirely unfounded.

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Page 234 ~ b6; b7C; b7E;  
Page 260 ~ Duplicate;  
Page 261 ~ Duplicate;  
Page 262 ~ Duplicate;  
Page 263 ~ Duplicate;  
Page 264 ~ Duplicate;  
Page 265 ~ Duplicate;  
Page 266 ~ Duplicate;  
Page 267 ~ Duplicate;  
Page 268 ~ Duplicate;  
Page 269 ~ Duplicate;  
Page 270 ~ Duplicate;

XXXXXXXXXXXXXXXXXXXXXXXXXXXXX  
X Deleted Page(s) X  
X No Duplication Fee X  
X For this Page X  
XXXXXXXXXXXXXXXXXXXXXXXXXXXXX

Margolis

ELECTRONIC CASE FILE

Case Consolidation

01/23/1998

RUN BY:

b6  
b7c

08:21:48

Page 1

From Case ID : HQ 77-97035  
To Case ID . : 77L-HQ-1240842

Documents . : 3  
Last Serial :

Old Serial New Serial FIF

---

13	3
12	2
11	1

Total Documents Consolidated : 3

Margolis

UNIVERSAL INDEX  
Case Consolidation

01/23/1998

RUN BY:

b6  
b7c

08:21:53

\*\*\*\*\* Statistical Information from Universal Index \*\*\*\*\*

Old Case ID	:	HQ 77-97035
New Case ID	:	77L-HQ-1240842
Mains	:	2
References	:	0
Total records processed:	:	2



Margolis, David

- 70" 12-18-39

CIVIL 1-C

Wc

Wwvc

O 32 W III 18  
I 32 W MMI

Office of the Dep Att'y General US Dept of  
Justice Wash DC 4-29-65

A search of the fingerprints on the above individual has failed  
to disclose prior arrest data.

*CC1 Braggman*

*Revised 1250*

*Bue*

JOHN EDGAR HOOVER

DIRECTOR

FEDERAL BUREAU OF INVESTIGATION

Federal Bureau of Investigation  
Records Branch

APR 30 1965

☐ Name Searching Unit - Room 6527  
☐ Service Unit - Room 6524  
☒ Forward to File Review  
☐ Attention Bruggemon 1250  
☐ Return to \_\_\_\_\_

Supervisor Room Ext.

## Type of References Requested:

☐ Regular Request (Analytical Search)  
☒ All References (Subversive & Nonsubversive)  
☐ Subversive References Only  
☐ Nonsubversive References Only  
☐ Main \_\_\_\_\_ References Only

## Type of Search Requested:

☒ Restricted to Locality of on farm  
☐ Exact Name Only (On the Nose)  
☐ Buildup ☐ Variations

Subject Marichis, David  
 Birthdate & Place \_\_\_\_\_  
 Address \_\_\_\_\_

Localities

R# 167 Date 4.29 Searcher Initials 721

DEADLINE 5-4 FILE NUMBER MAY 3 1965 SERIAL

62-101087-42-3

David Booth (Bw)

67-612022 I

MAY 4 1965

[Handwritten signatures and initials]

SPECIAL INVESTIGATIVE DIVISION  
EMPLOYEES SECURITY AND SPECIAL  
INQUIRY SECTION

4/29/65, 1965

<input type="checkbox"/> Mr. Gale	<input type="checkbox"/> Mr. _____
<input type="checkbox"/> Mr. Stanley	<input type="checkbox"/> Room _____
<input type="checkbox"/> Mr. Eddy	
<input type="checkbox"/> Mr. McAndrews	
	<input type="checkbox"/> Miss _____
<input type="checkbox"/> Mr. Cleveland	<input type="checkbox"/> Room _____
<input type="checkbox"/> Mr. Coleman	<input type="checkbox"/> Call Me _____
	<input type="checkbox"/> See Me _____
<input type="checkbox"/> Mr. Auerswald	
<input type="checkbox"/> Mr. Ausen	
<input type="checkbox"/> Mr. Brennan	<input type="checkbox"/> Miss Stickland
<input type="checkbox"/> Mr. Bruggeman	<input type="checkbox"/> Mrs. Roberts
<input type="checkbox"/> Mr. Burke	<input type="checkbox"/> Miss Hiett
<input type="checkbox"/> Mr. Carlson	<input type="checkbox"/> Miss Proffitt
<input type="checkbox"/> Mr. Connell	<input type="checkbox"/> Mrs. Bellus
<input type="checkbox"/> Mr. Conrad	<input type="checkbox"/> Mrs. Breazeale
<input type="checkbox"/> Mr. Egan	<input type="checkbox"/> Mrs. Martin
<input type="checkbox"/> Mr. Fawcett	<input type="checkbox"/> Mrs. Smith
<input type="checkbox"/> Mr. Ferguson	
<input type="checkbox"/> Mr. Gochmour	<input type="checkbox"/> Miss Atkinson
<input type="checkbox"/> Mr. Gunderson	<input type="checkbox"/> Miss Cole
<input type="checkbox"/> Mr. Hartley	<input type="checkbox"/> Mr. Fulk
<input type="checkbox"/> Mr. Hauer	<input type="checkbox"/> Mrs. Dowell
<input type="checkbox"/> Mr. Hetherington	<input type="checkbox"/> Mrs. Groschelle
<input type="checkbox"/> Mr. Hogan	<input type="checkbox"/> Mr. Powell
<input type="checkbox"/> Mr. Holroyd	<input type="checkbox"/> Miss Shirey
<input type="checkbox"/> Mr. Hudson	<input type="checkbox"/> Mrs. Teschner
<input type="checkbox"/> Mr. Jarrett	<input type="checkbox"/> Mrs. Wolf
<input type="checkbox"/> Mr. May	
<input type="checkbox"/> Mr. McCaslin	<input type="checkbox"/> Mail Room, 5531
<input type="checkbox"/> Mr. McKinnon	<input type="checkbox"/> Reading Room
<input type="checkbox"/> Mr. Menzel	<input type="checkbox"/> Statistical Sec.
<input type="checkbox"/> Mr. Montefiore	<input type="checkbox"/> Mechanical Sec.
<input type="checkbox"/> Mr. Moore	
<input type="checkbox"/> Mr. Phillips	<input type="checkbox"/> Open 140 File
<input type="checkbox"/> Mr. Reed	<input type="checkbox"/> Re-charge File
<input type="checkbox"/> Mr. Reilly	<input type="checkbox"/> Request File
<input type="checkbox"/> Mr. Rust	<input type="checkbox"/> Mrs. McNeely,
<input type="checkbox"/> Mr. Schaefer	6207 IB
<input type="checkbox"/> Mr. Schlarmann	<input type="checkbox"/> Routing Unit,
<input type="checkbox"/> Mr. Shackelford	7714
<input type="checkbox"/> Mr. Sheets	<input type="checkbox"/> Place on Record
<input type="checkbox"/> Mr. Solomon	and Return
<input type="checkbox"/> Mr. Spillers	<input type="checkbox"/> Records Branch
<input type="checkbox"/> Mr. Splendore	<input type="checkbox"/> Numbering
<input type="checkbox"/> Mr. Steel	<input type="checkbox"/> Recording
<input type="checkbox"/> Mr. Still	<input checked="" type="checkbox"/> Name Searching
<input type="checkbox"/> Mr. Tierney	6529
<input type="checkbox"/> Mr. Warren	<input type="checkbox"/> File Review
<input type="checkbox"/> Mr. Wells	<input type="checkbox"/> Miss Wolfe, 6527
<input type="checkbox"/> Mr. Young	<input type="checkbox"/> Place in File

*"15 day deadline"*

(1) NAME SEARCHING UNIT

(2) MAIN CARD TYPING

(3) FILE REVIEW

(4) RETURN TO:

*Bruggeman*  
SUPERVISOR

1250

APR 29 1965

UNITED STATES GOVERNMENT

DEPARTMENT OF JUSTICE

# Memorandum

TO : Director, Federal Bureau of Investigation

DATE: April 28, 1965

FROM

Assistant to the Deputy Attorney General

b6  
b7C

SUBJECT MARGOLIS, David	DATE OF BIRTH 4/26/65 12-18-37
POSITION Asst. U. S. Attorney	OFFICE OR DIVISION (Location) District of Connecticut
(CHECK ONE) <input type="checkbox"/> EMPLOYEE <input checked="" type="checkbox"/> APPLICANT	TYPE OF POSITION <input checked="" type="checkbox"/> SENSITIVE <input type="checkbox"/> NON-SENSITIVE
ENTERED ON DUTY	TYPE OF APPOINTMENT Excepted - Indefinite

☒ Please institute the usual character investigation in this matter and forward copies of the reports to this office.

☐ Please furnish results of Name Check and search of Identification Records prior to completion of investigation.

Standard Forms 86 are attached. Please return the original signed copy with the final report of this investigation.

☒ Name Check made

☒ Fingerprint Chart attached

☐ Please institute a name check of this individual whose Standard Form 57/86 is enclosed. The Fingerprint Chart is being forwarded to the Identification Building.

☐ Please discontinue the character investigation in this matter. Standard Form 86 submitted with our request should be returned to this office.

58 JUN 10 1965

MCT-25

REC

77- 97035

APR 29 1965

Standard Form 86 REVISED JULY 1961 U.S. CIVIL SERVICE COMMISSION (CHAPTER 1-2, F.P.M.) N-114		SECURITY INVESTIGATION DATA FOR SENSITIVE POSITION		CASE SERIAL NO. (CSC use only)																															
INSTRUCTIONS.—Prepare in triplicate, using a typewriter. Fill in all items. If the answer is "No" or "None," so state. If more space is needed for any item, continue under item 23.																																			
1. FULL NAME (Initials and abridgments of full name are not acceptable. If no middle name, show "(NMN)"; if initials only, show "(no given or middle name).")		(LAST NAME) (FIRST NAME) (MIDDLE NAME) Margolis David		2. DATE OF BIRTH 12-18-39																															
		OTHER NAMES USED (Maiden name, names by former marriages, former names changed legally or otherwise, aliases, nicknames, etc. Specify which, and show dates used.)		3. PLACE OF BIRTH Hartford, Conn.																															
				4. <input checked="" type="checkbox"/> MALE <input type="checkbox"/> FEMALE																															
				5. HEIGHT WEIGHT COLOR EYES COLOR HAIR 5'10" 160 Brown Brown																															
6. <input checked="" type="checkbox"/> SINGLE <input type="checkbox"/> MARRIED <input type="checkbox"/> WIDOW(ER) <input type="checkbox"/> DIVORCED		7. IF MARRIED, WIDOWED, OR DIVORCED, GIVE FULL NAME AND DATE AND PLACE OF BIRTH OF SPOUSE OR FORMER SPOUSE, AND DATE AND PLACE OF MARRIAGE. INCLUDE WIFE'S MAIDEN NAME. (Give same information regarding all previous marriages.)																																	
8. DATES AND PLACES OF RESIDENCE (If actual places of residence differ from the mailing addresses, furnish and identify both. Begin with present and go back to January 1, 1937. Continue under item 23 on other side if necessary.)																																			
<table><thead><tr><th>FROM</th><th>TO</th><th>NUMBER AND STREET</th><th>CITY</th><th>STATE</th></tr></thead><tbody><tr><td>NH 1948</td><td>1965</td><td>✓ 210 Manchester St.</td><td>Hartford</td><td>Conn.</td></tr><tr><td>1939</td><td>1948</td><td>94 Colebrook St.</td><td>"</td><td>"</td></tr><tr><td>BS 1962 *</td><td>1964</td><td>211 Ames</td><td>Cambridge</td><td>Mass.</td></tr><tr><td>1961 *</td><td>1962</td><td>14 Sumner Road</td><td>"</td><td>"</td></tr><tr><td>1957 *</td><td>1961</td><td>Brown Dormitory</td><td>Providence</td><td>R.I.</td></tr></tbody></table> <p>* Denotes school residence</p>						FROM	TO	NUMBER AND STREET	CITY	STATE	NH 1948	1965	✓ 210 Manchester St.	Hartford	Conn.	1939	1948	94 Colebrook St.	"	"	BS 1962 *	1964	211 Ames	Cambridge	Mass.	1961 *	1962	14 Sumner Road	"	"	1957 *	1961	Brown Dormitory	Providence	R.I.
FROM	TO	NUMBER AND STREET	CITY	STATE																															
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1961 *	1962	14 Sumner Road	"	"																															
1957 *	1961	Brown Dormitory	Providence	R.I.																															
9.																																			
<input checked="" type="checkbox"/> U. S. CITIZEN		<input checked="" type="checkbox"/> BY BIRTH <input type="checkbox"/> NATURALIZED CERT. NO. PETITION NO.		DATE, PLACE, AND COURT																															
<input type="checkbox"/> ALIEN		<input type="checkbox"/> DERIVED-PARENTS CERT. NO(S). REGISTRATION NO. NATIVE COUNTRY		DATE AND PORT OF ENTRY																															
10. EDUCATION (All schools above elementary)																																			
<table><thead><tr><th>NAME OF SCHOOL</th><th>ADDRESS</th><th>FROM (Year)</th><th>TO (Year)</th><th>DEGREES</th></tr></thead><tbody><tr><td>NH Loomis ✓</td><td>Windsor, Conn.</td><td>1953</td><td>1957</td><td>High School</td></tr><tr><td>BS Brown ✓</td><td>Providence, R.I.</td><td>1957</td><td>1961</td><td>A.B.</td></tr><tr><td>1 Harvard ✓</td><td>Cambridge, Mass.</td><td>1961</td><td>1964</td><td>L.I.B.</td></tr></tbody></table>						NAME OF SCHOOL	ADDRESS	FROM (Year)	TO (Year)	DEGREES	NH Loomis ✓	Windsor, Conn.	1953	1957	High School	BS Brown ✓	Providence, R.I.	1957	1961	A.B.	1 Harvard ✓	Cambridge, Mass.	1961	1964	L.I.B.										
NAME OF SCHOOL	ADDRESS	FROM (Year)	TO (Year)	DEGREES																															
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BS Brown ✓	Providence, R.I.	1957	1961	A.B.																															
1 Harvard ✓	Cambridge, Mass.	1961	1964	L.I.B.																															
11. THIS SPACE FOR FBI USE (See also item 24.)		12. SOCIAL SECURITY NUMBER 047-30-3300																																	
		13. MILITARY SERVICE (Past or present) None																																	
		<table><thead><tr><th>SERIAL NO. (If none, give grade or rating at separation)</th><th>BRANCH OF SERVICE (Army, Navy, Air Force, etc.)</th><th>FROM (Yr.)</th><th>TO (Yr.)</th></tr></thead><tbody><tr><td></td><td></td><td></td><td></td></tr></tbody></table>				SERIAL NO. (If none, give grade or rating at separation)	BRANCH OF SERVICE (Army, Navy, Air Force, etc.)	FROM (Yr.)	TO (Yr.)																										
SERIAL NO. (If none, give grade or rating at separation)	BRANCH OF SERVICE (Army, Navy, Air Force, etc.)	FROM (Yr.)	TO (Yr.)																																

ENCLOSURE 77- 97035 - 1

14. EMPLOYMENT (List ALL employment dates starting with your present employment. Show ALL dates and addresses when unemployed. Give name under which employed if different from name now used.)						
FROM	TO	NAME OF EMPLOYER (Firm or agency) AND NAME OF SUPERVISOR	ADDRESS (Where employed)	TYPE OF WORK	REASON FOR LEAVING	
NH 1965	✓ Present	Nicholas Lenge	49 Pearl St. Hartford, Conn.	Law	-	
10/1964	1/1965	Self	210 Manchester St. Hartford, Conn.	Law	To go with firm	
1962	1964	✓ State Welfare Dept. John Costa Hartford, Conn.	80 Washington St. Hartford, Conn.	Social Work	Summers	
56- 1957	1961	✓ Htfd. Park Dept. George Sullivan	City Hall Hartford, Conn..	Recreation	Summers	
BS 1957	1961	✓ Brown U. Sidney Goldstein	Providence Rhode Island	Research	Graduation	
NH Christmas 1964		U.S. Post Office 56	Hartford Connecticut	Christmas Help	Temporary	
15. HAVE YOU EVER BEEN ARRESTED, CHARGED, OR HELD BY FEDERAL, STATE, OR OTHER LAW-ENFORCEMENT AUTHORITIES, FOR ANY VIOLATION OF ANY FEDERAL LAW, STATE LAW, COUNTY OR MUNICIPAL LAW, REGULATION, OR ORDINANCE? (Do not include anything that happened before your sixteenth birthday. Do not include traffic violations for which a fine of \$25 or less was imposed. All other charges must be included even if they were dismissed.)						
No (ANSWER "YES" OR "NO")						
IF YOUR ANSWER IS "YES," GIVE FULL DETAILS BELOW:						
REASON CHARGED OR HELD		DATE		PLACE WHERE CHARGED OR HELD AND NAME OF LAW ENFORCEMENT AUTHORITY		DISPOSITION

16. FOREIGN COUNTRIES VISITED (SINCE 1930) (Exclusive of military service)				
COUNTRY	DATE LEFT USA	DATE RETURNED USA	PURPOSE	
None				

17. ARE YOU NOW, OR HAVE YOU EVER BEEN, A MEMBER OF THE COMMUNIST PARTY, U. S. A., OR ANY COMMUNIST OR FASCIST ORGANIZATION?

NO  
(ANSWER "YES" OR "NO")

18. ARE YOU NOW OR HAVE YOU EVER BEEN A MEMBER OF ANY FOREIGN OR DOMESTIC ORGANIZATION, ASSOCIATION, MOVEMENT, GROUP, OR COMBINATION OF PERSONS WHICH IS TOTALITARIAN, FASCIST, COMMUNIST, OR SUBVERSIVE, OR WHICH HAS ADOPTED, OR SHOWS, A POLICY OF ADVOCATING OR APPROVING THE COMMISSION OF ACTS OF FORCE OR VIOLENCE TO DENY OTHER PERSONS THEIR RIGHTS UNDER THE CONSTITUTION OF THE UNITED STATES, OR WHICH SEEKS TO ALTER THE FORM OF GOVERNMENT OF THE UNITED STATES BY UNCONSTITUTIONAL MEANS?

No  
(ANSWER "YES" OR "NO")

19. IF YOUR ANSWER TO QUESTION 17 OR 18 ABOVE IS "YES," STATE THE NAMES OF ALL SUCH ORGANIZATIONS, ASSOCIATIONS, MOVEMENTS, GROUPS, OR COMBINATIONS OF PERSONS AND DATES OF MEMBERSHIP. IN QUESTION 23 OR ON A SEPARATE SHEET TO BE ATTACHED TO AND MADE A PART OF THIS FORM, GIVE COMPLETE DETAILS OF YOUR ACTIVITIES THEREIN AND MAKE ANY EXPLANATION YOU DESIRE REGARDING YOUR MEMBERSHIP OR ACTIVITIES.

NAME	ADDRESS	FROM	TO	OFFICE HELD
American Bar Assoc.	Chicago	1964	1965	-
Conn. Bar Assoc.	Hartford	1964	1965	-
Brown NAACP	Providence	1958	1961	President

20. MEMBERSHIP IN OTHER ORGANIZATIONS (List all organizations in which you are now a member or have been a member, except those which show religious or political affiliations.)

NAME	ADDRESS	TYPE	FROM	TO	OFFICE HELD
American Bar Assoc.	Chicago	Law	1964	1965	-
Conn. Bar Assoc.	Hartford	Law	1964	1965	-
Brown NAACP	Providence	Social	1958	1961	President

21. RELATIVES (Parents, spouse, divorced spouse, children, brothers, and sisters, living or dead. Name of spouse should include maiden name and any other names by previous marriage. If person is dead, state "dead" after relationship and furnish information for other columns as of time of death.)

RELATION	NAME IN FULL	YEAR OF BIRTH	ADDRESS	COUNTRY OF BIRTH	PRESENT CITIZENSHIP
Father	Louis Margolis	1903	210 Manchester St. Hartford, Conn.	U.S.A.	U.S.A.
Mother	Martha Margolis	1908	same	"	"
Brother			same	"	"

22. REFERENCES (Name three persons, not relatives or employers, who are well acquainted with you.)

NAME	ADDRESS	YEARS KNOWN
Alexander A. Goldfarb	111 Pearl St., Hartford, Conn.	10
Joseph Klau	95 Washington St. "	20
B. D. Golding	985 Main St., Hartford, Conn.	25

23. SPACE FOR CONTINUING ANSWERS TO OTHER QUESTIONS (Show item numbers to which answers apply. Attach a separate sheet if there is not enough space here.)

24. REPORT OF INFORMATION DEVELOPED (This space reserved for FBI use.)

DATE:

Before signing this form check back over it to make sure you have answered all questions fully and correctly.

**CERTIFICATION**

I CERTIFY that the statements made by me on this form are true, complete, and correct to the best of my knowledge and belief, and are made in good faith.

False statement on this form is punishable by law.

April 15, 1964 (DATE)

David Margolis (SIGNATURE—Sign original and 1st carbon copy)

**INFORMATION TO BE FURNISHED BY AGENCY**

INSTRUCTIONS TO AGENCY: See Federal Personnel Manual Chapter I-2 for details on when this form is required and how it is used. If this is a request for investigation before appointment, insert "APPL" in the space for Date of Appointment and show information about the proposed appointment in the other spaces for appointment data. The original and the first carbon copy should be signed by the applicant or appointee. Submit the original and the unsigned carbon copy of the form, Standard Form 87 (Fingerprint Chart), and any investigative information about the person received on voucher forms or otherwise, to the United States Civil Service Commission, Bureau of Personnel Investigations, Washington 25, D. C. If this is a request for full field security investigation, submit these forms to the attention of the Division of Reimbursable Investigations; if this is a request for preappointment national agency checks, submit these forms to the attention of the Control Section.

RETAIN THE CARBON COPY OF STANDARD FORM 86 (SIGNED BY THE APPLICANT OR APPOINTEE) FOR YOUR FILES

DATE OF APPOINTMENT	TYPE OF APPOINTMENT	CIVIL SERVICE REGULATION NUMBER OR OTHER APPOINTMENT AUTHORITY	TITLE OF POSITION AND GRADE OR SALARY
	<input type="checkbox"/> EXCEPTED <input type="checkbox"/> COMPETITIVE (Include indefinite and temporary types of competitive appointments.)		
DEPARTMENT OR AGENCY	DUTY STATION	SEND RESULTS OF PREAPPOINTMENT CHECK TO:	
THIS IS A SENSITIVE POSITION			
(SIGNATURE AND TITLE OF AUTHORIZED AGENCY OFFICIAL)			

4-29-65

Airtel

To: SACs, New Haven - Enc.  
Washington Field - Enc.  
Boston - Enc.  
St. Louis  
Chicago

From: Director, FBI

COVES *①*  
DAVID MARGOLIS  
AUSA  
DISTRICT OF CONNECTICUT  
BUDED: 5-14-65

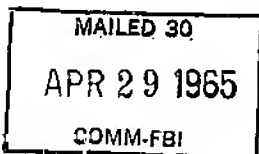
Enclosed for NH, WFO and BS is copy of form 86.

NEW HAVEN: Also check state bar and Grievance Committees.

ST. LOUIS: Employment: Christmas, 1964; U. S. Post Office; Hartford, Connecticut; Christmas Help. *[Signature]*

ALL OFFICES: Applicant born 12-18-39, Hartford, Conn. Single. Received LL.B. degree Harvard, Cambridge, Mass. in 1964.

and Verify admittance to American Bar Association, state bar/check records of Bar Associations and Grievance Committees where appropriate. Where time permits, utilize airtels in setting out leads. Follow Coves instructions.



RLH:jag  
-12-

Tolson \_\_\_\_\_  
Belmont \_\_\_\_\_  
Mohr \_\_\_\_\_  
DeLoach \_\_\_\_\_  
Casper \_\_\_\_\_  
Callahan \_\_\_\_\_  
Conrad \_\_\_\_\_  
Felt \_\_\_\_\_  
Gale \_\_\_\_\_  
Rosen \_\_\_\_\_  
Sullivan \_\_\_\_\_  
Tavel \_\_\_\_\_  
Trotter \_\_\_\_\_  
Tele. Room \_\_\_\_\_  
Holmes \_\_\_\_\_  
Gandy \_\_\_\_\_

77-97035-2  
NOT RECORDED

12 MAY 27 1965

*[Signature]*  
Return to Mr. Bruggeman Rm 1260.

JUN 10 1965  
MAIL ROOM ☒ TELETYPE UNIT ☐

*[Signature]*

F B I

Date: 5/4/65

Transmit the following in \_\_\_\_\_  
(Type in plaintext or code)Via AIRTEL \_\_\_\_\_  
(Priority)

TO : DIRECTOR, FBI

FROM : SAC, NEW HAVEN (77-4836) (P) *Noted*

SUBJECT: COVES *0*  
DAVID MARGOLIS  
AUSA  
DISTRICT OF CONNECTICUT

BUDED: 5/14/65

Re Bureau airtel, 4/29/65.

The Bureau's attention is directed to the investigation entitled, "DAVID BOOTH MARGOLIS, BUAP-SA" (BUfile 67-612022) and report of SA [redacted] 11/20/64, at New Haven, (NH file 67-5685).

b6  
b7c

By letter to the Bureau dated 3/12/65, in referenced matter, the New Haven Office advised that MARGOLIS had contacted USA JON O. NEWMAN, District of Connecticut, and had made application to his office for the position of AUSA because he apparently felt he was not going to receive a Special Agent's appointment. Mr. NEWMAN advised at that time that he was going to write to the Department and point out that MARGOLIS had already been investigated by the FBI and that for that reason he would attempt to employ him as AUSA.

In view of the above, no reinvestigation will be conducted, UACB, and a report will be submitted, bringing the investigation up to date.

*77-4836-3*  
NOT RECORDED

3 MAY 5 1965

1- Bureau  
1- New Haven  
JUR/acg

*56 JUN 10 1965*

Approved: \_\_\_\_\_  
Special Agent in Charge

Sent \_\_\_\_\_ M Per \_\_\_\_\_

*Spec. Inv.*



May 5, 1965

AIRTEL

best copy available

TO: SAC, New Haven  
FROM: SAC, Boston (77-11310)  
SUBJECT: COMES <sup>①</sup>  
DAVID MARGOLIS  
AUSA  
DISTRICT OF CONNECTICUT  
Dated: May 14, 1965

Re: Bureau airtel, April 29, 1965.

Records of Harvard Law School, Cambridge, Massachusetts, show applicant, in addition to employment with City of Hartford, Recreation Department, also employed Kay Jewelry Company as salesman, dates and location not indicated, during Christmas vacations 1957, 1958, 1959 and 1960.

New Haven. Handle.

2 - New Haven  
① - Bureau  
1 - Boston  
JCH:CAK  
(4)

77-11310-4  
NOT RECORDED

8 MAY 6 1965

56 JUN 10 1965

Spec. 106

May 5, 1965

AIRTEL

best copy available

TO: SAC, New Haven  
FROM: SAC, Boston (77-21210)  
SUBJECT: COWS O  
DAVID LINDGREN  
AUSA  
DISTRICT OF CONNECTICUT  
Dated: May 14, 1965

Re: Bureau airtel, April 29, 1965.

Boston Office files show applicant, while at Brown University, Providence, Rhode Island, was President of the Campus National Association for the Advancement of Colored People and participated in picketing of department stores in downtown Providence, Rhode Island, protesting lunch counter segregation policies of stores belonging to the chain in the South.

Above for information all offices.

2 - New Haven  
① - Bureau  
1 - Washington Field (Info)  
1 - St. Louis (Info)  
1 - Chicago (Info)  
2 - Boston  
JGU:CAH  
(8)

77-97035-5

NOT RECORDED

8 MAY 7 1965

58 JUN 10 1965

Spec. Inv.

5-6-65

Airtel

To: SACs, New Haven (77-4836)  
Boston  
Chicago  
Washington Field

From: Director, FBI (77-97035)

DAVID BOOTH MARGOLIS  
DAPLI  
AUSA  
DISTRICT OF CONNECTICUT  
BUDED: 5-14-65

ReBuairtel 4-29-65.

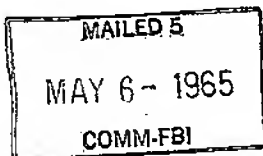
New Haven and Washington Field refer to prior investigation, New Haven file 67-5685, Washington Field file 67-63217, and bring prior investigation up to date. WFO surep on national agency checks and current investigation.

Boston and Chicago refer to your files, Chicago 67-18596 and Boston 67-15953 and consider current investigation as RUC.

A copy of reports submitted in prior applicant investigation will be disseminated to the Department. New Haven need not reinterview references.

77-97035-6  
NOT RECORDED

12 MAY 27 1965



Tolson \_\_\_\_\_  
Belmont \_\_\_\_\_  
Mohr \_\_\_\_\_  
DeLoach \_\_\_\_\_  
Casper \_\_\_\_\_  
Callahan \_\_\_\_\_  
Conrad \_\_\_\_\_  
Felt \_\_\_\_\_  
Gale \_\_\_\_\_  
Rosen \_\_\_\_\_  
Sullivan \_\_\_\_\_  
Tavel \_\_\_\_\_  
Trotter \_\_\_\_\_  
Tele. Room \_\_\_\_\_  
Holmes \_\_\_\_\_  
Gandy \_\_\_\_\_

RLB: skm  
(10)

58 JUN 10 1965

Return to Mr. Bruggerman, Room 1250.

MAIL ROOM ☒ TELETYPE UNIT ☐

na 5/8/65  
5-6-65

AIRTEL AIRMAIL

TO: SAC, NEW HAVEN  
FROM: SAC, ST. LOUIS (77-37611) (RUC)  
RE: DAVID MARGOLIS  
DAPLI  
BUDED: 5/14/65

*[Handwritten signatures and initials: "Hopton", "RUC", "DAPLI"]*

ReBuairtel, 4/29/65.

On 5/5/65, personnel, Federal Records Center, St. Louis, Mo., advised IC [redacted] that no record could be located of applicant's employment, 12/64, U. S. Post Office, Hartford, Conn.; and suggested that he was probably employed as a Temporary Christmas Assistant, records for which are maintained at the place where employed for one year, and then destroyed, if the employee fails to return the following year.

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b7c

New Haven handle.

*[Handwritten signature]*

2 - New Haven  
1 - Bureau  
1 - St. Louis  
HJE:rai  
(4)

77-97035-7  
NOT RECORDED  
16 MAY 8 1965

Ref 2  
58 JUN 10 1965

*[Handwritten signature]*

## FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE <b>NEW HAVEN</b>	OFFICE OF ORIGIN <b>BUREAU</b>	DATE <b>5/13/65</b>	INVESTIGATIVE PERIOD <b>5/5/65 - 5/12/65</b>
TITLE OF CASE <b>DAVID BOOTH MARGOLIS</b>  <b>DAVID MAR GOLIS</b>		REPORT MADE BY SA <span style="border: 1px solid black; display: inline-block; width: 150px; height: 1.2em; vertical-align: middle;"></span>	TYPED BY gd b6 b7C
		CHARACTER OF CASE  <b>DEPARTMENTAL APPLICANT ASSISTANT UNITED STATES ATTORNEY DISTRICT OF CONNECTICUT</b>	

REFERENCES

Report of Special Agent  dated November 20, 1964, at New Haven, entitled "DAVID BOOTH MARGOLIS BUREAU APPLICANT - SPECIAL AGENT", Bufile 67-612022.

Bureau Airtels to New Haven, dated April 29, 1965, and May 6, 1965.

New Haven Airtel to Bureau, dated May 4, 1965.

St. Louis Airtel to New Haven, dated May 4, 1965.

Boston Airtel to New Haven, dated May 5, 1965.

- RUC -

- A\* -

COVER PAGE

APPROVED  <i>[Signature]</i>	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW	
COPIES MADE:  3 - BUREAU (77-97035) 1 - NEW HAVEN (77-4836)		77-97035-8 MCT-46	
		NOT RECORDED 15 MAY 14 1965	
DISSEMINATION RECORD OF ATTACHED REPORT		NOTATIONS	
AGENCY		<i>[Handwritten: Spec. Agent]</i>	
REQUEST RECD.			
DATE FWD.			
HOW FWD.			
BY			

58 JUN 10 1965

UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION

Copy to:

Report of:

SA [REDACTED]

Office: NEW HAVEN

b6  
b7C

Date:

May 13, 1965

Field Office File No.: NH 77-4836

Bureau File No.: 77-97035

Title:

DAVID BOOTH MARGOLIS

Character:

DEPARTMENTAL APPLICANT  
ASSISTANT UNITED STATES ATTORNEY  
DISTRICT OF CONNECTICUT

Synopsis:

Applicant's employment at U. S. Post Office, Hartford, Conn., part-time, 12/18/64 to 12/24/64, verified; record clear. MARGOLIS presently an attorney with Law Firm of COLLINS, LENGE AND BYRNES, Hartford, Conn., a position he has held since early February, 1965. NICHOLAS LENGE, member of firm, deems applicant capable, reliable employee, and person of good character, reputation, and associations. He recommended applicant. Applicant admitted to Connecticut Bar on 8/11/64 and elected to member of Connecticut Bar Association on 9/14/64. No record of complaints or grievances filed against applicant at Grievance and Disciplinary Committee, Judicial Department, State of Connecticut. No adverse credit information for applicant and parents. No arrest record for applicant or members of family, Hartford, Conn. Records Hartford Police Department, Hartford, Conn. show applicant charged with failure to grant right-of-way at intersection 8/7/63. This charge nolleed 8/28/63.

- RUC -

NH 77-4836

DETAILS:

EMPLOYMENT

On May 5, 1965, [redacted] Personnel Department, United States Post Office, Hartford, Connecticut, Hartford, Connecticut, advised that DAVID B. MARGOLIS, 210 Manchester Street, Hartford, Connecticut, born December 18, 1939, Hartford, Connecticut, Social Security No. 047-30-3300, was employed part-time by the United States Post Office Department at Hartford, Connecticut, during the Christmas Season of 1964, and worked intermittently four or five hours a day from December 18, 1964, until December 24, 1964. [redacted] stated his employment record indicates his services were satisfactory and he is eligible for rehire. She stated that in his application, MARGOLIS advised that he was a self-employed attorney, working from his residence at 210 Manchester Street, Hartford, Connecticut.

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On May 12, 1965, NICHOLAS A. LENGE, Attorney, COLLINS, LENGE AND BYRNES, 49 Pearl Street, Hartford, Connecticut, advised that MARGOLIS, who resides at 210 Manchester Street, Hartford, Connecticut, has been employed as an attorney by him since early February, 1965. He stated neither he nor the other two members of this law firm had ever met the applicant prior to the time he interviewed him for the position. LENGE stated he selected MARGOLIS over several other candidates on the basis of his educational background and the impression he made during the initial interview. LENGE stated MARGOLIS, a young inexperienced attorney, is in the process of building up his confidence and is doing this at a healthy rate. He stated MARGOLIS is prompt, capable, conscientious, and has a fine attitude toward his work. He stated that with these attributes, he is confident that MARGOLIS will continue to progress in his chosen field. LENGE stated he, LENGE, is a representative from West Hartford in the Connecticut House of Representatives, which duty has taken him away from his office frequently of late. He stated that in his absence, MARGOLIS has assumed some of his duties, has handled himself well, and has shown he can shoulder responsibility. LENGE stated that although he does not know

NH 77-4836

the applicant socially, he felt that on the basis of his background and his association with him over the past three months he is convinced that MARGOLIS has a strong sense of obligation and dedication to his employer, his family, and his country. He stated he considers MARGOLIS to be a person good character, reputation, and associates and a person who will function well in a position of trust and confidence. LENCE recommended the applicant highly for a position with the United States Government.

CONNECTICUT STATE BAR ASSOCIATION

[redacted] Connecticut State Bar Association, 75 Lafayette Street, Hartford, Connecticut, advised that DAVID MARGOLIS, 210 Manchester Street, Hartford, Connecticut, born December 18, 1939, a graduate of Harvard Law School, was admitted to the Connecticut Bar on August 11, 1964, and was elected to membership in the Connecticut State Bar Association on September 14, 1964.

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On May 10, 1965, [redacted] Judicial Department, State of Connecticut Supreme Court, Hartford, Connecticut, advised there is no record of any complaints having been filed and no record of any disciplinary action taken by the Grievance and Disciplinary Committee.

CREDIT AND ARREST

On May 5, 1965, [redacted] Hartford Credit Rating Bureau, Hartford, Connecticut, advised there is no record of the applicant or his brother, [redacted] in the files of that Bureau. She stated the credit files reflect that the applicant's father, LOUIS MARGOLIS, and his stepmother, MARTHA HARRIS MARGOLIS, 210 Manchester Street, Hartford, Connecticut, have been on file since November 4, 1945. There is no adverse information in their file.

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NH 77-4836

The following individuals advised there is no arrest record for the applicant or members of his family in the files of their respective agencies:

[REDACTED]

Hartford Police Department,  
Contacted May 12, 1965;  
and,

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[REDACTED]

Bureau of Identification,  
Connecticut State Police,  
Hartford, Connecticut,  
Contacted May 7, 1965.

The files of the Hartford Police Department reflected that DAVID MARGOLIS, 210 Manchester Street, Hartford, Connecticut, born December 18, 1939, was charged with failure to grant the right-of-way at an intersection, on August 7, 1963. This charge was nolle on August 28, 1963.

## FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE <b>WASHINGTON FIELD</b>	OFFICE OF ORIGIN <b>BUREAU</b>	DATE <b>5/14/65</b>	INVESTIGATIVE PERIOD <b>5/10-12/65</b>
TITLE OF CASE  <b>DAVID MARGOLIS</b>  This report does not complete the investigation. You will be furnished with additional information when it is received.		REPORT MADE BY <div style="border: 1px solid black; height: 15px; width: 100%;"></div>	TYPED BY <b>wlm</b> b6 b7C
		CHARACTER OF CASE  <b>DAPLI</b>	

**REFERENCES:** Buairtel 4/29/65.  
BSairtel 5/5/65.

- REC -

LEADAT WASHINGTON FIELD

Will report results of IRS check when received.

AN APPLICANT-TYPE INVESTIGATION  
BY THE FBI CONCLUDED ON 5/19/65  
REVEALED NO PERTINENT DEROGATORY  
INFORMATION. THIS IS A RESULT  
OF A REQUEST FOR AN FBI FILE  
CHECK ONLY AND IS NOT TO BE  
CONSIDERED AS A CLEARANCE OR  
RECOMMENDATION OF THE INDIVIDUAL INVOLVED.

6/13/63 RR 4117103

OPM 2003/2004 12/04 TW  
JKE

b7E

APPROVED <i>[Signature]</i>	SPECIAL AGENT IN CHARGE	77-99025-9 DO NOT WRITE IN SPACES BELOW <b>NOT RECORDED</b> <b>12 MAY 27 1965</b> <i>[Signature]</i>
COPIES MADE:  3 - Bureau 1 - WFO (77-80675)  MAY 18 1965 MAY 18 1965		
DISSEMINATION RECORD OF ATTACHED REPORT		NOTATIONS
AGENCY		
REQUEST RECD.		
DATE FWD.		
HOW FWD.		
BY		

58 JUN 10 1965

UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION

Copy to:

Report of:

[REDACTED]

Office: Washington, D. C.

b6

Date:

5/14/65

b7C

Field Office File #:

77-80675

Bureau File #:

Title:

DAVID MARGOLIS

Character:

DEPARTMENTAL APPLICANT  
ASSISTANT UNITED STATES ATTORNEY  
DISTRICT OF CONNECTICUT

Synopsis:

Official personnel file reviewed. No record CSC or HCUA.

- EPC -

DETAILS: AT WASHINGTON, D. C.EMPLOYMENT

On May 10, 1965, SA [REDACTED] reviewed the applicant's file at the Office of the Deputy Attorney General, United States Department of Justice. It showed he is currently under consideration for the position of Assistant United States Attorney, District of Connecticut, but has not entered on duty.

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No additional pertinent information was contained in the file.

MISCELLANEOUS

IC [REDACTED] caused the files of the Bureau of Personnel Investigations, Civil Service Commission, to be reviewed and was advised on May 12, 1965, that the files contained no record for the applicant.

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The files of the House Committee on Un-American Activities were reviewed on May 11, 1965, by IC [REDACTED] and no identifiable record was found for the applicant.

- 1\* -



U.S. TREASURY DEPARTMENT  
INTERNAL REVENUE SERVICE  
WASHINGTON, D.C. 20224

May 21, 1965

IN REPLY REFER TO  
CP:C:D

This report completes the investigation.

b3 26 USC 6103 Per IRS

77-97035-10  
NOT RECORDED  
12 MAY 27 1965

No dissemination should be made of  
Internal Revenue Service information  
outside the White House, Department  
of Justice, and employing agency.

one cc Deputy AG,

MAY 26 1965

58 JUN 10 1965

*Handwritten signature*

FBI.

PRECEDENCE:

- ☐ Immediate  
☐ Priority  
☐ Routine

CLASSIFICATION:

- ☐ TOP SECRET  
☐ SECRET  
☐ CONFIDENTIAL  
☐ UNCLAS E F T O  
☐ UNCLAS

Date 9/23/81

TO : DIRECTOR, FBI

ATTN: ADMINISTRATIVE SERVICES  
DIVISION - PERFORMANCE,  
RECOGNITION AND AWARDS  
UNIT

FROM : SAC, MILWAUKEE (66-1700)

SUBJECT: BELLWETHER;  
TIMBRE

Re Milwaukee airtels to the Bureau, 11/13/80;  
12/29/80 and 6/10/81.

Enclosed herewith for the Bureau is an FD-255 con-  
cerning [redacted] GS-6.

On 10/1/81, indictments will be returned in captioned matters. Therefore, it is requested that the Bureau expeditiously process the recommendations set forth in re airtels and in this communication so that recognition can be given to deserving employees as timely as possible with the return of the indictments. In addition to the recommendations set forth in re airtels and the recommendation for an incentive award for [redacted] whose contribution occurred subsequent to re communications, it is believed that the Director should appropriately recognize the superlative efforts of the Strike Force Attorneys who have contributed immeasurably to the success of these major investigations.

Douglas P. Roller, Chief, Chicago Field Office of the Organized Crime and Racketeering Section, has been intimately

- 3 - Bureau (Encs.-3)  
2 - Milwaukee (1 - 66-1700)  
(1 - [redacted])

GWH/jl  
(5)

*FD-255 returned to Milwaukee for additional information 9/29/81*

SEE CID ADDENDUM - PAGES 3, 4, 5  
See ASD addendum, pages 6 & 7.

Approved: *[Signature]*

Transmitted

(Number)

(Time)

Per *[Signature]*

77-97035-12

ENCLOSURE

FBI/DOJ

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b7C

*1-D&D Whitson 31*

MI 66-1700

involved in captioned matters since their inception in 1978. Mr. Roller was of material assistance in the preparation of several Title III and search affidavits, often at considerable personal inconvenience, and has continually offered sound legal opinions which have been of substantial assistance in the investigative direction in these matters.

**DO NOT  
END  
LETTER**

[redacted] Chicago Field Office of the Organized Crime and Racketeering Section, with offices in Milwaukee, has been assigned as lead counsel for captioned matters since March, 1981. [redacted] has enthusiastically overcome the obstacles associated with joining in the prosecution of such complex matters at a late date, and has been required to digest voluminous physical evidence and Title III intercepts in a very short period. [redacted] has skillfully conducted interrogations in Federal Grand Juries of several key witnesses and has prepared four prosecutive memoranda succinctly stating the evidence in these matters and the legal arguments associated therewith. The memoranda prepared by [redacted] were very professionally done and are among the best ever reviewed by personnel of this office. [redacted] has sacrificed a great deal of his personal time by working nights and week ends to successfully bring these matters to the indictment stage in short order, and his prosecutive talents are indeed a credit to the Department.

5. **Recommendation:** It is recommended that the Director recognize the contributions of Messrs. Roller and [redacted] by letter addressed to David Margolis, Chief, Organized Crime and Racketeering Section, U. S. Department of Justice.

**JUSTIFICATION:** See below, and attach supplemental pages, as necessary, a clear, concise report of employee's performance. Justification of [redacted] - Be specific and omit generalities. Give facts, not conclusions. Not only advise what was accomplished, but how it was accomplished. Avoid empty phrases or generalities. Remember that these justifications are for the record. They may be used to justify a promotion or other action. Do not withhold information for security reasons since neither the law nor any confidential information is available outside the Bureau for such reasons.

Supplementation available:

RELIANT

RELIANT

MI 66-1700

DATE: 12-13-82

The Bellwether/Timbre investigation is one of the most significant organized crime (OC) investigations in the Bureau. Not only has Bellwether/Timbre lead to the indictments of 16 OC figures in Milwaukee (including Frank P. Balistreri, one of the most powerful LCN chiefs in the United States), but information emanating from this Milwaukee investigation later lead to substantial investigations in several field divisions, including Coldwater in Tampa; Fasthit in Columbia; Genus in New York; and Strawman in Chicago, Kansas City and Las Vegas. Accomplishments in Milwaukee have assisted in laying the groundwork for the thrust of the Bureau's current nationwide OC Program.

CID concurs with the recommendations of SAC, Milwaukee, regarding the awarding of incentive awards and letters of commendation as stated below:

SA [ ] and SA [ ] (currently assigned to the Las Vegas Division) were initially the joint case Agents in this extremely complex investigation. The highly successful results of Bellwether/Timbre could not have been achieved without their extremely dedicated efforts. SA [ ] was responsible for the coordination of all informant activities and the preparation of several affidavits for complex Title III coverage (both wire and oral) over several months. SA [ ] was responsible for the daily operations of the electronic surveillance (Title III). The electronic coverage in Bellwether, due to the legal [ ] and logistical problems [ ] were some of the most difficult ever encountered in the Bureau.

Not only did [ ] and [ ] demonstrate their dedication, expertise and professionalism during the Title III coverage but also at the conclusion of the Title III which included the execution of six simultaneous search warrants in 3/80 and the detailed follow through of this investigation which culminated in the return of indictments in 9/81.

The probable cause that was utilized in the affidavits in support of the Title III coverage in Bellwether was furnished as a result of achievements obtained in the Milwaukee undercover operation (UCO) Timbre. SA [ ] (currently assigned to the Miami Division) was the [ ] During SA [ ] [ ]

SA [ ] [ ]

ADDENDUM (Cont'd)

Additionally, Title III coverage during the Balistrieri investigation [redacted]

The success of SA [redacted]

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SA [redacted] was assigned the responsibility of reviewing and coordinating all Title III interceptions during the Bellwether investigation. On 10/3/81 SA [redacted] was transferred to Las Vegas and on 3/9/81 SA [redacted] (case Agent of Timbre investigation) was transferred to the San Diego Division. SA [redacted] assumed the duties of both SAs [redacted] and [redacted] and was specifically responsible for coordinating all investigation and evidence obtained in the Timbre investigation and the correlation between the results of Timbre and Bellwether. Additionally, SA [redacted] was responsible for organizing witnesses to appear before the Federal Grand Jury and the coordination of this matter with the Strike Force Attorney's Office.

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In view of the above, CID recommends that SAs [redacted] and Supervisor [redacted] receive the maximum allowable incentive awards for their efforts.

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After reviewing all aspects of the Bellwether/Timbre investigation, CID concurs with the recommendations of SAC, Milwaukee, concerning the issuance of incentive awards to the following:

SA [redacted]  
SA [redacted]  
SA [redacted]  
SA [redacted] (currently assigned to New Orleans)  
Clerk-Stenographer [redacted] (currently assigned to Cincinnati)  
Secretary (Stenography) [redacted]  
Accounting Technician [redacted]  
Supervisory Clerk [redacted]  
Confidential Clerk [redacted] (resigned 4/8/81 after birth of her child; she is the wife of SA [redacted])  
Confidential Clerk [redacted]

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SA [redacted]  
CID also concurs with the recommendations of SAC, Milwaukee, regarding the issuance of letters of commendation from the Director concerning the following:

ASAC [redacted] (currently assigned to FBIHQ)  
SA [redacted]  
SA [redacted] (currently assigned to San Diego)  
Supervisory Clerk [redacted]

In addition, CID concurs with the issuance of a letter recognizing the accomplishments of Chicago Strike Force Chief Douglas P. Roller in obtaining the indictments in this complex organized crime investigation. In view of the current criminal proceedings pending against [redacted] former Strike Force Attorney, CID does not believe a letter of recognition would be appropriate.

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May 22, 1981

FEDERAL GOVERNMENT

Mr. David Margolis  
Chief  
Organized Crime and Racketeering Section  
U. S. Department of Justice  
Washington, D. C.

Dear Mr. Margolis:

It is my pleasure to bring to your attention the exemplary performance of Attorney in Charge David B. B. Helfrey and Attorney [redacted] of the Kansas City Field Office of the Organized Crime and Racketeering Section in connection with the successfully concluded electronic surveillance phase of the investigation of the murder of Judge John H. Wood.

Without the assistance of Mr. Helfrey and [redacted] the problems involved in the use of this extraordinary investigative technique could not have been successfully overcome. Please extend my appreciation, and that of my associates, to Mr. Helfrey and [redacted] for a job well done.

Sincerely yours,

~~William H. Webster~~

William H. Webster  
Director

- 77C-HQ-1240842-1  
MAY 28 1981
- Kansas City (67-274) (detached)
  - Reurairtel 4-7-81 and urcal 5-10-81.
  - [redacted] (detached)

CAM:ldp (7)

MAILED 1  
MAY 22 1981  
FBI

Exec AD Inv. \_\_\_\_\_  
Exec AD Adm. \_\_\_\_\_  
Exec AD LES \_\_\_\_\_  
Asst. Dir.:  
Adm. Servs. \_\_\_\_\_  
Crim. Inv. \_\_\_\_\_  
Ident. \_\_\_\_\_  
Intell. \_\_\_\_\_  
Laboratory \_\_\_\_\_  
Legal Coun. \_\_\_\_\_  
Plan. & Insp. \_\_\_\_\_  
Rec. Mgnt. \_\_\_\_\_  
Tech. Servs. \_\_\_\_\_  
Training \_\_\_\_\_  
Public Affs. Off. \_\_\_\_\_  
Telephone Rm. \_\_\_\_\_  
Director's Sec'y \_\_\_\_\_

APPROVED:

Director <i>W.H.W.</i>	Adm. Serv. _____	Legal Coun. _____
Exec. AD Adm. _____	Crim. Inv. <i>CM</i>	Plan. & Insp. _____
Exec. AD Inv. <i>MTM</i>	Ident. _____	Rec. Mgnt. _____
Exec. AD LES _____	Intell. _____	Tech. Servs. _____
	Laboratory _____	Training _____
		Off of Cong. & Public Affs. _____

*247* *JWT*

MAIL ROOM ☐

PERIODIC UNIT

best copy available

FEDERAL GOVERNMENT

December 7, 1981

Mr. David Margolis  
Chief  
Organized Crime and Racketeering  
Section  
U. S. Department of Justice  
Washington, D. C.

Dear Mr. Margolis:

My associates in Milwaukee have advised me of the excellent legal guidance provided by Mr. Douglas P. Roller of the Chicago Field Office of the Organized Crime and Racketeering Section in connection with major organized crime investigations conducted by the FBI there, and it is a pleasure to bring his outstanding performance to your attention. We are most grateful for his invaluable assistance from the inception of these cases in 1978, especially in preparing several Title III and search affidavits. His wholehearted commitment contributed greatly to the overall success achieved and I ask that you extend our gratitude and very best wishes to him.

Sincerely yours,

William H. Webster  
Director

1. Milwaukee (66-1700)  
Reurairtel 9/23/81.

NOTE: We have had prior cordial correspondence with Messrs. Roller and Margolis. Address per prior correspondence.  
CAM:sah (6)

Exec AD Inv. \_\_\_\_\_  
Exec AD Adm. \_\_\_\_\_  
Exec AD LES \_\_\_\_\_  
Asst. Dir.:  
Adm. Servs. \_\_\_\_\_  
Crim. Inv. \_\_\_\_\_  
Ident. \_\_\_\_\_  
Intell. \_\_\_\_\_  
Laboratory \_\_\_\_\_  
Legal Coun. \_\_\_\_\_  
Plan. & Insp. \_\_\_\_\_  
Rec. Mgnt. \_\_\_\_\_  
Tech. Servs. \_\_\_\_\_  
Training \_\_\_\_\_  
Public Affs. Off. \_\_\_\_\_  
Telephone Rm. \_\_\_\_\_  
Director's Sec'y \_\_\_\_\_

MAIL ROOM ☒

Memorandum



To : Assistant Director  
Information Management, Division

Date

4/6/93

From :

SI



Subject :

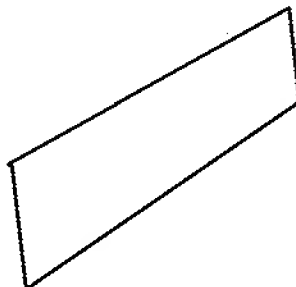
David (NMN) Margolis

BACKGROUND INVESTIGATION  
CONTACT SERVICES (BICS) PROGRAM

77L-HQ-97035 (File number)

The attached documents were generated in connection with a background investigation being conducted by a Special Investigator, under contract of the FBI. These documents should be filed in captioned file and placed on record for future retrieval.

Enc.



77L-HQ-1240842-3

~~77-97035-13~~

6/1/93

Universal File Case Number 77J-HQ-97035

Field Office Acquiring Evidence BICS Region I

Serial # of Originating Document \_\_\_\_\_

Date Received 9/18 - 10/7/92

From \_\_\_\_\_  
(Name of Contributor)

\_\_\_\_\_  
(Address of Contributor)

By SI  \_\_\_\_\_  
(Name of Special Agent)

b6  
b7C

To Be Returned ☐ Yes ☒ No

Receipt Given ☐ Yes ☒ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)

Federal Rules of Criminal Procedure

☐ Yes ☒ No

Title: David (NMN) Margolis

Reference: \_\_\_\_\_  
(Communication Enclosing Material)

Description: ☒ Original notes re interview of

Misc

~~77-97035-13~~

Yare Margalis

7/77 - Present

Jan 606

~~Left~~ Hunter Mill

Left Sunrise Valley

1st Left after WIEHLE<sup>y</sup>

car left

b6

b7C

car  
left

CARL ~~PA~~

Murgols

Res

Doc.

10/1/98

on

1984 - 8 yrs

Just Nebraska

Visit homes

Sees - 4 - 5 times per week

Occasional by Drug

Reputation - good

Character - good, honest  
reliable good man

Law - no - no drugs

Alcohol - no - no place

No other Drugs -

No problems

Legal - ✓ Recommended for  
P of T.

No unusual acts

Years some time - comes  
home some time  
never gets anywhere

b6  
b7c

Family - way out from  
planet

No wild parties

Good Cultures

No probs

Heugh  
CARL-PA

Ninigales

10/1/92



b6  
b7C

Lived 4 yrs in Fort  
Cross - spent  
never observed any movement  
address

+ Very isolated

No strong feeling  
No drug - no alcohol  
Went to use phone when  
locked out. Regular  
De just one org.



Bo

Dore Murphy

10/1/97  
Phone & Card  
Response

[Redacted]

b6  
b7C

[Redacted] Va. //

CARL - PA

[Redacted]

Known - 1983, 9 yrs  
Associates - just neighbors, lots of  
talking

Wife close friend together

Diner, once in - month and sees <sup>never</sup> <sup>large</sup> <sup>or</sup> <sup>much</sup>

Associates: none in

Character, honest, reliable/  
Gardener's

Reputation - well liked, not  
complaining

Francisco's

Saw - "Archolog" - ? no abuse  
never saw drink

\*I.D. or Prescription - no suspicion  
Good Personality, good

Loyalty - Recommends for L.I.

Nice neighbors - keep eye  
have keys to her house

Not negative - adults  
live in neighborhood.

talks  
Once a day (w) wife  
see David once a  
day and talks frequently

10/7/Phone

Dave  
Margolis

(Red)

b6  
b7C  
b7E

Handwritten initials/notes

[Redacted]

D.O.B.

[Redacted]

Work  
→ Title!

[Redacted]

[Redacted]

[Redacted]

Association - professional dealings  
& per benefits

Socialize - 2-4 times per ~~year~~<sup>year</sup>  
Does not a lot of socializing

Known - 1986 ~~Aug~~ Since August  
see frequently in New Britain

Character - honest reliable good man  
- strong family man

Associates - not many - all of  
good character

Reputation - good reputation

Appearance - very much so -  
nothing

Arrest - no abuse

I.D. - no drugs

Loyal - I Recommend for Post  
without  
Reservations

No other - aspects  
Absolutely devoted family man

CHAL  
PA

(P.A.)

Morayls

10/5/92

Shirley

15 years.

Shirley  
10/5/92

Neighbors → ride to work

5-30 - occasional

regularly - in Neighborly

No ob. making

✓ Reputable ✓

✓ Character Honest reliable  
good morals.

✓ Steady  
Associates - good character

✓ Alcohol - no wine no beer

I, O - no drugs at all

No Law -

Francis yes.

Loyal - / Recommends for  
P & T.

Good husband father  
sees several times a week &

b6  
b7C  
b7E

ONCE-A-DAY

NEW

**Zoloft**

(sertraline HCl)

50 mg, 100 mg  
scored tablets

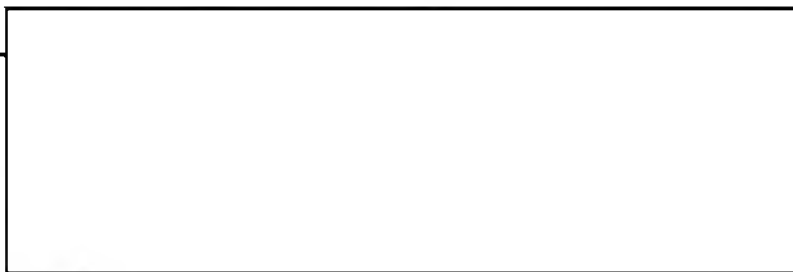


**FROM DEPRESSION... INTO THE MAINSTREAM**

*Ron Margolis*

b6

b7C



*Home*

MLFA

(302)  
David Margolis  
Rom 2/12 - 9.0.1.

9/21/92

Has no  
idea of  
where abouts  
of ex-wife

Reversed divorce  
Divorced.

Acting  
Deputy  
Asst  
Atty  
Gen.

~~Ex-wife~~ might speak freely

One divorce

No arrest -

McSkane not criminally  
minded

No employment denial

No Bankruptcy - No

Credit 1976 - Credit delinquency  
- first - late on charges on  
credit, no collection  
agency

No marital Counseling

- Antisocial Activities

- Use of Alcohol - last 10 yrs  
6 months per year with wife

No foreign contacts or visits

No Professional grievances or complaints

No other aspects to career

\* Needs had counseling when  
teen years but not from

No employment denial

(Ret)

CARL P/A

10/7/90

Phone

Dave Morphy - FBI  
(FBI)

[Redacted]

[Redacted]

[Redacted]

b6  
b7C

Retiree

Most - Retired 1988 - Deputy Ch.

10 year as boss

10 years as collector

Social - only thru business

strictly business & family man

Did travel w him to visit office  
several times

Associates - absolutely - other employees  
not to know

Character, honest, reliable, good  
morales

Reputation: good - no complaints  
no harassment

good attorney & effective manager  
(compassion) & leader.

Finance: no frugal

Attorney Skills - technical attorney - stay  
on top of legal part

Alcohol - no abuse - hardly drinks glass of wine  
I.D. - no - no drugs

Forgal - ✓

Recommends ✓  
whole heartedly.

Dave is a dedicated  
Public Servant.

CARLEAD  
PA

Re

Jane Margolis 9/21

(Ref)

DOB 1

b6  
b7C

Chief Fraud Section  
of Crim. Div. of Justice

Summer 1969 - formerly Professor

1969 - Not much socializing - see  
each - in same car pool  
+ sometimes in neighborhood

No close association of good character but few

One of the smartest attorneys in  
go - no arbiters. If preparing  
attorneys. Only admitted to his  
office + dedicated public servant

Character - honest, forthright

good person - well

Respected - good legal community  
of as a citizen

Financial - very modest life style.

Vacations w/ family - no drinking

M/E - Stable

Alcohol - ? doesn't drink / most like to have

Prescription Code

Legal - Illegal - none  
Legal - ✓ Recommends for Ref. T.

No other aspects to investigate



CARL PA

Super-Emp

9/2/95

b6  
b7c

Asst QB  
Crummer

Know - 7-8 years

Supervisor - 2 yrs

Excellent - fundamental - good

No pre-judice - no bias

Association - Chapman - excellent attorney & adm

Chambers - off on merit

Person - light, ethical, good morals

Associates - route - excellently off

Respectable - excellently

No grievances - filed that

Room off - rose of

Ammer - OK - no union

Achol - now

Prescriptions - not necessary

Large - ✓, Recommendations for  
of Trust.

beyond ethical  
reproach

Re Margolis

Special Camp  
Chief of Police  
attorney General  
Criminal  
Dept

9/21/92

b6  
b7c

CARL  
DA.

Know Act 1990  
Process Professors and no supervision  
Occasional Society

- Superstar per formance - elegant
- no prescription
- Character - honest, restatement,  
good moral Chinese  
Associations - no names  
not know

Professional Grievances - couple  
OK - no substance  
Reputation - good in business and  
legal community  
Achal - ? only occasional  
Prescriptions - no - no ailments  
occasionally Responses

Loyal - to US  
Recommend for P of  
Other - no other aspects to  
or

9/21/97 G. Hargolis

CARL  
FO

John C. Keeney <sup>DOB</sup> 2/19/22  
Dept. Asst  
Atty General  
Criminal

Known - interviewed 1965  
Limited Contact - Since 1970's -  
Professional - Supervised several  
No association

Years while he was chief of OC

Performance - o/s attorney skills  
No prejudices or biases

Character - honest, reliable, good  
morals, - no prejudice or bias

No problems as attorney

Financial - ? doesn't focus on

Alcohol - very infrequent  
No prescriptions or illegal

Reputation - excellent in  
office and in legal community

Loyal - Yes - Recommended for P

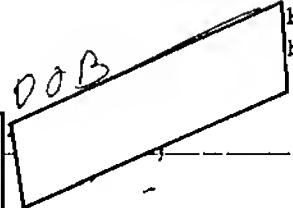
No other other areas to investigate

W.C.

9/21/92

Remon's  
Morgan

PA  
CARL  
FINC  
PA



b6  
b7C

Dep. Asst. AG - Criminal Div.  
USDOJ

Know - 11 years

Association - Professional  
Outside - none  
Socialization Occasional Dinner group  
Private

Excellent attorney & Public

No bias or preference

Reputation - excellent

No professional grievance

Character - honest, good, thoughtful  
ethical, good money

Alcohol - infrequent - no problems  
doesn't drink anymore (10 yrs)

Prescription / Legal Research

Finance - no problems

Fayal - ✓ Recommends for T  
unhesitatingly - high probal  
& ethical

Never a supervisor - mostly  
co workers and other things

Worked closely over the years -

No other

Fine public servant, dedicated  
and committed - highest traditions  
fine example of a public servant

and has its several  
public interest  
— get along well

Congenial, decent guy, loves  
family, job and D. C. I. and  
committed to fulfilling  
his out of office — best  
job and fair as possible

Memorandum



TO:

DATE: 11-13-92

☒ Office of Security Programs

Attn: [redacted]  
Main Justice, Room 6525

☐ Office of the Vice President  
Executive Office Building  
Room 423

b6  
b7C

☐ Office of Personnel Management

Attn: [redacted]  
Office of Federal Investigations  
600 E Street, N.W.

☐ Office of Attorney Personnel Management

Attn: [redacted]  
Main Justice, Room 6150

☐ The White House

Attn: [redacted]  
Counsel to the President

☐ U.S. Department of State

Attn: [redacted]  
2210 C Street, N.W., Room 2422

☐ Office of the Attorney General

Attn: [redacted]  
Main Justice, Room 5132

☐ Administrative Office of the U.S. Courts

Attn: [redacted]  
Personnel Security Specialist  
Room 5-543, One Columbus Circle, N.E.

☐ Executive Office for U.S. Attorneys

Attn: [redacted]  
Patrick Henry Building

☐ Office of the Pardon Attorney

Attn: [redacted]  
7th Floor, 500 1st Street, N.W.

☐ Office for Professional Responsibility

Attn: [redacted]  
Main Justice, Room 4304

☐ Executive Office for U.S. Trustees

Attn: [redacted]  
HOLC Building, Room 812

☐ Office of Senate Security

Attn: [redacted]  
S407, U.S. Capitol  
Washington, D.C. 20510

☐ Office of Intelligence Policy and Review

Attn: [redacted]  
Main Justice, Room 6325

☐ Office of the Attorney General

Attn: [redacted]  
Main Justice, Room 4410

From: [redacted] Chief  
General Background Investigation Unit  
EFJ/csr

Subject: DAVID NMN MARGOLIS

Status of Investigation: ☐ Incomplete ☒ Complete

Comments:

Any questions regarding this candidate please  
contact [redacted] at 324-4229.

*6/1/93*



U.S. Department of Justice

Federal Bureau of Investigation

Washington, D. C. 20535

October 28, 1992

DAVID MARGOLIS  
BACKGROUND INVESTIGATION  
DEPARTMENT OF JUSTICE

RECORD CHECK

Searches of the various indices of the FBI, including but not limited to the central index maintained at FBI Headquarters, the indices of appropriated field office, and other appropriate computer data bases, did not identify any documents containing pertinent information identifiable with the candidate or his close relatives, except the following:

David Margolis was the subject of a favorable background investigation initiated in April 1965 regarding employment with the United States Department of Justice as an Assistant United States Attorney.

David Margolis was also the subject of a background investigation initiated in November 1964 regarding employment with the Federal Bureau of Investigation as Special Agent.

It should be noted that the currency of the data input into the various indices can vary from days to even months from the date of the document as a result of existing clerical backlogs throughout the FBI.

AGENCY CHECK

On August 27, 1992, indices were checked at the United States Office of Personnel Management (OPM), and no record was located concerning the candidate.

Dep. Dir. \_\_\_\_\_  
ADD Adm. \_\_\_\_\_  
ADD Inv. \_\_\_\_\_  
Asst. Dir.:  
Adm. Serv. \_\_\_\_\_  
Crim. Inv. \_\_\_\_\_  
Ident. \_\_\_\_\_  
Insp. \_\_\_\_\_  
Intell. \_\_\_\_\_  
Lab. \_\_\_\_\_  
Legal Coun. \_\_\_\_\_  
Rec. Mgnt. \_\_\_\_\_  
Tech. Serv. \_\_\_\_\_  
Training \_\_\_\_\_  
Cong. Affs. Off. \_\_\_\_\_  
Off. of EEO \_\_\_\_\_  
Off. of Liaison \_\_\_\_\_  
Int. Affs. \_\_\_\_\_  
Off. of Public Affs. \_\_\_\_\_  
Telephone Rm. \_\_\_\_\_  
Director's Sec'y \_\_\_\_\_

ORIGINAL DELIVERED TO DOJ-OSP BY COURIER ON 11-12-92 LOH/ALL

(2)

CC [redacted]  
6/3/03 R.R. 4/17/03  
TW

u / [signature]

b7E

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

MAIL ROOM ☐

RE: DAVID MARGOLIS

CREDIT RECORD

On August 27, 1992, a search of the computerized records of CBI, Washington, DC, Silver Spring, Maryland, revealed the candidate has fifteen (15) trade accounts, all of which are paid as agreed with no record of late payments or past due notices. One account is not rated.



FACILITY ACCESS & SECURITY UNIT  
ROOM 4370  
ATTN: [REDACTED]

b6  
b7c

FROM: GENERAL BACKGROUND INVESTIGATIONS  
ROOM 4383

ATTN: [REDACTED] EXT. 4229

BUDED: 10/13/92

\*\*\*\*\*  
\*\*\* NOTICE OF PERSONNEL INVESTIGATIONS \*\*\*  
\*\*\*\*\*  
DATE OF APPOINTMENT  
TYPE OF APPOINTMENT

POSITION SENSITIVITY: 3

INVESTIGATING AGENCY: SOI - DJ90

INVESTIGATION TYPE: 30

DATE INVESTIGATION INITIATED: 08/25/92

AGENCY FILE #: [REDACTED]

AGENCY FILE LOCATION: SOI - DJ90  
OR IF NOT AT SOI, ENTER LOCATION BELOW:

AGENCY NAME:  
AGENCY LOCATION:

No Record: ☒  
No Pertinent Information: \_\_\_\_\_  
File Requested: \_\_\_\_\_  
Possible Match: \_\_\_\_\_  
(OPM-FIPC will follow up)  
Case in Progress, contact OPM-FIPC  
Checked by: JB Date: 8-27-92  
Facility Access and Security Unit

\*\*\*\*\*  
\*\*\* SUBJECT IDENTIFICATION \*\*\*  
\*\*\*\*\*

NAME: MARGOLIS DAVID NMN

SSN: 047-30-3300 DOB: 12/18/39

POB: HARTFORD

CT

(COUNTRY IF OTHER THAN U.S.)

AKA: LAST FIRST MIDDLE SUFFIX FROM TO NEE

\* NONE \*

SII SEARCH REQUESTED? Y

SII FILE RELEASE REQUESTED? Y

\*\*\*\*\*

*[Handwritten signature]*

NOTICE OF INVESTIGATION COMPLETED - NO SII RECORD FOR SSN 047-30-3300

\*\*\*\*\*

FUNCTION: \_\_\_\_\_ \*\*\*\*\* NOTICE OF PERSONNEL INVESTIGATION \*\*\*\*\*

\*\*\*\*\*

POSITION SENSITIVITY: \_ (1=NS, 2=NCS, 3=CS, 4=SS)

INVESTIGATING AGENCY: SOI - \_\_\_\_\_

INVESTIGATION TYPE: \_ (11=PRI, 15=MBI, 20=LBI, 25=BI, 30=SBI, 39=OTHER)

DATE INVESTIGATION INITIATED: \_ / \_ / \_

AGENCY FILE #: \_\_\_\_\_

AGENCY FILE LOCATION: SOI - \_\_\_\_\_

OR, IF NOT AT SOI, ENTER LOCATION BELOW:

AGENCY NAME: \_\_\_\_\_

ADDRESS: \_\_\_\_\_

\*\*\*\*\*

(A) AGENCY MENU (E) END

4B\*

E2

REQUEST FOR CREDIT CHECK  
-----

AUG 21 9 35 AM '92  
DATE 08/25/92  
-----

TO: CONTRACTOR  
FROM: GENERAL BACKGROUND INVESTIGATION UNIT (GBIU), CID

ATTENTION:  ROOM 4383 EXT. 4229

b6  
b7C

----- RESPONSE CRITERIA ----- TYPE OF REQUEST -----  
10 WORK DAYS SUITABILITY  
-----

SUBJECT'S NAME: MARGOLIS, DAVID NMN MAIDEN:

DATE OF BIRTH (DOB): 12/18/39 PLACE OF BIRTH (POB): HARTFORD, CT

SEX: M SOCIAL SECURITY ACCOUNT NUMBER (SSAN): 047-30-3300

SPOUSE'S NAME:  MAIDEN:   
AKA:

SUBJECT'S CURRENT  
ADDRESS:

b6  
b7C

SUBJECT'S  
ADDRESS(ES)  
FOR LAST  
SEVEN (7) YEARS:

ENCLOSURE - RELEASE FORM TO BE ATTACHED

*U/ [Signature]*

# UNITED STATES OF AMERICA

## AUTHORIZATION FOR RELEASE OF INFORMATION

Carefully read this authorization to release information about you, then sign and date it in ink.

I **Authorize** any investigator, special agent, or other duly accredited representative of the U.S. Office of Personnel Management, the Federal Bureau of Investigation, the Department of Defense, and any authorized Federal agency, to obtain any information relating to my activities from schools, residential management agents, employers, criminal justice agencies, retail business establishments, or other sources of information. This information may include, but is not limited to, my academic, residential management, performance, attendance, disciplinary, employment history, and criminal justice information.

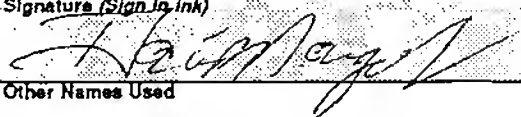
I **Understand** that [redacted] lending institutions, medical institutions, hospitals, health care professionals, and other sources of information, a separate specific release will or may be needed, and I may request such a release at a later date.

I **Further Authorize** the Office of Personnel Management, the Federal Bureau of Investigation, the Department of Defense, and any other authorized agency, to request criminal record information about me from criminal justice agencies for the purpose of determining my eligibility for, assignment to, or retention in, a sensitive position, in accordance with 5 U.S.C. 9101.

I **Authorize** custodians of records and sources of information pertaining to me to release such information upon request of the investigator, special agent, or other duly accredited representative of any Federal agency authorized above regardless of any previous agreement to the contrary.

I **Understand** that the information released by records custodians and sources of information is for official use by the Federal Government only for the purposes provided in this Standard Form 86, and may be redisclosed by the Government only as authorized by law.

Copies of this authorization that show my signature are as valid as the original release signed by me. This authorization is valid for two (2) years from the date signed.

Signature (Sign in ink) 		Full Name (Type or Print Legibly) David Margolis (NMN)		Date Signed 8-5-92	
Other Names Used		Social Security Number 01417 - 310 - 3131010			
Current Address (Street, City)		State V I A	ZIP Code	Home Telephone Number (Include Area Code)	

b6  
b7C

GBIU 10 DAYS E

b6  
b7C

MARGOLIS ,DAVID

VND: C B I PAGE 1  
BUR: CBI WASHINGTON DC  
12501 PROSPERITY DRIVE  
SILVER SPRINGS MD 20904  
(301) 680-0770

SUBJECTS NAME  
MARGOLIS ,DAVID  
ALSO KNOWN AS

MS DEP DOB SSN  
12/18/36 047303300  
SPOUSE NAME SPOUSE SSN

CURRENT ADDRESS

FORMER ADDRESS

7 HOPE ST STAMFORD ,CT

CURRENT EMPLOYER  
US DEPT OF JUSTICE  
OCCUPATION

FORMER EMPLOYER

FORMER OCCUPATION

\*\*\*\*\* TRADE \*\*\*\*\*

SUBR NAME	ECOA TYPE	ACCOUNT NUMBER	MOP HIST	PAYMENT	REMARKS
SUBR CODE	DLR DO DLA	HIGH TERM BAL	PAST	PATTERN	

30 60 90

1 99

PAYS AS  
AGREED

b6  
b7C

1 83

PAYS AS  
AGREED

1 93

PAYS AS  
AGREED

1 34

PAYS AS  
AGREED

1 54

PAYS AS  
AGREED

## FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE WMFO	OFFICE OF ORIGIN BUREAU	DATE 11/5/92	INVESTIGATIVE PERIOD 11/5/92
TITLE OF CASE DAVID "NMN" MARGOLIS		REPORT MADE BY IA <span style="border: 1px solid black; padding: 0 20px;"> </span> <i>Xase</i> 11.5.92	TYPED BY: jsc
		CHARACTER OF CASE BACKGROUND REINVESTIGATION DEPARTMENT OF JUSTICE	

b6  
b7c

Reference: Bureau airtel dated 8/26/92 and WMFO report dated 10/14/92, captioned as above.

- RUC -

ADMINISTRATIVE: Buded 10/13/92

Where appropriate, Privacy Act (e) (3) data was furnished to persons interviewed. Express promise of confidentiality, both limited and unlimited have been noted where granted.

This report is being submitted late due to delays at DOJ. Records were requested on 9/14/92, 10/14/92 and 11/2/92, but results were available on 11/5/92.

APPROVED <i>B/ld</i>	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW			
COPIES MADE: ②-BUREAU (77J-HQ-97035) 1-WMFO (77J-HQ-97035)					
DISSEMINATION RECORD OF ATTACHED REPORT		Notations			
Agency	100-10				<i>L. G. H. / M. J. H.</i>
Request Recd.	001-50	9/17/03			
Date Fwd.	11-13-92	6/3/03			
How Fwd.					
By	1/4/CSR	TD			

b7E

UNITED STATES DEPARTMENT  
Federal Bureau of Investigation

Copy to:

Report of:

Date:

November 5, 1992

Office: WMFO

b6  
b7c

Field Office File #: 77J-HQ-97035

Bureau File #: 77J-HQ-97035

Title: DAVID NMN MARGOLIS

Character: BACKGROUND REINVESTIGATION  
DEPARTMENT OF JUSTICE

Synopsis: The OPR at DOJ, revealed a case 88-0191, which MARGOLIS, was involved in, during his position as Chief, Organized Crime Section, at U.S. Justice Department, Washington, D.C.

- RUC -

DETAILS: AT WASHINGTON, D.C.

WMFO 77J-HQ-97035

JSC:jsc

1

OFFICE OF PROFESSIONAL RESPONSIBILITY

10th and Pennsylvania Avenue, N.W.,  
Washington, D.C.

On November 5, 1992, Investigative Assistant (IA) [ ]  
[ ] reviewed a file at the Office of Professional  
Responsibility, at above captioned address which was made  
available by [ ] Room 4305, regarding the  
applicant, DAVID NMN MARGOLIS, DOB: 12/18/39, SSN:047-30-3300.  
The following information was made available:

b6  
b7C

CASE 88-0191  
MARGOLIS, DAVID - Chief  
[ ] - Deputy Chief  
OCRS, Criminal Division  
[ ] - Complainant

b6  
b7C

Subject: Improper removal of Strike Force Attorney  
[ ] From MCA Case.

Details: On February 11, 1988, a letter was received  
from [ ] complaining that [ ] was taken off the MCA  
Records Case, simply because he was uncovering payola and  
organized crime ties in the music business. On March 14, 1988,  
another letter was received from [ ] wanting to know whether  
[ ] had anything to do with [ ] removal. On July 18,  
1988, MARGOLIS and [ ] categorically denied any improprieties  
of the removal of [ ] MARGOLIS, reviewed all material and  
letters from [ ] for review and response. All of [ ]  
letters refer to [ ] as an U.S. Assistant Attorney, but  
throughout his service [ ] was a Department of Justice  
Attorney assigned to Los Angeles Strike Force.

b6  
b7C

Conclusion: On January 10, 1991, the file was  
considered Administratively Closed-STALE, also there was no  
evidence of improper removal of [ ]



## FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE BICS REGION 1	OFFICE OF ORIGIN FBIHQ	DATE 10/28/92	INVESTIGATIVE PERIOD 9/18/92 - 10/7/92
TITLE OF CASE DAVID (NMN) MARGOLIS		REPORT MADE BY SI <span style="border: 1px solid black; display: inline-block; width: 100px; height: 1.2em; vertical-align: middle;"></span>	TYPED BY: rfm
		CHARACTER OF CASE BI-US DOJ (R)	

b6  
b7CREFERENCE:

FBIHQairtel, dated 8/26/92.

*Correct pg 9*ENCLOSURE:*-RUC-*

Copy of divorce decree enclosed as an attachment.

ADMINISTRATIVE:

All persons interviewed were furnished the appropriate provisions of the Privacy Act. Express promises of confidentiality have not been granted.

Where utilized, telephonic interviews were at the request of interviewees.

APPROVED <i>Fmm/Krl</i>	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW			
COPIES MADE: ②-Bureau 1-BICS Region 1 (Enclosure) (77J-HQ-97035) <i>sent 11/10/92 Krl</i>					
DISSEMINATION RECORD OF ATTACHED REPORT		Notations			
Agency	<i>cc to</i>				
Request Recd.	<i>DD-050</i>	<i>4/17/03</i>			
Date Fwd.	<i>11-12-92</i>	<i>6/3/03</i>			
How Fwd.					
By	<i>EGH/088</i>	<i>rw</i>			

b7E

UNITED STATES DEPARTMENT OF JUSTICE  
Federal Bureau of Investigation

Copy to:

Report of: SI [REDACTED]  
Date: October 28, 1992

Office: BICS REGION 1

b6  
b7C

Field Office File #: 77J-HQ-97035

Bureau File #: 77J-HQ-97035

Title: DAVID (NO MIDDLE NAME) MARGOLIS

Character: BACKGROUND REINVESTIGATION -  
UNITED STATES DEPARTMENT OF JUSTICE

Synopsis: Candidate interviewed and favorable. Employment  
verified, supervisors and coworkers recommend.  
Neighborhood favorable, neighbors recommend. References  
interviewed and recommend.

DETAILS:

The following investigation was conducted by Special  
Investigator (SI) [REDACTED]

RESIDENCE

[REDACTED]  
July, 1977 - Present

b6  
b7C

On October 1, 1992, [REDACTED]  
[REDACTED] was interviewed at her residence, [REDACTED]  
[REDACTED] VA. She advised the candidate has been her neighbor  
since she moved into the neighborhood in 1984. She stated she  
sees him four or five times a week in the neighborhood, and has  
had dinner with him and his wife on several occasions during the  
year. She stated the candidate is a good family man who spends  
most of his time at home with his family.

b6  
b7C

[REDACTED] stated the candidate has a good reputation in the  
neighborhood, is financially responsible, and of good moral  
character. The candidate has never expressed any bias or  
prejudice towards any groups of citizens. She stated the few  
associates known to her are other neighbors of good character and  
reputation.

She stated the candidate does not abuse alcohol, and  
she has no reason to suspect he might use illegal drugs or misuse  
prescription drugs. She stated he leaves early in the morning  
and comes home late at night, just like all the other commuters  
to Washington, DC.

[REDACTED] stated the candidate has no unusual hobbies or  
lifestyle habits that would warrant further investigation. She  
stated he is loyal to the United States, and recommends him for a  
position of trust.

b6  
b7C

On October 1, 1992, [REDACTED]  
[REDACTED] VA, was interviewed at her  
residence. She stated she has resided at this address for four  
years, but does not know the candidate by name or description,  
and cannot comment on his character, reputation, loyalty, or  
suitability for a position of trust. She stated she visited the  
candidate's home one time to use the telephone when she had  
locked herself out of her house.

She stated she did not have any reason to suspect the  
candidate uses illegal drugs or misuses prescription drugs, and  
there is no indication that he ever abuses alcohol or has any  
financial difficulties. [REDACTED] could provide no further  
information.

b6  
b7C

On October 1, 1992, [REDACTED]  
[REDACTED] VA, telephone number [REDACTED] telephonically  
responded to a calling card left at her residence on October 1,  
1992.

She stated she has known the candidate since 1983, as a neighbor, and is a close friend of his wife. She stated she sees and speaks to them several times a week, and has dinner with them once a month.

[ ] describes the candidate as a nice neighbor, and trusts him well enough to let him and his wife have keys to her house. She stated he was honest, reliable, and of good moral character and reputation. She stated she does not recall ever seeing the candidate use alcohol, and has no reason to suspect he uses, or ever used, illegal drugs or misuses prescription drugs. The candidate has never expressed any bias or prejudice towards any groups of citizens.

b6  
b7C

She stated the candidate is financially responsible, and his few associates are of good character and reputation. She stated the candidate is loyal to the United States, and recommends him for a position of trust and responsibility.

On October 1, 1992, calling cards were left at [ ] requesting contact for personal interview. A calling card was also left at [ ] VA, at the residence of [ ] requesting contact for personal interview. As of October 18, 1992, only residents of [ ] had responded.

b6  
b7C

On October 5, 1992, [ ] date of birth (DOB) [ ] telephonically responded to a calling card left at his residence on October 1, 1992. He advised his telephone number is [ ] and he has been a neighbor of the candidate for 15 years, and used to ride to work with the candidate. He stated he is retired from the [ ] and now only sees the candidate as a neighbor.

b6  
b7C  
b7E

He described the candidate as honest, reliable, and of good moral character and reputation. He has never known the candidate to abuse alcohol, and has no reason to suspect he ever used illegal drugs or misuses prescription drugs. The candidate has never expressed any bias or prejudice towards any groups of citizens. He stated the candidate's few associates are of good character; the candidate is financially responsible, and a good citizen, husband, and father.

[ ] stated he sees the candidate several times a week in the neighborhood, and has been to his home on 15 or so occasions for parties or dinner.

b6  
b7C

He advised the candidate is loyal to the United States, and recommends him for a position of trust and responsibility.

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On October 7, 1992, [redacted] DOB [redacted]  
[redacted] telephone number [redacted]  
telephonically responded to a calling card left at his residence  
on October 1, 1992. He advised he is [redacted] at  
the [redacted] and has been a neighbor of the candidate since August,  
1986. He advised he sees the candidate in the neighborhood  
several times a week, but only socializes with him approximately  
twice a year for dinner or a neighborhood function.

He stated the candidate is a very responsible citizen,  
honest, reliable, and of good moral character and reputation.  
The candidate has never expressed any bias or prejudice towards  
any groups of citizens. He describes the candidate as a family  
man who spends most of his time at home with his family.

He advised the candidate is financially responsible,  
and does not abuse alcohol, use illegal drugs, or misuse  
prescription drugs.

He advised the candidate has few associates of whom he  
is aware, and those he has observed are other neighbors and  
others who appear to be of good character and reputation.

He stated the candidate is loyal to the United States,  
and recommends him for a position of trust and responsibility.

## EMPLOYMENT

United States Department of Justice  
Criminal Division  
Tenth and Pennsylvania Avenues, Northwest  
Washington, DC 20530  
August, 1976 - Present

The candidate has served in numerous positions with the United States Department of Justice since 1976, and prior. His current position is Acting Deputy Assistant Attorney General, Criminal Division, United States Department of Justice.

On September 21, 1992, the following investigation was conducted at the captioned location:

[redacted] Assistant Attorney, Criminal Division, United States Department of Justice, was interviewed at his office.

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He stated he has known the candidate for about eight years, and has been his supervisor for about two years. He advised the candidate is an excellent employee who is known for his good judgment. He did not know him to have any prejudice or bias, and considered him an excellent attorney and administrator.

He stated the candidate is honest, reliable, and of good moral character and reputation. He noted the candidate is ethical, and has not had any professional complaints filed against him, except those normally experienced in his managerial position, none of which were of any merit or substance.

[redacted] stated he did not associate with the candidate outside work, and did not know his close friends or associates. He stated the candidate has a good reputation in the legal community and within the Department of Justice.

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He stated the candidate is financially responsible, does not abuse alcohol, use any illegal drugs, or misuse prescription drugs.

He stated the candidate is loyal to the United States, and recommends him for a position of trust.

[redacted] Special Counsel to the Assistant Attorney General, Criminal Division, United States Department of Justice, advised that he has known the candidate since October, 1990, as an associate, but has never been the candidate's supervisor. He stated he has known the candidate professionally for a number of years, but their encounters were brief.

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He advised he did not socialize with the candidate, and did not know his close friends or associates outside work.

He advised the candidate was the subject of several Office of Professional Responsibility Investigations connected with his duties at the Department of Justice, but none of the allegations were of any substance.

[ ] advised the candidate had a good reputation in the Department of Justice and within the legal community, and does not exhibit bias or prejudice.

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[ ] advised the candidate does not abuse alcohol, and he has no reason to suspect he ever used illegal drugs or misused prescription drugs.

[ ] stated the candidate is financially responsible and a responsible citizen of the community. He advised the candidate is loyal to the United States, and recommends him for a position of trust and responsibility.

JOHN C. KEENEY, DOB February 19, 1922, Deputy Assistant Attorney General, United States Department of Justice, Criminal Division, advised he has known the candidate since 1965, but only on a casual basis. He stated he interviewed the candidate in 1965, as an applicant to the Department of Justice. He stated he supervised the candidate for several years during the 1970s when the candidate was Chief of the Organized Crime Section. Since then, they have been peers or associates at the Department of Justice.

KEENEY stated the candidate is an excellent attorney of exceptional skills, and is not known to have any bias or prejudice. He described him as honest, reliable, and of good moral character and reputation. He has never known him to be the subject of any investigation of substance regarding his ethics or professional conduct.

KEENEY stated the candidate was financially responsible and well-regarded in the legal community. He did not know the candidate to abuse alcohol, or to ever use illegal drugs or misuse prescription drugs. He did not socialize with the candidate outside work, and did not know his close friends or associates.

KEENEY stated the candidate is loyal to the United States, and he recommended him for any position of trust and responsibility.

He stated he did not know of anything in the candidate's background or lifestyle that would warrant further investigation.

[redacted] DOB [redacted] Deputy Assistant Attorney General, United States Department of Justice, Criminal Division, advised he has known the candidate as an associate for about 11 years. He advised their association has been purely professional, and he does not socialize with the candidate or know any of his close friends or associates.

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He advised the candidate has a good reputation as an excellent attorney and public servant. He did not know him to have any bias or prejudice. He stated the candidate is honest, reliable, ethical, and of good moral character and reputation. He advised he has not known the candidate to use alcohol during the past ten years, and does not believe he abuses alcohol. He advised he has no reason to suspect the candidate uses, or ever used, illegal drugs, or misuses prescription drugs.

He advised the candidate is financially responsible and a dedicated public servant.

[redacted] described the candidate as a congenial, decent guy who loves his family, his job, is fully committed to fulfilling his oath of office and to serve in the public interest.

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He advised the candidate is loyal to the United States, and recommends him for a position of trust and responsibility.



## REFERENCES

On September 21, 1992, [redacted] DOB [redacted] Chief, Fraud Section, Criminal Division, United States Department of Justice, 1400 New York Avenue, Northwest, Washington, DC, was interviewed at his office. He stated he has known the candidate since 1969, as a colleague in the Department of Justice. He advised he was also a resident of [redacted] VA, and often carpooled with the candidate for several years.

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He stated he did not socialize often with the candidate, and did not know his close friends or associates. He noted that the few associates he met were of good character and reputation.

He advised the candidate is forthright, honest, personable, and of good moral character. The candidate has never expressed any bias or prejudice towards any groups of citizens. He stated the candidate has a good reputation in his community and within the legal community. He stated the candidate and his family live very modest lives, well within the candidate's financial ability.

[redacted] advised the candidate has an excellent reputation as an attorney and public servant, and is well-respected in the legal community.

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He did not know the candidate to abuse alcohol, and had no reason to suspect he ever used illegal drugs or misused prescription drugs.

He stated the candidate is loyal to the United States, and recommended him for any position of trust or responsibility.

[redacted] stated he knew of no other aspects of the candidate's lifestyle, hobbies, or habits which would warrant further investigation.

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On October 7, 1992, [redacted] [redacted] VA, telephone number [redacted] was telephonically contacted for interview. [redacted] was contacted numerous times to arrange a personal interview, but was always unavailable for personal interview. He recognized the difficulty in arranging an interview with this SI, and requested a telephonic interview.

[redacted] stated he retired from the Department of Justice in 1988. He had known the candidate as a colleague at the Department of Justice for ten years in the Criminal Division. He described the candidate as a dedicated public servant who is honest and of good moral character. He stated the candidate was a good attorney and an effective manager who is well-respected

for his ethics and good character. The candidate never expressed any bias or prejudice towards any groups of citizens. He stated the candidate is of good character and reputation, is financially responsible, and does not abuse alcohol, use illegal drugs, or misuse prescription drugs. [REDACTED] could not comment relative to candidate's outside associates.

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He stated the candidate is loyal to the United States, and recommends him for a position of trust and responsibility.

Where utilized, telephonic interviews were at the request of interviewees.

## FEDERAL BUREAU OF INVESTIGATION

Date of transcription 10/28/92

DAVID (NO MIDDLE NAME) MARGOLIS, the candidate, was interviewed at his place of employment, UNITED STATES JUSTICE DEPARTMENT, Tenth and Pennsylvania Avenues, Northwest, Washington, DC, where he is employed as Acting Deputy Assistant Attorney General, Criminal Division. He reviewed his Standard Form (SF)-86, stated it was complete and correct and that he had no changes or corrections. He was further advised that his responses to the questions asked should cover his entire adult life and were not limited to time periods in his SF-86.

MARGOLIS stated he has never filed for bankruptcy, or had any property repossessed or any credit accounts placed for collection. He stated he has never been a party to a civil suit, except for a divorce (copy attached), and several suits resulting from his management position with the DEPARTMENT OF JUSTICE, all of which were unwarranted. He recalled he had one delinquent credit account in 1976, but was able to remedy it without the account being placed for collection.

He stated he has never been arrested or been the subject or suspect in a criminal investigation. He stated he has never been denied employment for any cause, and has never been fired from a job.

He advised he has never had any professional complaints or grievances filed against him, and has no business or other interests that would conflict with his duties at the UNITED STATES DEPARTMENT OF JUSTICE.

MARGOLIS advised he has never had any type of marital counseling or psychological counseling. However, his children did receive some counseling as teenagers. He received some feedback regarding the counseling, but was not himself a patient.

MARGOLIS advised he has used alcohol about once every six months during the past ten years, on special occasions, and usually limits himself to a glass of wine. He stated he has

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Investigation on 9/21/92 at Washington, DC File # 77J-HQ-97035

by SI [redacted] rfm Date dictated 10/18/92

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WMFO 77J-HQ-97035

Continuation of FD-302 of DAVID (NO MIDDLE NAME) MARGOLIS, On 9/21/92, Page 2

never had any contact with agents or representatives of foreign governments, except in the line of duty, and has no interests whatsoever that would conflict with his duties with the UNITED STATES DEPARTMENT OF JUSTICE.

He stated he has never used illegal drugs or misused prescription drugs, and does not know of anything in his life or lifestyle that could possibly be used to coerce him.

He advised that he knew of nothing else in his life that would have a bearing on his continued employment with the UNITED STATES DEPARTMENT OF JUSTICE.

The candidate advised he has no idea of the whereabouts of his former wife.

UNITED MEXICAN STATES )  
STATE OF CHIHUAHUA )  
CONSULATE OF THE UNITED ) ss:  
STATES OF AMERICA )  
AT CIUDAD JUAREZ )

I, [redacted] ~~Vice~~ Consul of the United States of America at Ciudad Juarez, Chihuahua, Mexico, duly commissioned and qualified, do hereby certify that [redacted] and [redacted] whose true signatures and official seals are, respectively subscribed and affixed to the annexed document, were on the 30 day of DECEMBER 1967 the date thereof, Judge and Secretary of the Third Civil Court, (Juez y Secretario del Juzgado Tercero de lo Civil), Bravos District, Ciudad Juarez, Chihuahua, Mexico, duly commissioned and qualified to whose official acts, faith and credit are due.

The Consulate assumes no responsibility for the contents of the annexed document, nor for the validity of the document, or for its acceptability in any state in the United States.

IN WITNESS THEREOF I have hereunto set my hand and affixed the seal of this Consulate at Ciudad Juarez, Chihuahua, Mexico, this 2 day of JANUARY 1968.

[redacted]  
[redacted]  
~~Vice~~ Consul of the United States  
of America.

Item No. 48  
Fee \$2.50

Torres.

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THE HON. BENJAMIN ASCENSIO CORDERO, CLERK OF THE THIRD CIVIL COURT OF THE DISTRICT OF BRAVOS, STATE OF CHIHUAHUA, REPUBLIC OF MEXICO, C E R T I F I C A T E S :- That in the suit for necessary divorce filed by [REDACTED]

[REDACTED] against Mr. DAVID MARGOLIS, a Judgment was pronounced worded as follows:-

J U D G M E N T :- Ciudad Juarez, Chihuahua, December 30, 1967.- In suit for necessary divorce filed by [REDACTED] against Mr. DAVID MARGOLIS, (File 9631 /967); and, W H E R E A S :- By writ dated in this City on December 28, 1967, [REDACTED] expressly submitting to the jurisdiction of this Court, filed suit for necessary divorce against her husband, Mr. DAVID MARGOLIS, alleging as grounds the ill treatments from the defendant and the incompatibility of temperaments that exists between the spouses, and declaring:- That the marriage was contracted on July 31, 1966, in Hartford, Connecticut, United States of North America, as appears from the testimonial evidence submitted; that there are no children issue of said marriage.- On December 21, 1967, the parties entered into an Agreement of Separation in Hartford, Connecticut, United States of North America, which prayed to be approved and incorporated by reference into this decree, as if fully set forth herein, but that same is not to be merged herein, but shall survive the decree and shall continue to have full legal force and effect.- THE SUIT WAS ENTERED and notification to the defendant was ordered; and the plaintiff, [REDACTED]

[REDACTED] having personally appeared before this Court to file her complaint was notified of said order and she then declared:- That she ratifies the divorce complaint in all its parts, including her express submission to the jurisdiction of this Court and exhibited the certificate of her inscription in the Municipal Registry of Residents of this City.- Attorney [REDACTED]

[REDACTED] presented a writ to this Court on December 29, 1967, exhibiting a power of attorney granted in his favor by Mr. DAVID MARGOLIS; said document contains express instructions so that said Attorney in Fact answer the complaint, submit his principal to the jurisdiction of this Court, and so that he may confess the existence of incompatibility of temperaments between the parties in these proceedings; and finally, the authorized Attorney in Fact is authorized to ratify the agreement of separation entered into and between [REDACTED] and David Margolis.- The power of attorney with which Counsellor [REDACTED] entered his appearance was granted on December 22, 1967, in the County of Hartford, State of Connecticut, United States of North America, before [REDACTED] Notary Pub--

lic; and in accordance with authority granted in such mandate, Attorney -  
Amparan confessed the complaint in the ground of incompatibility of tempe-  
raments, ratified the agreement entered into by the parties, submitted his  
principal to the jurisdiction of this Court and prayed for the resolution  
which is rendered today in view of the fact that all legal requirements --  
have been complied with, including the payment for publication of the same  
as per Tax Payment Certificate No. 35-107086; and, W H E R E A S :- First:  
This Court is competent to rule in the present case pursuant to articles -  
22, 23 and 24 of the Divorce Law; the marriage of the parties was estab--  
lished by the testimonial evidence submitted in accordance with article 29  
of the same Law.- Second:- As grounds for divorce the plaintiff alleged --  
the ill treatments and the incompatibility of temperaments, grounds provi-  
ded for in Sub-divisions VIII and XIX of article 3 of the Divorce Law, and  
the defendant having admitted to the petition in the ground of incompatibi-  
lity of temperaments, said ground was duly proved in accordance with arti-  
cle 370 of the Code of Civil Procedure, supplementally applied.- Third:-  
It was stated in the petition that there are no children issue of the mar-  
riage, hence nothing is ruled in this decree in this respect.- THEREFORE,  
based on articles 1, 2, 10, 37, 43, 44 and 45 of the Divorce Law, IT IS --  
DECREED:- FIRST:- The marriage contracted by [REDACTED] --

and DAVID MARGOLIS on July 31, 1966, in Hartford, Connecticut, United Sta-  
tes of North America, is hereby declared dissolved with all its legal con-  
sequences, leaving both parties legally free to contract a new marriage.--

SECOND:- The Agreement of Separation entered into between the parties on -  
December 21, 1967, in Hartford, Connecticut, United States of North Ameri-  
ca, is hereby approved in all its parts, the same being incorporated by re-  
ference into this decree, as if fully set forth herein, but said agreement  
is not merged herein, but shall survive the decree and shall continue to -  
have full legal force and effect.- THIRD:- The maiden name is hereby resto-

red to the plaintiff, to wit: [REDACTED] - FOURTH:- This judgment --  
is to be recorded and published and certified copies supplied to the par--  
ties concerned as requested and the records are to be filed in due course.  
Thus this final judgment of the Hon. Florencio Torres Z., Judge of the ---  
Third Civil Court of the District of Bravos.- Attest:- Florencio Torres Z.

[REDACTED] - Signed.- - - - -  
O R D E R :- Ciudad Juarez, Chihuahua, December 30, 1967.- Add to its file  
the writ presented by [REDACTED] within her own ri--  
ghts, and Attorney [REDACTED] as Attorney in Fact for Mr. DAVID

MARGOL [REDACTED] and in view of the fact that both parties stated their [REDACTED] with the judgment rendered, in accordance with articles 38 and 39 of the Divorce Law, the said judgment is now declared final.- Thus was ordered and signed by the Hon. Florencio Torres Z., Judge of the Third Civil Court of the District of Bravos.- Attest:- Florencio -- Torres Z.- [REDACTED] Signed.- - - - -

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IN COMPLIANCE WITH WHAT IS ORDERED IN THE FOURTH RESOLUTIVE POINT OF THE FOREGOING JUDGMENT, THIS TRUE AND EXACT COPY OF THE ORIGINAL THEREOF IS ISSUED ON THIS LEGAL FOLIO TO THE PLAINTIFF AND HAVING BEEN DULY COMPARED IS AUTHORIZED AND SIGNED AT CIUDAD JUAREZ, CHIHUAHUA, MEXICO, ON THIS THIRTIETH DAY OF DECEMBER, NINETEEN HUNDRED AND SIXTY-SEVEN.- I SO CERTIFY.- -

THE CLERK

/s/ [REDACTED]

SEEN FOR APPROVAL

THE HON. THIRD JUDGE OF CIVIL MATTERS

/s/ ATTY. FLORENCIO TORRES Z.



STATE OF TEXAS

COUNTY OF EL PASO

[redacted] who being by me duly sworn<sup>b6</sup><sub>b7C</sub>  
upon oath states: That he has good and sufficient knowledge  
of both the English and the Spanish languages; that he is  
qualified to translate legal documents from Spanish into -  
English and that the foregoing translation is correct  
according to the best of his knowledge and ability.

[redacted]  
Subscribed and sworn to before me this 2<sup>nd</sup> da:  
of January 1962

[redacted]  
Notary Public in and for  
El Paso County, Texas.



ACTA N° 284073

EL CIUDADANO [REDACTED] SECRETARIO DEL JUZGADO TERCERO DE LO CIVIL DEL DISTRITO BRAVOS, ESTADO DE CHIHUAHUA, REPUBLICA MEXICANA, C E R T I F I C A :- Que en el juicio de divorcio necesario promovido por la señora -- [REDACTED] en contra del señor DAVID MARGOLIS, se dictó --

una resolución que es del tenor literal siguiente: - - - - -

**R E S O L U C I O N :-** "Ciudad Juárez, Chihuahua, a 30 treinta de diciembre de 1967 mil novecientos sesenta y siete.- VISTO para resolver en definitiva el juicio de divorcio necesario promovido por la señora [REDACTED] en contra del señor DAVID MARGOLIS, (Expediente 9631 /967); y, R E S U L T A N D O .- Por escrito fechado en esta ciudad el día veintiocho de los corrientes, la señora [REDACTED] sometiéndose expresamente a la jurisdicción de este Tribunal, promovió juicio de divorcio necesario en contra de su esposo, señor DAVID MARGOLIS, alegando como causas los malos tratamientos de que la hizo objeto el demandado y la incompatibilidad de caracteres que -- existe entre ambos; y exponiendo:- Que el matrimonio fué contraído el día 31 treinta y uno de julio de 1966 mil novecientos sesenta y seis en Hartford, Connecticut, Estados Unidos de Norteamérica, como consta de la información testimonial que se ofreció; que de dicho matrimonio no existen hijos.- Con fecha 21 de diciembre de 1967, las partes celebraron un convenio de separación en Hartford, Connecticut, Estados Unidos de Norteamérica, el cual solicitaron fuera aprobado por referencia para que forme parte de esta sentencia como si se hubiese incorporado en su totalidad, pero sin fusionarse a ella, de tal manera que sus efectos y fuerza legales sobrevivan y subsistan en la misma.- SE DIO ENTRADA A LA DEMANDA, mandándose correr el traslado respectivo al demandado y habiendo comparecido personalmente ante el suscrito Juez la actora, señora [REDACTED]

[REDACTED] a presentar su demanda, se le notificó del acuerdo -- que dió entrada a aquella y dijo: que ratifica en todas sus -- partes dicha demanda incluso en lo que se refiere a la sumisión expresa que hace a la jurisdicción de este juzgado y exhibió el certificado de su inscripción en el Registro Municipal de Residentes de esta ciudad.- El Lic. [REDACTED] compareció por escrito ante este juzgado con fecha veintinueve de los corrientes, exhibiendo un mandato conferido en su favor -- por el señor DAVID MARGOLIS; el documento de cuenta contiene instrucciones expresas para que el apoderado conteste la demanda, someta a su mandante a la jurisdicción de este Tribunal y para que confiese la existencia de la incompatibilidad de caracteres entre las partes de este juicio; y finalmente se facultó al apoderado para que ratifique el convenio de separación celebrado entre la señora [REDACTED] y David Margolis.- El mandato con que comparece el Lic. Amparán -- fué otorgado en el Condado de Hartford, Estado de Connecticut, Estados Unidos de Norteamérica, con fecha 22 de diciembre de 1967, ante la fé de [REDACTED] Notario Público; y de acuerdo con las facultades que le fueron conferidas al Lic. Amparán, confesó la demanda por lo que se refiere a la incompatibilidad de caracteres, ratificó el convenio celebrado entre las partes, sometió a su mandante a la jurisdicción de este Tribunal y pidió la resolución que hoy se dicta en atención a que se han satisfecho todos los requisitos legales inclusive el del pago de la publicación de la misma, según certificado de la Recaudación de Rentas, No. 35-107086; y, C O N S I D E R A N D O .- Primero.- Es competente este juzgado para resolver en el presente caso de acuerdo con lo dispuesto por los artículos 22, 23 y 24 de la Ley del Divorcio y de conformidad -- con el artículo 29 de la propia Ley, se comprobó el matrimonio



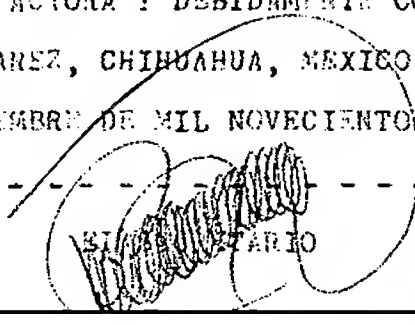
COTEJADO

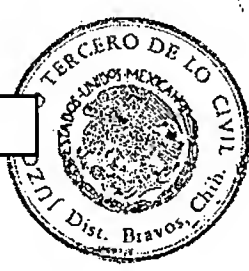
de las partes con la información testimonial que se rindió.- Segundo.- Como causas de divorcio señaló - la actora la incompatibilidad de caracteres y los malos tratamientos, causales previstas en las fracciones XIX y VIII del artículo 3o. de la Ley del Divorcio y al confesarse la demanda por lo que se refiere a la causal de incompatibilidad de caracteres, quedó plenamente probada de conformidad con el artículo 370 del Código de Procedimientos Civiles, su pletorio.- Tercero.- Habiéndose manifestado en la demanda que del matrimonio no existen hijos, nada se resuelve en esta sentencia sobre este particular. Por lo expuesto y con apoyo además en los artículos 1, 2, 10, 37, 43, 44 y 45 de la Ley del Divorcio, se falla:- PRIMERO.- Se declara disuelto con todas sus consecuencias legales, el matrimonio contraído por [redacted] con DAVID MARGOLIS, el día 31 treinta y uno de julio de 1966 mil novecientos sesenta y seis en Hartford, Connecticut, Estados Unidos de Norteamérica, quedando ambas partes en aptitud legal de contraer nuevas nupcias.- SEGUNDO.- Se aprueba en todas sus partes el convenio de separación celebrado por los cónyuges el día 21 de diciembre de 1967 en Hartford, Connecticut, Estados Unidos de Norteamérica, el cual es incorporado a esta resolución por referencia para que forme parte de esta sentencia como si se hubiera transcrito íntegramente; sin embargo, dicho convenio no queda fuisionado a esta sentencia, sino que sus efectos y fuerza legales deberán subsistir a la misma.- TERCERO.- Se restituye a la actora su nombre de soltera, que es: [redacted] CUARTO.- Regístrese la presente resolución, publíquese, dñense a los interesados las copias certificadas que soliciten y en su oportunidad archívense los autos.- Así definitivamente juzgando lo sentencié y firma el C. licenciado Florencio Torres Z., Juez Tercero de lo Civil del Distrito Bravos.- Doy fé.- FLORENCIO TORRES Z.- [redacted] Rúbricas.- - - - - A C U E R D O .- "Ciudad Juárez, Chihuahua, a 30 -- treinta de diciembre de 1967 mil novecientos sesenta y siete.- A sus autos el escrito que presentan la señora [redacted] por su propio derecho y el señor licenciado [redacted] como apoderado del señor DAVID MARGOLIS. Como lo piden y en virtud de que ambos manifiestan expresamente que están conformes con la sentencia, se declara ésta ejecutoriada para todos los efectos legales a que haya lugar. Artículos 38 y 39 de la Ley del Divorcio.- Así lo acordó y firma el C. licenciado Florencio Torres Z., Juez Tercero de lo Civil del Distrito Bravos.- Doy fé.- FLORENCIO TORRES Z.- [redacted] Rúbricas.- - - - -

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EN CUMPLIMIENTO A LO ORDENADO EN EL CUARTO PUNTO RESOLUTIVO, - SE EXPIDE ESTA COPIA FIEL Y EXACTA SACADA DE SU ORIGINAL EN ESTA FOJA UTIL PARA LA ACTORA Y DEBIDAMENTE COTEJADA SE AUTORIZA Y FIRMA EN CIUDAD JUAREZ, CHIHUAHUA, MEXICO, A LOS TREINTA -- DIAS DEL MES DE DICIEMBRE DE MIL NOVECIENTOS SESENTA Y SIETE.- DOY FE.- - - - -





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Vo.Bo.  
EL C. JUEZ TERCERO DE LO CIVIL  
  
LIC. FLORENCIO TORRES Z.

## FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE WMFO	OFFICE OF ORIGIN BUREAU	DATE 10/14/92	INVESTIGATIVE PERIOD 9/3/92-10/9/92
TITLE OF CASE DAVID "NMN" MARGOLIS		REPORT MADE BY IA [redacted] jsc 10-14-92	TYPED BY: jsc
		CHARACTER OF CASE BACKGROUND REINVESTIGATION DEPARTMENT OF JUSTICE	

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Reference: Bureau airtel dated 8/26/92, captioned as above.

- P -

ADMINISTRATIVE: Buded: 10/13/92

Where appropriate, Privacy Act (e) (3) data was furnished to persons interviewed. Express promise of confidentiality, both limited and unlimited have been noted where granted.

WMFO indices revealed no derogatory information regarding the applicant, children, [redacted] and spouse, [redacted]

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ELECTRONIC  
GENERAL

9/1/92  
9/9/92

This report is late due to backlog at DOJ.

APPROVED [Signature]	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW			
COPIES MADE: 2-BUREAU (77J-HQ-97035) 1-WMFO (77J-HQ-97035)					
DISSEMINATION RECORD OF ATTACHED REPORT		Notations			
Agency	IC to				
Request Recd.	DOJ-OS	4/17/03			
Date Fwd.	11-2-92	4/31/03			
How Fwd.					
By	RG4/088	TW			

b7E

77J-HQ-97035

LEAD: AT WASHINGTON METROPOLITAN FIELD OFFICE:

Will review file at Office of Professional  
Responsibility, at Department of Justice, as soon as possible.

B\*

UNITED STATES DEPARTMENT OF JUSTICE  
Federal Bureau of Investigation

Copy to:

Report of:

Office: WMFO

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Date:

October 14, 1992

Field Office File #: 77J-HQ-97035

Bureau File #: 77J-HQ-97035

Title:

DAVID "NMN" MARGOLIS

Character:

BACKGROUND REINVESTIGATION  
DEPARTMENT OF JUSTICE

Synopsis:

All appropriate record checks for applicant and relatives were negative. U.S. Attorney's Office, Washington, D.C., advised no identifiable record could be located regarding the applicant. U.S. Attorney's Office, Virginia, advised no record was located for applicant. Security Office, at DOJ, revealed a Top Secret clearance was granted for applicant. D.C. Bar advised no record was located regarding the applicant. The applicant's OPF was reviewed and revealed no derogatory information.

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DETAILS: AT WASHINGTON D.C.

WMFO 77J-HQ-97035

JSC:jsc

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RECORD CHECK

On September 3, 1992, [REDACTED] caused a search to be made of the records of the Metropolitan Police Department, Washington, D.C., and was advised that no record was located concerning the applicant, DAVID (NMN) MARGOLIS.

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On September 8, 1992, [REDACTED] made a computerized check of the Central Criminal Records Exchange (CCRE), Virginia State Police (VSP), Richmond, Virginia, failed to reflect any information identifiable with the applicant and children, [REDACTED] and [REDACTED] and spouse, [REDACTED]  
[REDACTED]

WMFO 77J-HQ-97035  
MLP:trs

NATIONAL PARK SERVICE (NPS)  
U.S. Park Police Department (PKPD)  
1100 Ohio Drive  
Washington, D.C.

On September 8, 1992, Investigative Assistant (IA) [ ]  
[ ] caused a search to be made at the above captioned  
agency concerning the applicant, DAVID "NMN" MARGOLIS. [ ]  
[ ] Liaison of the agency reviewed the records and advised  
that no identifiable Adult Criminal record could be located  
regarding the applicant.

b6  
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WMFO 77J-HQ-97035  
CH

U.S. ATTORNEY'S OFFICE  
555 4th Street, N.W.,  
Washington, D.C.

On September 9, 1992, Investigative Assistant (IA) [ ] submitted a request for a search to be conducted regarding DAVID MARGOLIS.

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On September 28, 1992, IA [ ] was contacted by [ ] [ ] U.S. Attorney's Office, Liaison of the Pending and Closed Cases of the NARCOTICS, PUBLIC CORRUPTION, ECONOMIC CRIME, TRANSNATIONAL/MAJOR CRIMES UNIT, of the above captioned agency. [ ] advised that no identifiable record could be located regarding DAVID MARGOLIS.

On September 17, 1992, IA [ ] was contacted by [ ] [ ] U.S. Attorney's Office, Liaison of the Pending and Closed Cases of the Civil Division. [ ] advised that no identifiable record could be located regarding DAVID MARGOLIS.

It should be noted that the index system of the Civil Division, U.S. Attorney's Office, contains only names of plaintiffs, except in Civil Actions brought by the United States against a particular defendant. Suits against government employees who are represented by the United States Attorney would be files by plaintiff's name and docket number.

WMFO 77J-HQ-97035

PAK:pak

1

UNITED STATES ATTORNEY'S OFFICE

UNITED STATES ATTORNEY'S OFFICE  
Eastern District of Virginia  
1101 King Street, Suite #502  
Alexandria, Virginia

Investigative Assistant (IA) [REDACTED] caused a search to be made of the computerized records (PROMIS) from 1986 to the present at the UNITED STATES ATTORNEY'S OFFICE, Eastern District of Virginia, which encompasses Alexandria, Norfolk, and Richmond Offices and the United States Magistrate Courts containing class one misdemeanors only. Also, a search was made of the Index Card System prior to 1986 at the UNITED STATES ATTORNEY'S OFFICE, Alexandria Branch only.

b6  
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IA [REDACTED] was advised on September 9, 1992 that no record could be located for the applicant - DAVID (NMN) MARGOLIS; Social Security Account Number (SSAN) 047-30-3300.

WMFO 77J-HQ-97035

GN:gn

1

FAIRFAX COUNTY POLICE DEPARTMENT

On September 12, 1992, Investigative Assistant (IA) [redacted] caused a search to be made of the files of the Fairfax County Police Department, Fairfax, Virginia, and was advised that no record was located regarding applicant DAVID MARGOLIS, Date of Birth (DOB): December 18, 1939, [redacted]

b6  
b7c

[redacted] DOB: [redacted] DOB: [redacted]  
[redacted] DOB: [redacted]  
[redacted]

WMFO 77J-HQ-97035

JSC:jsc

1

DEPARTMENT OF JUSTICE

10th and Pennsylvania Avenue, N.W.,  
Washington, D.C.

On September 14, 1992, [REDACTED]  
Security Office, DEPARTMENT OF JUSTICE (DOJ), advised  
Investigative Assistant, (IA) [REDACTED] that applicant,  
DAVID (NMN) MARGOLIS, was granted a Top Secret clearance on May  
12, 1966. A background investigation was completed by the  
Federal Bureau of Investigation (FBI) on May 21, 1965 and a  
reinvestigation was completed on July 28, 1980.

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WMFO 77J-HQ-97035  
RLW:rlw

BAR MEMBERSHIP

DISTRICT OF COLUMBIA BAR  
1707 L Street, Northwest  
Washington, D.C.

On September 24, 1992, [REDACTED]

[REDACTED] District of Columbia Bar,  
Washington, D.C., advised Investigative Assistant (IA) [REDACTED]  
[REDACTED] that no record was found regarding the applicant DAVID  
"NMN" MARGOLIS.

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WMFO 77J-HQ-97035  
CH:trs

DRIVER'S RECORD

DEPARTMENT OF TRANSPORTATION  
Bureau of Motor Vehicle Services  
District of Columbia Government  
301 C Street, N.W.  
Washington, D.C.

On October 8, 1992, Investigative Assistant (IA)  
[redacted] searched the computerized records of the  
DEPARTMENT OF TRANSPORTATION, Bureau of Motor Vehicle Services,  
for the District of Columbia Government and determined that no  
record could be located concerning the applicant, DAVID "NMN"  
MARGOLIS.

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The following relatives have no record:

[redacted] Child

[redacted] Child

[redacted] Spouse

[redacted] Spouse

WMFO 77J-HQ-97085  
JSC:jsc  
1

OFFICIAL PERSONNEL FOLDER (OPF)

DEPARTMENT OF JUSTICE  
10th and Pennsylvania Avenue  
WASHINGTON, D.C.

On October 9, 1992, Investigative Assistant (IA) [ ]  
[ ] reviewed the Official Personnel Folder (OPF) of the  
applicant, DAVID (NMN) MARGOLIS, DOB: 12/18/39, SSN: 047-30-3300,  
at the captioned agency. The following updated information from  
1982 was obtained:

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<u>DATE</u>	<u>ACTION</u>
12/18/92	PROMOTION CHIEF, ORGANIZE CRIME AND RACKETEERING SECTION, CRIMINAL DIVISION ORGANIZED CRIME AND RACKETEERING SECTION, OFFICE OF THE CHIEF, WASHINGTON, D.C. SALARY \$47,500.00
9/2/88	SPECIAL ACHIEVEMENT AWARD \$3,000.00
9/28/90	SES PERFORMANCE AWARD \$6,300.00
7/15/91	REASSIGNMENT TO: SENIOR COUNSEL CRIMINAL DIVISION OFFICE OF THE ASSISTANT ATTORNEY GENERAL, WASHINGTON, D.C.
9/26/91	SES PERFORMANCE AWARD \$7,000.00 SALARY \$100,500.00

The applicant's OPF, contained no derogatory  
information.

## FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE NEW HAVEN	OFFICE OF ORIGIN BUREAU	DATE 9/8/92	INVESTIGATIVE PERIOD 8/31/92 - 9/4/92
TITLE OF CASE DAVID (NMN) MARGOLIS		REPORT MADE BY IA <span style="border: 1px solid black; display: inline-block; width: 100px; height: 1.2em; vertical-align: middle;"></span>	TYPED BY: saf
		CHARACTER OF CASE BACKGROUND REINVESTIGATION DEPARTMENT OF JUSTICE	

b6  
b7CREFERENCE: Bureau airtel to New Haven, 8/26/92.

- RUC -

ADMINISTRATIVE:

New Haven Office Indices were searched and proved negative for candidate, close relatives and former spouse

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b7C

Where appropriate, Privacy Act (E) (3) data furnished to persons interviewed. Express promises of confidentiality, have not been granted.

APPROVED <i>MA/</i>	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW			
COPIES MADE: ② - Bureau (77J-HQ-97035) ATTN: PSS <span style="border: 1px solid black; display: inline-block; width: 150px; height: 1.2em; vertical-align: middle;"></span> GBIU, ROOM 4383 2 - New Haven (77J-HQ-97035)					
DISSEMINATION RECORD OF ATTACHED REPORT		Notations			
Agency	<i>100-40</i>				
Request Recd.	<i>087-082</i>	<i>4/17/03</i>			
Date Fwd.	<i>11-22-02</i>	<i>6/3/03</i>			
How Fwd.					
By	<i>LGH/201</i>	<i>TW</i>			

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b7E



UNITED STATES DEPARTMENT OF JUSTICE  
Federal Bureau of Investigation

Copy to:

Report of: IA [REDACTED]  
Date: September 8, 1992  
Field Office File #: 77J-HQ-97035  
Title: DAVID (NMN) MARGOLIS

Office: NEW HAVEN

Bureau File #: 77J-HQ-97035

b6  
b7C

Character: BACKGROUND REINVESTIGATION - DEPARTMENT OF JUSTICE

Synopsis: Birth verified. United States Attorney Indices negative.

- RUC -

**DETAILS:**

**Birth**

On September 6, 1992, [REDACTED]  
Bureau of Vital Statistics, [REDACTED] Hartford,  
Connecticut, advised IA [REDACTED] her agency records the birth  
of DAVID MARGOLIS on December 18, 1939 at Hartford, Connecticut.  
Parents' names were not available as Mr. MARGOLIS was adopted  
rendering his records sealed.

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b7C

**Miscellaneous**

On August 14, 1992, [REDACTED] United States  
Attorney's Office, New Haven, Connecticut, advised that she could  
locate no record for the candidate indicating any federal  
prosecutive action, civil or criminal (pending or closed).

## FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE RICHMOND	OFFICE OF ORIGIN BUREAU	DATE 9/2/92	INVESTIGATIVE PERIOD 8/31/92-9/1/92
TITLE OF CASE DAVID (NMN) MARGOLIS		REPORT MADE BY IA <span style="border: 1px solid black; display: inline-block; width: 100px; height: 1.2em; vertical-align: middle;"></span>	TYPED BY: jzg
		CHARACTER OF CASE BACKGROUND REINVESTIGATION DEPARTMENT OF JUSTICE	

b6  
b7C

REFERENCE: Bureau airtel to BICS Region I dated 8/26/92.

-RUC-

ADMINISTRATIVE:

Richmond indices negative regarding the candidate.



APPROVED <i>PM 8/92</i>	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW			
COPIES MADE: 2 - Bureau (77J HQ-97035) Attn: PSS <span style="border: 1px solid black; display: inline-block; width: 150px; height: 1.2em; vertical-align: middle;"></span> GBI Unit, Room 4383 1 - Richmond (77J HQ-R97035)					
DISSEMINATION RECORD OF ATTACHED REPORT		Notations			
Agency	ICr to				
Request Recd.	DD-OSP 4/17/03				
Date Fwd.	11-12-92 6/3/03				
How Fwd.					
By	LGH/oll TW				

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UNITED STATES DEPARTMENT OF JUSTICE  
Federal Bureau of Investigation

Copy to:

Report of: IA [REDACTED]  
Date: September 2, 1992

Office: RICHMOND

b6  
b7C

Field Office File #: 77J HQ-R97035

Bureau File #: 77J HQ-97035

Title: DAVID (No Middle Name) MARGOLIS

Character: BACKGROUND REINVESTIGATION - DEPARTMENT OF JUSTICE

Synopsis: Records, DEPARTMENT OF MOTOR VEHICLES, Richmond, Va., failed to disclose any traffic violations for the candidate. The candidate is not a member of the VIRGINIA STATE BAR, Richmond.

-RUC-

DETAILS:

ARREST

On September 1, 1992, a review of the computerized files of the DEPARTMENT OF MOTOR VEHICLES, Richmond, Virginia, by [REDACTED] failed to disclose any traffic violations for the candidate. The candidate has a current Virginia driver's license with an expiration date of December 31, 1994.

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MISCELLANEOUS

On August 31, 1992, [REDACTED] VIRGINIA STATE BAR (VSB), Richmond, Virginia, advised the candidate is not a member of the VSB.

1\*

TRANSMIT VIA: AIRTEL

CLASSIFICATION: \_\_\_\_\_

DATE: 8/26/92

FROM: Director, FBI (77J-HQ-97035)

TO: SACs, Bics Region I - Enc. (1)  
 Boston - Enc. (1)  
 New Haven - Enc. (1)  
 Richmond - Enc. (1)  
 WMFO - Enc. (1)

DAVID (NMN) MARGOLIS  
 BACKGROUND REINVESTIGATION  
 DEPARTMENT OF JUSTICE  
 BUDED: 10/13/92  
 OO: BUREAU

Attached for each receiving office is a copy of Standard Form 86 (SF-86) and appropriate forms.

**THIS INVESTIGATION WILL COVER A TEN-YEAR SCOPE.**

Captioned individual is currently employed with the Department of Justice, Washington, DC, as Acting Deputy Assistant Attorney General. Captioned individual was the subject of a previous applicant-type investigation by the FBI in (April 1965). This investigation is being updated and will cover a TEN-YEAR scope. The following previous file numbers are set forth:

New Haven	77-4838; 67-5685
Boston	67-15953
WMFO	77-8067; 67-67-63217

Submit results of current investigation under file number 77J-HQ-97035. All offices note at the time this case was opened Bufile 67-612022, containing the results of candidate's previous BI could not be located.

Investigators should review Manual of Investigative Operations and Guidelines (MIOG), Part II, Section 17, and Part Section 77. It would also be helpful to review MIOG, Part II, Section 23-6 and the Manual of Administrative Operations and Procedures (MAOP), Part II, Section 10-13.3.5.

Dep. Dir. \_\_\_\_\_  
 ADD Adm. \_\_\_\_\_  
 ADD Inv. \_\_\_\_\_  
 Asst. Dir.: \_\_\_\_\_  
 Adm. Serv. \_\_\_\_\_  
 Crim. Inv. \_\_\_\_\_  
 Ident. \_\_\_\_\_  
 Insp. \_\_\_\_\_  
 Intell. \_\_\_\_\_  
 Lab. \_\_\_\_\_  
 Legal Coun. \_\_\_\_\_  
 Rec. Mgnt. \_\_\_\_\_  
 Tech. Serv. \_\_\_\_\_  
 Training \_\_\_\_\_  
 Cong. Affs. Off. \_\_\_\_\_  
 Off. of EEO \_\_\_\_\_  
 Off. Liaison & \_\_\_\_\_  
 Int. Affs. \_\_\_\_\_  
 Off. of Public Affs. \_\_\_\_\_  
 Telephone Rm. \_\_\_\_\_  
 Director's Sec'y \_\_\_\_\_

RETURN TO  ROOM 4383, GBIU.  
 BICS REGION I AND WMFO COPIES DELIVERED BY COURIER.

LGH/mce

MAIL ROOM

b6  
 b7c

RE: DAVID (NMN) MARGOLIS

All investigation should be submitted to the General Background Investigation Unit, Room 4383, Attention: PSS [REDACTED] [REDACTED] FTS 324-4229, in report format to include headings and subheadings. All indices information should be reported in the Administrative Section or by separate communication.

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b7c

EACH PERSON INTERVIEWED MUST PROVIDE COMMENTS REGARDING CHARACTER, ASSOCIATES, REPUTATION, LOYALTY, ABILITIES, BIAS/PREJUDICE AND FINANCES. ALSO, ENSURE EACH INTERVIEW CONTAINS COMMENTS CONCERNING ANY PAST/PRESENT ILLEGAL DRUG USE, PRESCRIPTION DRUG AND ALCOHOL ABUSE.

Education verification should include dates of attendance, GPA/scale and class standing, honors/disciplinary action, financial aid and campus police records checks. Interview professors if attendance is within the past three years.

Employment investigation should include interview of supervisor, three co-workers and review of personnel records verifying dates.

Neighborhood investigation should include interview of three neighbors for residences of past five years, verifying dates. If rental property, also interview landlord/manager to include payment and complaint information. Identify/interview current roommates; immediately advise FBIHQ regarding roommate information.

In all DOJ reinvestigation cases (77J-M), ensure the investigation includes at least three (3) developed sources (individuals not provided by the candidate) who have, to the extent practical, social knowledge of the candidate. Developed sources may include other associates, neighbors, co-workers (peers/support employees), etc.

LEADS:

ALL OFFICES: Check records of the U.S. Attorney's Office at all places of residence, education, and employments.

BICS REGION 1: Interview candidate at inception of investigation and record specific answers to each question in MIOG, Part II, Section 17-5.6 (a-m) on FD-302 (any additional information obtained from the candidate during investigation must also be reported on FD-302). Candidate should be advised the scope of the questions asked during the initial interview is not limited to the time frame noted on the SF-86 and their responses should reflect activities during their entire life. FD-302 SHOULD INCLUDE A STATEMENT TO THAT EFFECT. Obtain full details of positive responses and set appropriate leads via teletype.

RE: DAVID (NMN) MARGOLIS

BICS REGION 1: At candidate's current employment, review personnel file, and interview listed supervisor and at least three (3) co-workers. If unable, so state.

During neighborhood investigation, interview neighbors in addition to those listed on application. If no others are available, so state.

Review Official Personnel File (OPF) and verify all Federal employments.

Obtain duplicate of candidate's certified copy of his divorce and attached to investigative report.

NEW HAVEN: Verify candidate's date/place of birth.

NEW HAVEN/WMFO: Conduct appropriate indices checks on candidate and listed relatives.

RICHMOND: Check DMV records for the last five years.

RICHMOND/WMFO: Check State bar and grievance records. Obtain information such as date of admission, current status, and whether any complaints/grievances were filed.

WMFO: Check appropriate Security/IG records.

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 S P P C I A A L  
 \*\*\*\*\* SSSS PPPP EE C I AAAAA L  
 S P E C I A A L  
 SSSS P EEEE CCCC IIIII A A LLLLL

\*\*\*\*\* S10

GENERAL BACKGROUND INVESTIGATIONS UNIT  
 FEDERAL BUREAU OF INVESTIGATION  
 RECORDS/OPERATIONS SECTIONS

AUG 28 1992

(7)

DATE: 08/25/92

BUDED: 10/13/92

NAME SEARCHING UNIT, 4989, TL# 121  
 X FORWARD TO FILE REVIEW, 5447, TL# 143  
 X RETURN TO: [REDACTED] ROOM 4383, TL# 114, EXT. 4229

b6  
b7C

SCOPE OF SEARCH: UNRESTRICTED (ADB)

TYPE OF SEARCH REQUESTED: ALL REFERENCES (SECURITY & CRIMINAL)

SPECIAL INSTRUCTIONS:

EXACT NAME ONLY (ON THE NOSE)  
 X VARIATIONS

AUG 28 1992

SUBJECT: \* MARGOLIS, DAVID, NMN \*  
 AKA(S): \*  
 BIRTHDATE & PLACE: \* 12/18/39; HARTFORD, CT \*  
 SSAN: \* 047-30-3300 \*  
 CURRENT ADDRESS: [REDACTED]

b6  
b7C

R# 683 DATE 8-27 SEARCHER INITIALS S10  
 PROD. 3

(4)

FILE NUMBER SERIAL IDENT ADB INACT DATE

NI POSS 9-70501  
 NP POSS I 197-2853  
 NP NCO 66-19162-458 SEC 9 I  
 I 26-361984  
 I 77-97035 (you got it)

S E  
 GBIU/Bal

SEP 01 1992

## FR UTD

**Subject**

R#

Date \_\_\_\_\_

8-25

**Searcher Initials**

Prod.

+

cont.

File Number

Serial

Ident

**ADB**

**Inactive**

Date of

## Ref WY

Raiton, David (AKA)

5

Manglos, David

1660-6718

Margolis, David <sup>(AKA)</sup> Broth

25

SEP 01 1992

571



FILE NUMBER	SERIAL	IDENT	ADB	INACT	DATE
100-441101	1	100-441101			10/1/68
100-441101	2	100-441101			10/1/68
100-441101	3	100-441101			10/1/68
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100-441101	5	100-441101			10/1/68
100-441101	6	100-441101			10/1/68
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100-441101	58	100-441101			

GENERAL BACKGROUND INVESTIGATIONS UNIT  
FEDERAL BUREAU OF INVESTIGATION  
RECORDS/OPERATIONS SECTIONS

DATE: 08/25/92

BUDED: 10/13/92

X NAME SEARCHING UNIT, 4989, TL# 121  
X FORWARD TO FILE REVIEW, 5447, TL# 143  
X RETURN TO:  ROOM 4383, TL# 114, EXT. 4229

b6  
b7C

SCOPE OF SEARCH: UNRESTRICTED (ADB)

TYPE OF SEARCH REQUESTED: ALL REFERENCES (SECURITY & CRIMINAL)

SPECIAL INSTRUCTIONS:

X EXACT NAME ONLY (ON THE NOSE)  
\_ VARIATIONS

RELATIVES:

DOB:

ADDRESS:

MARGOLIS, MARTHA,

06/04/08

44 AVONWOOD RD  
AVON, CT

R# \_\_\_\_\_ DATE 8-27 SEARCHER INITIALS SLO  
PROD. \_\_\_\_\_  
FILE NUMBER 112 SERIAL \_\_\_\_\_ IDENT ADB INACT DATE \_\_\_\_\_

R# \_\_\_\_\_ DATE 8-27 SEARCHER INITIALS SLO  
PROD. \_\_\_\_\_  
FILE NUMBER 112 SERIAL \_\_\_\_\_ IDENT ADB INACT DATE \_\_\_\_\_

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b7C

R# \_\_\_\_\_ DATE 8-27 SEARCHER INITIALS S10

PROD. \_\_\_\_\_  
FILE NUMBER SERIAL IDENT ADB INACT DATE

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09/27/46

R# \_\_\_\_\_ DATE 8-27 SEARCHER INITIALS S10

PROD. \_\_\_\_\_  
FILE NUMBER SERIAL IDENT ADB INACT DATE

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R# \_\_\_\_\_ DATE 8-27 SEARCHER INITIALS S10

PROD. \_\_\_\_\_  
FILE NUMBER SERIAL IDENT ADB INACT DATE

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QUESTIONNAIRE FOR  
SENSITIVE POSITIONS  
(For National Security)

Form approved:  
O.M.B. No. 3206-0007  
NSN 7540-00-634-4036  
86-110

Part 1

OPM  
USE  
ONLY

Codes

Case Number

Agency Use Only (Complete items A through P using instructions in FPM Supplement 296-33)

<b>A</b> Type of Investigation	<b>B</b> Extra Coverage	<b>C</b> Sensitivity Level	<b>D</b> Access	<b>E</b> Nature of Action Code	<b>F</b> Date of Action	Month	Day	Year
<b>G</b> Geographic Location	<b>H</b> Position Code	<b>I</b> Position Title	ATTORNEY MANAGER					
<b>J</b> SON	<b>K</b> Location of Official Personnel Folder	None	Other Address					
		NPRC						
		At SON						
<b>L</b> SOI	<b>M</b> Location of Security Folder	None	Other Address					
		At SOI						
		NPI						
<b>N</b> OPAC-ALC Number	<b>O</b> Accounting Data and/or Agency Case Number	201301 CRIMINAL						
<b>P</b> Requesting Official	Name and Title	Signature	Telephone Number		FRTS ( ) Date			

Persons completing this form should begin with the questions below. Please type or print your answers.

<b>1 FULL NAME</b> • If you have only initials in your name, use them and State (IO). • If you have no middle name, enter "NMN." Last Name: MARGOLIS First Name: David Middle Name: NMN Jr., II, etc.: Month: 11 Day: 18 Year: 1939	<b>2 DATE OF BIRTH</b>
<b>3 PLACE OF BIRTH</b> • Use the two letter code for the State. City: Hartford County: --- State: CT Country (if not in the United States): BUS-NH	<b>4 SOCIAL SECURITY NUMBER</b> 0417-310-3366
<b>5 OTHER NAMES USED</b> Give other names you used and the period of time you used them (for example: your maiden name, name(s) by a former marriage, former name(s), alias(es), or nickname(s)). If the other name is your maiden name, put "nee" in front of it. Name: _____ Month/Year: _____ To: _____ Name: _____ Month/Year: _____ To: _____	
<b>6 OTHER IDENTIFYING INFORMATION</b> Height (feet and inches): 5'10" Weight (pounds): 160 Hair Color: Black Eye Color: Brown Sex (mark one box): <input type="checkbox"/> Female <input checked="" type="checkbox"/> Male	
<b>7 TELEPHONE NUMBERS</b> Work (include Area Code and extension): (X) Day ( ) Night (202) 514-3729 Home (include Area Code): ( ) Day (X) Night	
<b>8 CITIZENSHIP</b> a Mark the box at the right that applies to you and follow the instructions next to the box you marked. U.S. <input checked="" type="checkbox"/> I am a U.S. citizen by birth in the U.S. <input type="checkbox"/> I am a U.S. citizen, but I was NOT born in the U.S. <input type="checkbox"/> I am not a U.S. citizen. b Your Mother's Maiden Name: Martha Harris	

**C UNITED STATES CITIZENSHIP** If you are a U.S. Citizen, but were not born in the U.S., provide information about one or more of the following proofs of your citizenship.

Naturalization Certificate (Where were you naturalized?)

Country	City	State	Certificate Number	Month/Day/Year Issued
---------	------	-------	--------------------	-----------------------

Citizenship Certificate (Where was the certificate issued?)

City	State	Certificate Number	Month/Day/Year Issued
------	-------	--------------------	-----------------------

State Department Form 240 - Report of Birth Abroad of a Citizen of the United States

Give the date the form was prepared and give an explanation if needed.

U.S. Passport

This may be either a current or previous U.S. Passport.

Passport Number	Month/Day/Year Issued
-----------------	-----------------------

**d DUAL CITIZENSHIP** If you are (or were) a dual citizen of the United States and another country, provide the name of that country in the space to the right.

Country

**e ALIEN** If you are an alien, provide the following information:

Place You Entered the United States:	City	State	Date You Entered U.S. Month Day Year	Alien Registration Number	Country of Citizenship
--------------------------------------	------	-------	---	---------------------------	------------------------

# 9 WHERE YOU HAVE LIVED

Fill in your full address for every place you have lived beginning with the present (#1) and working backward 15 years.

- If you attended school away from your permanent residence, list the address you lived at while attending school.
- For any address in the past 3 years:
  - List a person who knew you at that address, preferably someone who still lives in that area.
  - If address listed is "General Delivery," a Rural Route, or Star Route, provide directions for locating the residence on an attached continuation sheet, and show the block #.

DMV  
RH USA  
WF

#1	Month/Year Month/Year Present 8/92 7/77 To Pres.	Street Address	Apt. #	City (Country)	State	ZIP Code
Name of Person Who Knows You		Street Address	Apt. #	City (Country)	State	ZIP Code
#2	Month/Year Month/Year To	Street Address	Apt. #	City (Country)	State	ZIP Code
Name of Person Who Knows You		Street Address	Apt. #	City (Country)	State	ZIP Code
#3	Month/Year Month/Year To	Street Address	Apt. #	City (Country)	State	ZIP Code
Name of Person Who Knows You		Street Address	Apt. #	City (Country)	State	ZIP Code
#4	Month/Year Month/Year To	Street Address	Apt. #	City (Country)	State	ZIP Code
Name of Person Who Knows You		Street Address	Apt. #	City (Country)	State	ZIP Code
#5	Month/Year Month/Year To	Street Address	Apt. #	City (Country)	State	ZIP Code
Name of Person Who Knows You		Street Address	Apt. #	City (Country)	State	ZIP Code
#6	Month/Year Month/Year To	Street Address	Apt. #	City (Country)	State	ZIP Code
Name of Person Who Knows You		Street Address	Apt. #	City (Country)	State	ZIP Code

# 10 WHERE YOU WENT TO SCHOOL

Fill in information about schools you have attended, beyond Junior High School, beginning with the most recent (#1) and working backward 15 years. Also list College or University degrees received beyond 15 years.

- For schools you attended in the past 3 years, list a person who knew you at school (such as an instructor or a student).
- For correspondence schools and extension classes, list records location address.
- In the "Code" block, use one of these codes: 1 - High School 2 - College/University 3 - Vocational/Trade School

#1	Month/Year Month/Year 9/61 To 6/64	Code	Name of School	Degree/Diploma/Other (show each degree and date received if Code 2)	Month/Year
Street Address and City (Country) of School					
Cambridge					
Name of Person Who Knew You		Street Address and City (Country)	State	ZIP Code	Telephone Number
#2	Month/Year Month/Year 9/57 To 6/61	Code	Name of School	Degree/Diploma/Other (show each degree and date received if Code 2)	Month/Year
Street Address and City (Country) of School					
Providence					
Name of Person Who Knew You		Street Address and City (Country)	State	ZIP Code	Telephone Number
#3	Month/Year Month/Year To	Code	Name of School	Degree/Diploma/Other (show each degree and date received if Code 2)	Month/Year
Street Address and City (Country) of School					
Name of Person Who Knew You		Street Address and City (Country)	State	ZIP Code	Telephone Number

Enter your Social Security Number before going to the next page

→ 01/17-11/11

# 11 YOUR EMPLOYMENT ACTIVITIES

Fill in your employment activities, beginning with the present (#1) and working backward 15 years. INCLUDE:

- all full-time work
- all part-time work
- all paid work
- active military duty
- self-employment
- all periods of unemployment

IN THE NUMBERED ACTIVITY SECTION USE ONE OF THESE CODES IN THE CODE BLOCK:

- |                                   |  |  |           |
|-----------------------------------|--|--|-----------|
| 1 - Active military duty stations | 5 - State Government (Non-Federal) employment                                  | 7 - Unemployment (Enter name of person who can verify)       | 9 - Other |
| 2 - National Guard/Reserve        | 6 - Self-employment (Enter business name and/or name of person who can verify) | 8 - Federal Contractor (list Contractor, not Federal agency) |           |
| 3 - U.S.P.H.S. Commissioned Corps |  |  |           |
| 4 - Other Federal employment      |  |  |           |

FOR EACH ACTIVITY SECTION, provide information requested. For example, if you had worked at XY Plumbing in Denver, CO, for 3 separate periods of time, you would enter dates and information concerning the most recent period of employment first, and provide dates, position titles, and supervisors for the two previous periods of employment in the appropriate blocks below that information. (For locations outside the U.S., show city and country.)

#1	Month/Year	Month/Year	Code	Employer's Name/Military Service/Unemployment or Self-Employment Verifier	Your Position Title
Present	7/90	8/92	4	U.S. Justice Dept. - Criminal Division	Acting Deputy Asst. Atty. Gen.
Employer's/Verifier's Street Address				City (Country)	State ZIP Code Telephone Number
U.S. Justice Dept. 10th & Pa. Av. NW				Washington	D.C. 20531 202-514-5749
Street Address of Job Location (if different than Employer's Address)				City (Country)	State ZIP Code Telephone Number
Supervisor's Name & Street Address (if different than Job Location)				City (Country)	State ZIP Code Telephone Number
					202-514-2601

PREVIOUS PERIODS OF THE SAME ACTIVITY AND LOCATION - IF CONTINUATION SHEET IS USED, SHOW BLOCK #

Month/Year	Month/Year	Your Position Title & Supervisor's Name	Month/Year	Month/Year	Your Position Title & Supervisor's Name
2/79	To 7/90	Chief, Organized Crime Section			
		- John C. Keeney			
8/76	To 2/79	Deputy Chief, Organized Crime			

#2	Month/Year	Month/Year	Code	Employer's Name/Military Service/Unemployment or Self-Employment Verifier	Your Position Title
	To				
Employer's/Verifier's Street Address				City (Country)	State ZIP Code Telephone Number
Street Address of Job Location (if different than Employer's Address)				City (Country)	State ZIP Code Telephone Number
Supervisor's Name & Street Address (if different than Job Location)				City (Country)	State ZIP Code Telephone Number

PREVIOUS PERIODS OF THE SAME ACTIVITY AND LOCATION - IF CONTINUATION SHEET IS USED, SHOW BLOCK #

Month/Year	Month/Year	Your Position Title & Supervisor's Name	Month/Year	Month/Year	Your Position Title & Supervisor's Name
To			To		
To			To		

#3	Month/Year	Month/Year	Code	Employer's Name/Military Service/Unemployment or Self-Employment Verifier	Your Position Title
	To				
Employer's/Verifier's Street Address				City (Country)	State ZIP Code Telephone Number
Street Address of Job Location (if different than Employer's Address)				City (Country)	State ZIP Code Telephone Number
Supervisor's Name & Street Address (if different than Job Location)				City (Country)	State ZIP Code Telephone Number

PREVIOUS PERIODS OF THE SAME ACTIVITY AND LOCATION - IF CONTINUATION SHEET IS USED, SHOW BLOCK #

Month/Year	Month/Year	Your Position Title & Supervisor's Name	Month/Year	Month/Year	Your Position Title & Supervisor's Name
To			To		
To			To		

Enter your Social Security Number before going to the next page

→ 0141-30-3 B 1010

## 12 PEOPLE WHO KNOW YOU WELL

List two people who know you well and live in the United States.

• Don't list spouse, other relatives, or former spouses.

• Try not to list anyone mentioned in item 9, 10, or 11.

#1	Name [Redacted]	Number Years Known 23	Telephone Number: [Redacted]	b6 b7C
	Home Address [Redacted]	City (Country) [Redacted]	State V.I.A.	ZIP Code [Redacted]
#2	Name [Redacted]	Number Years Known 16	Telephone Number: [Redacted]	
	Home Address [Redacted]	City (Country) [Redacted]	State V.I.A.	ZIP Code [Redacted]

## 13 YOUR OUTSIDE ACTIVITIES

List any activities which you may wish to have considered as reflecting favorably on your reputation for leadership, responsibility, honesty, and integrity in the last 15 years. (Response Optional)

Month/Year	Month/Year	Activity	Location of Activity
	To		City (Country) State
#1	To	BAR-RH/WF	
#2	To		
#3	To		

## 14 YOUR FOREIGN ACTIVITIES

- a. Do you have any foreign property, business connections, or financial interests?
- b. Are you now or have you ever been employed by or acted as a consultant for a foreign government, firm, or agency?
- c. In the last 15 years, have you had continuing contact with a national of any foreign country designated by the agency instructing you to fill out this form? (NOTE: If the agency wants you to answer this question, it will provide you with a list of countries.)

Yes	No
	X
	X
	X

If you answered "Yes" to a, b, or c, explain in the space below:

## 15 FOREIGN COUNTRIES YOU HAVE VISITED

List foreign countries you have visited, beginning with the most current (#1) and working backward 15 years.

• Do not include countries covered in items 9, 10, and 11.

• In the "Code" block, use one of these codes: 1 - Business

2 - Pleasure

3 - Education

4 - Other

Month/Year	Month/Year	Code	Country	Month/Year	Month/Year	Code	Country
	To				To		
#1	9/91	1	Montreal, Canada	#3	To		
#2	6/85	2	Windsor, Ontario, Canada	#4	To		

## 16 YOUR MILITARY HISTORY

- a. Have you served in the United States military? . . . . .
- Have you served in the United States Merchant Marine? . . . . .
- If your answer to both questions is "No," GO TO QUESTION 17.
- If your answer to either question is "Yes," GO TO b.

Yes	No
	X
	X

- b. Starting with the most current (#1) and working backward, enter information for all periods of active service into the table below.

• Mark "O" block for Officer or "E" block for Enlisted.

• In the "Code" block, use one of these codes:

1 - Air Force 2 - Army 3 - Navy 4 - Marine Corps 5 - Coast Guard 6 - Merchant Marine 7 - National Guard

Month/Year	Month/Year	Code	Service/Certificate #	O	E	Status (Mark "X" in appropriate blocks - use State Code for National Guard)					
	To					None	Active Duty	Active Reserve	National Guard (show State)	Inactive Reserve	Retired
#1	To										
#2	To										
#3	To										
#4	To										

Enter your Social Security Number before going to the next page

→ 617-610-5124

17 YOUR RELATIVES

Give full names and enter the correct code for all relatives, living or dead; specified below:

- |                              |                                   |               |                   |                    |               |
|------------------------------|-----------------------------------|---------------|-------------------|--------------------|---------------|
| 1 - Mother ( <i>first</i> )  | 4 - Stepfather                    | 7 - Stepchild | 10 - Stepbrother  | 13 - Half-sister   | 16 - Guardian |
| 2 - Father ( <i>second</i> ) | 5 - Foster parent                 | 8 - Brother   | 11 - Stepsister   | 14 - Father-in-law |               |
| 3 - Stepmother               | 6 - Child ( <i>adopted also</i> ) | 9 - Sister    | 12 - Half-brother | 15 - Mother-in-law |               |

Full Name (If deceased, check box on the left before entering name)	Code	Date of Birth Month/Day/Year	Country of Birth	Country of Citizenship	Current Street Address and City (country) of Living Relatives	State
<input type="checkbox"/> Biological Mother	1	UNK - I was adopted at birth - Identity UNKNOWN				
<input type="checkbox"/> Biological Father	2	Same as above				
<input checked="" type="checkbox"/> Sylvia Booth Margolis	1	UNK Died in 1944	US	US	Portland, CT	
<input checked="" type="checkbox"/> Louis Margolis	2	04/18/03	US	US		
<input type="checkbox"/> Martha Margolis	1	06/04/08	US	US	44 Avonwood Rd. Apt. #101, Avon	CT
<input type="checkbox"/> [Redacted]	6	[Redacted]	US	US	[Redacted]	MA
<input type="checkbox"/> [Redacted]	6	[Redacted]	US	US	[Redacted]	MA
<input type="checkbox"/> [Redacted]	8	[Redacted]	US	US	[Redacted]	CT
<input type="checkbox"/> David Lipman	14	04/17/10	US	US	829 Camino Rd. Delray Beach	FL
<input type="checkbox"/> Ruth Lipman	15	11/30/16	US	US	829 Camino Rd.	FL

**18 YOUR MARITAL STATUS**

Mark one of the following boxes to show your current marital status:

- ☐ 1 - Never married (go to question 19)     
 ☐ 3 - Separated     
 ☐ 5 - Divorced  
☐ 2 - Married     
☐ 4 - Legally Separated     
☐ 6 - Widowed

**Current Spouse:** Complete the following about your current spouse.

Full Name	Date of Birth	Place of Birth (Include country if outside the U.S.)	Social Security Number
[REDACTED]	[REDACTED]	[REDACTED] CT	[REDACTED]
Other Names Used (Specify maiden name, names by other marriages, etc., and show dates used for each name)			
[REDACTED] (Maiden) 11/11/42-5/25/69			
Country of Citizenship	Date Married	Place Married (Include country if outside the U.S.)	State
US	05/25/69	West Hartford	CT
If Separated, Date of Separation (Mo./Day/Yr.)	If Legally Separated, Where is the Record Located? City (Country)		State
			State
Address of Current Spouse (Street, city, and country if outside the U.S.)			State
[REDACTED]			VIA [REDACTED]

**Former Spouse(s)** Complete the following about your former spouse(s), use blank sheets if needed.

Full Name	Date of Birth	Place of Birth (Include country if outside the U.S.)	State
		I think - Roanoke	VA
Country of Citizenship	Date Married	Place Married (Include country if outside the U.S.)	State
US	07/31/66	Hartford	CT
Check One, Then Give Date	Month/Day/Year	If Divorced, Where is the Record Located? City (Country)	State
<input type="checkbox"/> Divorced <input type="checkbox"/> Widowed	12/30/67	Juarez, Mexico - I have a certified copy	MX
Address of Former Spouse (Street, city, and country if outside the U.S.)		State	ZIP Code

UNKNOWN-- No contact in nearly 25 years - Parents live in Bloomfield, CT

**19 PERSONS LIVING WITH YOU**

Does the citizen of another country, or a United States citizen by other than birth, live at your residence? If "Yes," provide the information required below. If a United States citizen by other than birth lives with you, show both "United States" and prior country of citizenship below. Don't list your spouse or other relatives you provided in question 17.

Name of Person	Country of Citizenship	Relationship

This concludes Part 1 of this form. If you have used Page 9, continuation sheets, or blank sheets to complete any of the questions in Part 1, give the number for those questions in the space to the right:

→ 18

Enter your Social Security Number before going to the next page

→  $\frac{1}{2} \times \frac{1}{2} \times \frac{1}{2} = \frac{1}{8}$



**QUESTIONNAIRE FOR  
SENSITIVE POSITIONS  
(For National Security)**

**Part 2**

**20 YOUR SELECTIVE SERVICE RECORD**

- a. Are you a male born after December 31, 1959? If "No," go to 21. If "Yes," go to b.  
b. Have you registered with the Selective Service System? If "Yes," provide your registration number. If "No," show the reason for your legal exemption below.

Yes	No
	X

Registration Number      Legal Exemption Explanation

**21 YOUR MILITARY RECORD**

- a. Have you ever received other than an honorable discharge from the military? If "Yes," provide:  
Date of Discharge (Month and Year):      Type of Discharge:  
b. Have you ever been subject to court-martial or other disciplinary proceedings under the Uniform Code of Military Justice? If "Yes," list any disciplinary proceedings in the last 15 years and all courts-martial. (Include non-judicial and Captain's mast, etc.)

Yes	No
	X
	X

Month/Year	Charge or Specification / Action Taken	Place (City and county/country if outside the United States)	State
			I
			I

**22 YOUR EMPLOYMENT RECORD**

Has any of the following happened to you in the last 15 years? If "Yes," begin with the most recent occurrence and go backward, providing date fired, quit, or left, and other information requested.

Yes	No
	X

Use the following codes and explain the reason your employment was ended:

- 1 - Fired from a job      3 - Left a job by mutual agreement following allegations of misconduct      5 - Left a job for other reasons under unfavorable circumstances  
2 - Quit a job after being told you'd be fired      4 - Left a job by mutual agreement following allegations of unsatisfactory performance

Month/Year	Code	Specify Reason	Employer's Name and Address	State	ZIP Code

**23 YOUR POLICE RECORD** (Do not include anything that happened before your 16th birthday.)

- a. Have you ever been charged with or convicted of any felony offense?  
b. Have you ever been charged with or convicted of a firearms or explosives offense?  
c. Are there currently any charges pending against you for any criminal offense?  
d. Have you ever been charged with or convicted of any offense(s) related to alcohol or drugs?  
e. In the last 5 years, have you been arrested for, charged with, or convicted for any offense(s) not listed in response to a, b, c, or d above? (Leave out traffic fines of less than \$100.)

Yes	No
	X
	X
	X
	X
	X

If you answered "Yes" to a, b, c, d, or e above, explain your answer(s) in the space provided.

Month/Year	Offense	Action Taken	Law Enforcement Authority or Court (City and county/country if outside the U.S.)	State	ZIP Code

**24 YOUR MEDICAL RECORD**

- a. Have you experienced problems on or off the job because of any emotional or mental condition?  
b. Have you ever seen a health care professional for any of the types of problems mentioned above?

Yes	No
	X
	X

If you answered "Yes" to questions a or b, explain below.

Month/Year	Month/Year	Explanation
To		
To		

Enter your Social Security Number before going to the next page

→ 0147-31d-313010

## 25 ILLEGAL DRUGS AND ALCOHOL

a. In the last 5 years, have you used, possessed, supplied, or manufactured any illegal drugs? When used without a prescription, illegal drugs include marijuana, cocaine, hashish, narcotics (opium, morphine, codeine, heroin, etc.), stimulants (cocaine, amphetamines, etc.), depressants (barbiturates, methaqualone, tranquilizers, etc.), hallucinogenics (LSD, PCP, etc.). (NOTE: The information you provide in response to this question will not be provided for use in any criminal proceedings against you.)

Yes	No
	X

b. Have you experienced problems (disciplinary actions, evictions, formal complaints, etc.) on or off a job from your use of illegal drugs or alcohol?

Yes	No
X	

If you answered "Yes" to question a or b above, provide information relating to the types of substance(s), the nature of the activity, and any other details relating to your involvement with illegal drugs or alcohol. Include any treatment or counseling received.

Month/Year	Month/Year	Type of Substance	Explanation
To			
To			
To			

## 26 YOUR INVESTIGATIONS RECORD

a. Has the United States Government ever investigated your background? If "Yes," use the codes that follow to provide the requested information below. If "Yes," but you can't recall the investigating agency and/or the security clearance received, enter "Other" agency code or clearance code, as appropriate, and "Don't know" or "Don't recall" under the "Other Agency" heading, below. If your response is "No," or you don't know or can't recall if you were investigated and cleared, check the "No" box.

Yes	No
X	

Codes for Investigating Agency

1 - Defense Department  
2 - State Department  
3 - Office of Personnel Management

4 - FBI

5 - Treasury Department

6 - Other (Specify)

Codes for Security Clearance Received

0 - Not Required

1 - Confidential

2 - Secret

3 - Top Secret

4 - Sensitive Compartmented Information

5 - Q-Sensitive

6 - Q-NonSensitive

7 - L

8 - Other

Month/Year	Agency Code	Other Agency	Clearance Code	Month/Year	Agency Code	Other Agency	Clearance Code
5/65	4		2 or 3	7/90	6	Justice JMD	4
Summer 1980	6	Justice JMD	4				

b. To your knowledge, have you ever had a clearance or access authorization denied, suspended, or revoked, or have you ever been debarred from government employment? If "Yes," give date of action and agency.

Yes	No
	X

Month/Year	Department or Agency Taking Action	Month/Year	Department or Agency Taking Action

## 27 YOUR FINANCIAL RECORD

a. In the last 5 years, have you, or a company over which you exercised some control, filed for bankruptcy, been declared bankrupt, been subject to a tax lien, or had legal judgment rendered against you for a debt? If you answered "Yes," provide date of initial action and other information requested below.

Yes	No
	X

Month/Year	Type of Action	Name Action Occurred Under	Name/Address of Court or Agency Handling Case	State	ZIP Code

b. Are you now over 180 days delinquent on any loan or financial obligation? Include loans or obligations funded or guaranteed by the Federal Government. (If an SF 171, Application for Federal Employment, will be attached, you do not need to repeat Federal Government delinquencies. See the instructions headed, "How is the SF 171 used with this form?")

Yes	No
	X

If you answered "Yes," provide the information requested below:

Month/Year	Type of Loan or Obligation and Account #	Name/Address of Creditor or Obligor	State	ZIP Code

Enter your Social Security Number before going to the next page

→ 101-47-1261-121 3016

## 28 YOUR ASSOCIATION RECORD

- a. In the last 15 years, have you been an officer or a member or made a contribution to an organization dedicated to the violent overthrow of the United States Government and which engages in illegal activities to that end, knowing that the organization engages in such activities with the specific intent to further such activities?
- b. In the last 15 years, have you knowingly engaged in any acts or activities designed to overthrow the United States Government by force? If you answered "Yes" to a or b, explain in the space below:

Yes	No
	X
	X

### Continuation Space

Use the continuation sheet(s) (SF 86A) for additional answers to questions 9, 10, and 11. Use the space below to continue answers to all other questions and any information you would like to add. If more space is needed than what is provided below, use a blank sheet(s) of paper. Start each sheet with your name and Social Security Number. Before each answer, identify the number of the question.

18) As to former spouse: She was a [ ] employed by the [ ] CT., Board of Education. They may have a current address. There were no children of this marriage and her maiden name was restored [ ]

After completing Parts 1 and 2 of this form and any attachments, you should review your answers to all questions to make sure the form is complete and accurate, and then sign and date the following certification and sign and date the release on page 10. If you attach an SF 171, Application for Federal Employment, make sure that it is updated and that any information added to the SF 171 is initialed and dated.

### Certification That My Answers Are True

I read each question asked of me and understood each question. My statements on this form, and any attachments to this form, are true, complete, and correct to the best of my knowledge and belief and are made in good faith. I understand that a knowing and willful false statement on this form can be punished by fine or imprisonment or both.

Signature (Sign in Ink) [Signature] Date 8-5-92

Enter your Social Security Number before going to the next page

→ 01417-1310-131010

To help the Internal Revenue Service find my records,  
I am voluntarily giving the following information:

MY NAME DAVID MARGOLIS MY SSN 047-30-3300

IF MARRIED AND FILED A JOINT RETURN:

HUSBAND/WIFE NAME:  HUSBAND/WIFE SSN

b6  
b7C

CURRENT ADDRESS

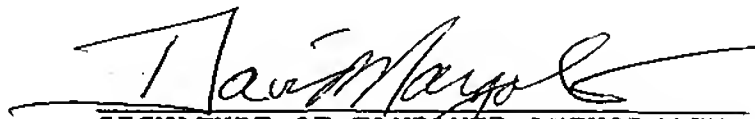
NAMES AND ADDRESSES SHOWN ON RETURNS (IF DIFFERENT  
FROM ABOVE)

<u>YEAR</u>	<u>NAME</u>	<u>ADDRESS</u>
-------------	-------------	----------------


DATE:

8-3-92

(WAIVER INVALID UNLESS RECEIVED  
BY THE INTERNAL REVENUE SERVICE  
WITHIN -60 DAYS OF THIS DATE)



SIGNATURE OF TAXPAYER AUTHORIZING  
THE DISCLOSURE OF RETURN INFORMATION

Home Telephone

Work Telephone: 202-514-3729

b6  
b7C

TAX CHECK WAIVER

I am signing this waiver to permit the Internal Revenue Service to release information about me which would otherwise be confidential under 26 U.S.C. 6103. This information will be used in connection with my appointment or employment by the United States Government. This waiver is made pursuant to 26 U.S.C. 6103(c).

I request that the Internal Revenue Service release the following information to: Director, Office of Attorney Personnel Management or his/her designee.

1. Have I failed to file any Federal income tax return for any of the last three years?

If this waiver is received by the Internal Revenue Service before July 1, the "last three years" means the latest three years for which a return might be required, since the return for the immediately preceding year may not yet have been processed.

2. Were any income tax returns filed more than 45 days after the due date for filing (determined with regard to any extension of time for filing)?
3. Have I failed to pay any tax, penalty or interest during the current or last three calendar years within 90 days of the date on which the Internal Revenue Service gave notice of the amount due and requested payment?
4. Has any penalty for negligence, etc. under Internal Revenue Code section 6653(a), false withholding information under section 6682(a), or filing of a frivolous income tax return under section 6702(a), been assessed against me this year or during the last three calendar years?
5. Am I now or have I ever been under investigation by the Internal Revenue Service for possible criminal offenses?
6. Has any civil penalty for fraud ever been assessed against me?

If the Internal Revenue Service response includes a "YES" answer (based on currently available information) to any of the above six questions, I authorize the Internal Revenue Service to release any additional relevant information.

IDENT CHECK ROOM 1126 TUBE J1, ATTN: CATHY WAD

FROM: BACKGROUND INVESTIGATION UNIT, DIV 6, RM 4383, TL# 114

EXT: 4229 ATTN: PSS

b6  
b7C

SUBJECT: DAVID NMN MARGOLIS

BUDED: 10/13/92

THE BUREAU HAS BEEN REQUESTED TO CONDUCT AN EXPEDITE BACKGROUND INVESTIGATION OF THE ABOVE-CAPTIONED SUBJECT, WHO IS BEING CONSIDERED FOR PRESIDENTIAL APPOINTMENT. YOU ARE REQUESTED TO CHECK APPROPRIATE INDICES BASED UPON AVAILABLE INFORMATION CONCERNING SUBJECT, EMPLOYMENT, AND ALL CLOSE RELATIVES. IT IS REQUESTED THAT THE RESULTS OF YOUR CHECK, WHETHER POSITIVE OR NEGATIVE, BE INDICATED IN THE SPACES PROVIDED BELOW, AND RELAYED TO THE BACKGROUND INVESTIGATION UNIT, RM 4383, VIA ROUTING SLIP MARKED 'URGENT'.

8-27  
peam

SUBJECT IS DESCRIBED AS FOLLOWS:

RESULT NAME: DAVID NMN MARGOLIS

DOB: 12/18/39

POB: HARTFORD, CT

SSAN: 047-30-3300

CURRENT ADDRESS:

EMPLOYMENT:

#### CLOSE RELATIVES

RESULT	NAME	DOB	RESIDENCE
<i>Too old</i>	MARTHA MARGOLIS	06/04/08	44 AVONWOOD RD AVON, CT
-----			
-----			
-----			
-----			
-----			

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UNABLE TO IDENTIFY WITH ARREST RECORD  
ON BASIS OF INFORMATION FURNISHED  
FBI IDENTIFICATION DIVISION AUG 28 '92

CHECK CONDUCTED BY: \_\_\_\_\_, ON \_\_\_\_\_

*[Signature]*

6337

ROUTE IN ENVELOPE

TO: INFORMATION MANAGEMENT DIVISION, ELSUR INDEX  
ROOM 5331, TL 114

DATE: 08/25/92

FROM: X CID \_ LCD \_ INTO \_ RMS \_ OTHER \_\_\_\_\_

PRIORITY: \_ EXPEDITE, WILL PICK UP;  
\_ ROUTINE  
\_ DATE NEEDED: \_\_\_\_\_

REQUEST FOR SEARCH OF ELSUR INDEX FOR THE PURPOSE OF:

\_ TITLE III APPLICATION \_ FBI \_ DEA \_ SPIN/DAPLI  
\_ FISC APPLICATION \_ SPU  
\_ LEGAL MOTION (DOJ) \_ INVESTIGATIVE LEAD  
\_ FOIPA \_ OTHER \_\_\_\_\_

(ONE OF THE ABOVE MUST BE CHECKED BEFORE SEARCH WILL BE CONDUCTED.)

REQUESTING/AUTHORIZING AGENT COMPLETE AND RETURN TO:

[Redacted Box]

[Redacted Box]

4229 4383 114

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NAME

NAME

EXT. ROOM TL#

NAME; TELEPHONE #; VIN; OR  
ADDRESS TO BE SEARCHED

KNOWN ALIASES

SEARCH RESULTS

DAVID NMN MARGOLIS

NR

CLOSE RELATIVES

RESULT NAME

DOB

RESIDENCE

NR MARTHA MARGOLIS

06/04/08

44 AVONWOOD RD  
AVON, CT

*[Handwritten Signature]*

CLOSE RELATIVES

RESULT NAME

DOB

RESIDENCE

NR	
NR	
NR	
NR	
NR	

--	--

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BUFILE: 077J-97035

--

SEARCHED BY

DATE

9/2/92

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NCIC CHECK ROOM 1328 TL153, ATTN: [REDACTED] WANTED & CCH FILES

b6  
b7c

FROM: BACKGROUND INVESTIGATION UNIT, DIV 6, RM 4383, TL# 114  
EXT: 4229 ATTN: PSS [REDACTED]

SUBJECT: DAVID NMN MARGOLIS  
AKA(S):

BUDED: 10/13/92

THE BUREAU HAS BEEN REQUESTED TO CONDUCT AN EXPEDITE BACKGROUND INVESTIGATION OF THE ABOVE-CAPTIONED SUBJECT, WHO IS BEING CONSIDERED FOR PRESIDENTIAL APPOINTMENT. YOU ARE REQUESTED TO CHECK APPROPRIATE INDICES BASED UPON AVAILABLE INFORMATION CONCERNING SUBJECT, EMPLOYMENT, AND ALL CLOSE RELATIVES. IT IS REQUESTED THAT THE RESULTS OF YOUR CHECK, WHETHER POSITIVE OR NEGATIVE, BE INDICATED IN THE SPACES PROVIDED BELOW, AND RELAYED TO THE BACKGROUND INVESTIGATION UNIT, RM 4383, VIA ROUTING SLIP MARKED 'URGENT'.

SUBJECT IS DESCRIBED AS FOLLOWS:

RESULT NAME: DAVID NMN MARGOLIS

DOB: 12/18/39

POB: HARTFORD, CT

SSAN: 047-30-3300

CURRENT ADDRESS: [REDACTED]

EMPLOYMENT:

b6  
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CLOSE RELATIVES

RESULT	NAME	DOB	RESIDENCE
<u>NR</u>	MARTHA MARGOLIS	06/04/08	44 AVONWOOD RD AVON, CT

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<u>NR</u>	[REDACTED]	[REDACTED]	[REDACTED]
<u>NR</u>	[REDACTED]	[REDACTED]	[REDACTED]
<u>NR</u>	[REDACTED]	[REDACTED]	[REDACTED]
<u>NR</u>	[REDACTED]	[REDACTED]	[REDACTED]
<u>NR</u>	[REDACTED]	[REDACTED]	[REDACTED]

CHECK CONDUCTED BY:

[REDACTED]

, ON 8/26/92

6/12/96

QW.DCFBIWAA6.NAM/MARGOLIS, DAVID

.SEX/M.DOB/121839.SOC/047303300

DCFBIWAA6

NO NCIC WANT SOC/047303300

NO NCIC WANT DOB/121839 NAM/MARGOLIS, DAVID SEX/M

QW.DCFBIWAA6.NAM/MARGOLIS, MARTHA

.SEX/F.DOB/060408

DCFBIWAA6

NO NCIC WANT DOB/060408 NAM/MARGOLIS, MARTHA SEX/F

QW.DCFBIWAA6.NAM/

.SEX/F.DOB/

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b7C

DCFBIWAA6

NO NCIC WANT DOB/

NAM/

SEX/F

QW.DCFBIWAA6.NAM/

.SEX/F.DOB/

DCFBIWAA6

NO NCIC WANT DOB/

NAM/

SEX/F

QW.DCFBIWAA6.NAM/

.SEX/M.DOB/

DCFBIWAA6

NO NCIC WANT DOB/

NAM/

SEX/M

QW.DCFBIWAA6.NAM/

.SEX/F.DOB/

DCFBIWAA6

NO NCIC WANT DOB/

NAM/

SEX/F

QW.DCFBIWAA6.NAM/

.SEX/F.DOB/

DCFBIWAA6

NO NCIC WANT DOB/

NAM/

SEX/F

FEDERAL BUREAU OF INVESTIGATION  
Records/Operations Sections

FR UTD \_\_\_\_\_ AUGUST 17 , 1992

\*\*\*\*\*

TO: NAME SEARCHING UNIT, ROOM 4989, TL# 121  
 XXX SERVICE UNIT, ROOM 4330, TL# 111  
 SPECIAL FILE ROOM, 5991, TL# 122  
 FORWARD TO FILE REVIEW, ROOM 5447, TL# 143  
 FROM: GENERAL BACKGROUND INVESTIGATION (GBI) UNIT  
 XXX ATTENTION [REDACTED] 4383 114 4503  
 RETURN TO [REDACTED]

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SUPERVISOR, ROOM, TL#, EXT.

\*\*\*\*\*

SCOPE OF SEARCH: (CHECK ONE)

XXX AUTOMATED DATA BASE - 5 & 30      \_\_\_\_\_ AUTOMATED DATA BASE 5 & 20

UNRESTRICTED (ADB &amp; INACTIVE INDEX)

\*\*\*\*\*

TYPE OF SEARCH REQUESTED:

ALL REFERENCES (SECURITY & CRIMINAL) SECURITY SEARCH

XXX MAIN \_\_\_\_\_ APPLICANT REFERENCES ONLY. \_\_\_\_\_ CRIMINAL SEARCH

\*\*\*\*\*

SPECIAL INSTRUCTIONS:

EXACT NAME ONLY (ON THE NOSE) BUILDUP XXX VARIATIONS

RESTRICTED TO LOCALITY OF \_\_\_\_\_

\*\*\*\*\*

SUBJECT: DAVID NMN MARGOLIS

AKA (s):

NEE:

DOB & POB: 12/18/39

SSAN: 047-30-3300

Localities: \_\_\_\_\_

R# \_\_\_\_\_ DATE 8/17 Searcher Initials 611  
Prod. \_\_\_\_\_

[illegible]

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6/2/96

# Memorandum



Subject

Background Reinvestigation

Date

AUG 14 1992

411

To

From

[Redacted] Chief  
General Background Investigation Unit  
Federal Bureau of Investigation

[Redacted] Department Security Officer

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Attached please find investigative forms for the following Department of Justice employee:

David Margolis  
Attorney  
Criminal Division

This is to request that the Federal Bureau of Investigation conduct a reinvestigation on Mr. Margolis. This full-field background reinvestigation is necessary due to the sensitivity of his position and because his background investigation is over 5 years old.

Please send the results of this reinvestigation directly to [Redacted] in Room 6525, MAIN Justice. Should you have any questions concerning this memorandum, please have a member of your staff contact [Redacted] on [Redacted]

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Attachments

77-97035

O2A

[Redacted]

8/17/92

1 Oct 92  
005-050  
11-12-92  
LGH/ell

[Handwritten signature]

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J. M. [Handwritten signature]

# Memorandum

PRIVACY ACT PROTECTED INFORMATION



Subject: Request for Investigation/Reinvestigation  
Date: January 15, 1998

To: [Redacted]  
Chief  
Special Inquiry and Background  
Investigation Unit  
Federal Bureau of Investigation

From: [Redacted]  
Department Security Officer  
Department of Justice

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The purpose of this memorandum is to request an investigation as indicated indicated below:

INVESTIGATION COST CODE: \_\_\_\_\_

NAME: David Margolis

SSAN: 047-30-3300

DATE OF BIRTH: 12-18-39

PLACE OF BIRTH: Hartford, CT

SELECT ONE: EMPLOYEE: XX APPLICANT: \_\_\_\_\_

POSITION: Associate Deputy Attorney General

ORGANIZATION: Office of the Deputy Attorney General

TYPE OF POSITION: SENSITIVE: XX NON-SENSITIVE: \_\_\_\_\_

SCOPE OF INVESTIGATION REQUIRED:

3 Year: \_\_\_\_\_  
(PRIR)

5 YEAR: X  
(SSBI-PR)

10 YEAR: \_\_\_\_\_  
(SSBI/INITIAL)

10 YEAR: \_\_\_\_\_  
(SSBI/REINVESTIGATION)

PSS: [Redacted]  
RECEIVED: JAN 20 1998  
ASSIGNED: 1-22  
OPENED: 2-10  
BUDED: 4-30  
PCD: 5-19

X Please institute a name check of the above named subject whose security forms are attached.

\_\_\_\_\_ Please discontinue the character investigation regarding the above named subject and we request that all security forms be returned to this office.

Upon completion of the investigation, request that copies of the investigation reports be forwarded to this office. The required forms for the investigation are attached and should you have any questions regarding this request, please contact [Redacted] Security Specialist, Personnel Security Group, at [Redacted]

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COMMENTS (if any):

cc: 1 - Security File

PRIVACY ACT PROTECTED INFORMATION

Fingerprints attached

77J-97035

77L

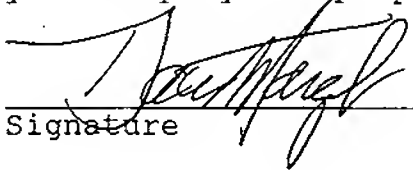
OPM REQUESTED DATE 1/22/98

United States Department of Justice

Disclosure and Authorization  
Pertaining to Consumer Reports  
Pursuant to the Fair Credit Reporting Act

This is a release for the Department of Justice to obtain one or more consumer/credit reports about you in connection with your application for employment or in the course of your employment with the Department. One or more reports about you may be obtained for employment purposes, including evaluating your fitness for employment, promotion, reassignment, retention, or access to classified information.

I, DAVID MARGOLIS, hereby  
authorize the Department of Justice to obtain such report(s) from  
any consumer/credit reporting agency for employment purposes.

  
Signature

1-13-98  
Date

047-30-3300  
Social Security Number

ODAG  
Current Organization Assigned

FORM DOJ-555  
SEPT. 97

QUESTIONNAIRE FOR  
NATIONAL SECURITY POSITIONS

Form approved:  
O.M.B. No. 3206-0007  
NSN 7540-00-634-4036  
86-111

Part 1

Investigating Agency Use Only

Codes

Case Number

Agency Use Only (Complete Items A through P using instructions provided by the investigating agency).

<b>A</b> Type of Investigation SSA-1	<b>B</b> Extra Coverage	<b>C</b> Sensitivity Level	<b>D</b> Access	<b>E</b> Nature of Action Code	<b>F</b> Date of Action Month Day Year
<b>G</b> Geographic Location	<b>H</b> Position Code	<b>I</b> Position Title			
<b>J</b> SON	<b>K</b> Location of Official Personnel Folder	<b>L</b> None NPRC At SON	<b>M</b> Other Address	ZIP Code	
<b>L</b> SOI	<b>M</b> Location of Security Folder	<b>N</b> None At SOI NPI	<b>O</b> Other Address	ZIP Code	
<b>N</b> OPAC-ALC Number	<b>O</b> Accounting Data and/or Agency Case Number				
<b>P</b> Requesting Official	Name and Title	Signature	Telephone Number	Date	

Persons completing this form should begin with the questions below.

<b>1</b> FULL NAME If you have only initials in your name, use them and state (IO). If you have no middle name, enter "NMN." Last Name: MARGOLIS First Name: DAVID Middle Name: Jr., II, etc.: Month: 12 Day: 18 Year: 39	<b>2</b> DATE OF BIRTH Month Day Year
<b>3</b> PLACE OF BIRTH Use the two letter code for the State. City: Hartford County: State: CT Country (if not in the United States): Social Security Number: 047-30-3300	

<b>5</b> OTHER NAMES USED Give other names you used and the period of time you used them (for example: your maiden name, name[s] by a former marriage, former name[s], alias[es], or nickname[s]). If the other name is your maiden name, put "nee" in front of it.																
<table border="1"><tr><td>#1 Name</td><td>Month/Year Month/Year</td><td>#3 Name</td><td>Month/Year Month/Year</td></tr><tr><td></td><td>To</td><td></td><td>To</td></tr><tr><td>#2 Name</td><td>Month/Year Month/Year</td><td>#4 Name</td><td>Month/Year Month/Year</td></tr><tr><td></td><td>To</td><td></td><td>To</td></tr></table>	#1 Name	Month/Year Month/Year	#3 Name	Month/Year Month/Year		To		To	#2 Name	Month/Year Month/Year	#4 Name	Month/Year Month/Year		To		To
#1 Name	Month/Year Month/Year	#3 Name	Month/Year Month/Year													
	To		To													
#2 Name	Month/Year Month/Year	#4 Name	Month/Year Month/Year													
	To		To													

<b>6</b> OTHER IDENTIFYING INFORMATION	Height (feet and inches) 5'10"	Weight (pounds) 190	Hair Color Black	Eye Color Brown	Sex (mark one box) <input type="checkbox"/> Female <input checked="" type="checkbox"/> Male
--	-----------------------------------	------------------------	---------------------	--------------------	--

<b>7</b> TELEPHONE NUMBERS	Work (include Area Code and extension) (X) Day ( ) Night ( 202 ) 514-4945	Home (include Area Code) ( ) Day ( ) Night
----------------------------	--	---

<b>8</b> CITIZENSHIP Mark the box at the right that reflects your current citizenship status, and follow its instructions.	<input checked="" type="checkbox"/> I am a U.S. citizen or national by birth in the U.S. or U.S. territory/possession. Answer Items b and d <input type="checkbox"/> I am a U.S. citizen, but I was NOT born in the U.S. Answer Items b, c, and d <input type="checkbox"/> I am not a U.S. citizen. Answer Items b and e	<b>b</b> Your Mother's Maiden Name Martha Harris
---	--	---

**C** UNITED STATES CITIZENSHIP If you are a U.S. Citizen, but were not born in the U.S., provide information about one or more of the following proofs of your citizenship.

Naturalization Certificate (Where were you naturalized?)

Court	City	State	Certificate Number	Month/Day/Year Issued
-------	------	-------	--------------------	-----------------------

Citizenship Certificate (Where was the certificate issued?)

City	State	Certificate Number	Month/Day/Year Issued
------	-------	--------------------	-----------------------

State Department Form 240 - Report of Birth Abroad of a Citizen of the United States

Give the date the form was prepared and give an explanation if needed.	Month/Day/Year	Explanation
--	----------------	-------------

U.S. Passport

This may be either a current or previous U.S. Passport.	Passport Number	Month/Day/Year Issued
---	-----------------	-----------------------

**d** DUAL CITIZENSHIP If you are (or were) a dual citizen of the United States and another country, provide the name of that country in the space to the right.

**e** ALIEN If you are an alien, provide the following information:

Place You Entered the United States:	City	State	Date You Entered U.S. Month Day Year	Alien Registration Number	Country(ies) of Citizenship
--------------------------------------	------	-------	---	---------------------------	-----------------------------



## WHERE YOU HAVE LIVED

List the places where you have lived, beginning with the most recent (#1) and working back 7 years. All periods must be accounted for in your list. Be sure to indicate the actual physical location of your residence: do not use a post office box as an address, do not list a permanent address when you were actually living at a school address, etc. Be sure to specify your location as closely as possible: for example, do not list only your base or ship, list your barracks number or home port. You may omit temporary military duty locations under 90 days (list your permanent address instead), and you should use your APO/FPO address if you lived overseas.

For any address in the last 5 years, list a person who knew you at that address, and who preferably still lives in that area (do not list people for residences completely outside this 5-year period, and do not list your spouse, former spouses, or other relatives). Also for addresses in the last five years, if the address is "General Delivery," a Rural or Star Route, or may be difficult to locate, provide directions for locating the residence on an attached continuation sheet.

Month/Year	Month/Year	Street Address	Apt. #	City (Country)	State	ZIP Code	Telephone Number
#1	7/77 To Present				VA		
Name of Person Who Knew You		Street Address	Apt. #	City (Country)	State	ZIP Code	Telephone Number
					VA		
Month/Year	Month/Year	Street Address	Apt. #	City (Country)	State	ZIP Code	Telephone Number
#2	To						
Name of Person Who Knew You		Street Address	Apt. #	City (Country)	State	ZIP Code	Telephone Number
							( )
Month/Year	Month/Year	Street Address	Apt. #	City (Country)	State	ZIP Code	Telephone Number
#3	To						
Name of Person Who Knew You		Street Address	Apt. #	City (Country)	State	ZIP Code	Telephone Number
							( )
Month/Year	Month/Year	Street Address	Apt. #	City (Country)	State	ZIP Code	Telephone Number
#4	To						
Name of Person Who Knew You		Street Address	Apt. #	City (Country)	State	ZIP Code	Telephone Number
							( )
Month/Year	Month/Year	Street Address	Apt. #	City (Country)	State	ZIP Code	Telephone Number
#5	To						
Name of Person Who Knew You		Street Address	Apt. #	City (Country)	State	ZIP Code	Telephone Number
							( )

## 10 WHERE YOU WENT TO SCHOOL

List the schools you have attended, beyond Junior High School, beginning with the most recent (#1) and working back 7 years. List College or University degrees and the dates they were received. If all of your education occurred more than 7 years ago, list your most recent education beyond high school, no matter when that education occurred.

- Use one of the following codes in the "Code" block:

1 - High School

2 - College/University/Military College

3 - Vocational/Technical/Trade School

- For schools you attended in the past 3 years, list a person who knew you at school (an instructor, student, etc.). Do not list people for education completely outside this 3-year period.

- For correspondence schools and extension classes, provide the address where the records are maintained.

Month/Year	Month/Year	Code	Name of School	Degree/Diploma/Other	Month/Year Awarded
#1	9/61 To 6/64		The Harvard Law School	L.L.B.	6/64
Street Address and City (Country) of School			State	ZIP Code	Telephone Number
Cambridge			MA	02138	( )
Month/Year	Month/Year	Code	Name of School	Degree/Diploma/Other	Month/Year Awarded
#2	9/57 To 6/61	2	Brown University	A.B.	6/61
Street Address and City (Country) of School			State	ZIP Code	Telephone Number
Providence			RI	02912	( )
Month/Year	Month/Year	Code	Name of School	Degree/Diploma/Other	Month/Year Awarded
#3	To				
Street Address and City (Country) of School			State	ZIP Code	Telephone Number
					( )

Enter your Social Security Number before going to the next page

047-30-3300



# YOUR EMPLOYMENT ACTIVITIES (CONTINUED)

Month/Year	Month/Year	Code	Employer/Verifier Name/Military Duty Location	Your Position Title/Military Rank		
#4	To					
Employer's/Verifier's Street Address			City (Country)	State	ZIP Code	Telephone Number ( )
Street Address of Job Location (if different than Employer's Address)			City (Country)	State	ZIP Code	Telephone Number ( )
Supervisor's Name & Street Address (if different than Job Location)			City (Country)	State	ZIP Code	Telephone Number ( )

PREVIOUS PERIODS OF ACTIVITY (Block #4)	Month/Year	Month/Year	Position Title	Supervisor
	To			
	Month/Year	Month/Year	Position Title	Supervisor
	To			
Month/Year	Month/Year	Position Title	Supervisor	
To				

Month/Year	Month/Year	Code	Employer/Verifier Name/Military Duty Location	Your Position Title/Military Rank		
#5	To					
Employer's/Verifier's Street Address			City (Country)	State	ZIP Code	Telephone Number ( )
Street Address of Job Location (if different than Employer's Address)			City (Country)	State	ZIP Code	Telephone Number ( )
Supervisor's Name & Street Address (if different than Job Location)			City (Country)	State	ZIP Code	Telephone Number ( )

PREVIOUS PERIODS OF ACTIVITY (Block #5)	Month/Year	Month/Year	Position Title	Supervisor
	To			
	Month/Year	Month/Year	Position Title	Supervisor
	To			
Month/Year	Month/Year	Position Title	Supervisor	
To				

Month/Year	Month/Year	Code	Employer/Verifier Name/Military Duty Location	Your Position Title/Military Rank		
#6	To					
Employer's/Verifier's Street Address			City (Country)	State	ZIP Code	Telephone Number ( )
Street Address of Job Location (if different than Employer's Address)			City (Country)	State	ZIP Code	Telephone Number ( )
Supervisor's Name & Street Address (if different than Job Location)			City (Country)	State	ZIP Code	Telephone Number ( )

PREVIOUS PERIODS OF ACTIVITY (Block #6)	Month/Year	Month/Year	Position Title	Supervisor
	To			
	Month/Year	Month/Year	Position Title	Supervisor
	To			
Month/Year	Month/Year	Position Title	Supervisor	
To				

## 12 PEOPLE WHO KNOW YOU WELL

List three people who know you well and live in the United States. They should be good friends, peers, colleagues, college roommates, etc., whose combined association with you covers as well as possible the last 7 years. Do not list your spouse, former spouses, or other relatives, and try not to list anyone who is listed elsewhere on this form.

#1	Name	Home or Work Address	City (Country)	State	ZIP Code	Telephone Number ( ) Day ( ) Night	Dates Known Month/Year To Month/Year 9/69 To Present	b6 b7C
#2	Name	Home or Work Address	City (Country)	State	ZIP Code	Telephone Number ( ) Day ( ) Night	Dates Known Month/Year To Month/Year 3/76 To Present	
#3	Name	Home or Work Address	City (Country)	State	ZIP Code	Telephone Number ( ) Day ( ) Night	Dates Known Month/Year To Month/Year	

Enter your Social Security Number before going to the next page

047-30-3300

**YOUR EMPLOYMENT ACTIVITIES**

List your employment activities, beginning with the present (#1) and working back 7 years. You should list all full-time work, part-time work, military service, temporary military duty locations over 90 days, self-employment, other paid work, and all periods of unemployment. The entire 7-year period must be accounted for without breaks, but you need not list employments before your 16th birthday. **EXCEPTION:** Show all Federal civilian service, whether it occurred within the last 7 years or not.

• **Code.** Use one of the codes listed below to identify the type of employment:

- |                                   |  |  |           |
|-----------------------------------|--|--|-----------|
| 1 - Active military duty stations | 5 - State Government (Non-Federal employment)                                    | 7 - Unemployment (Include name of person who can verify)     | 9 - Other |
| 2 - National Guard/Reserve        | 6 - Self-employment (Include business name and/or name of person who can verify) | 8 - Federal Contractor (List Contractor, not Federal agency) |           |
| 3 - U.S.P.H.S. Commissioned Corps |  |  |           |
| 4 - Other Federal employment      |  |  |           |

• **Employer/Verifier Name.** List the business name of your employer or the name of the person who can verify your self-employment or unemployment in this block. If military service is being listed, include your duty location or home port here as well as your branch of service. You should provide separate listings to reflect changes in your military duty locations or home ports.

• **Previous Periods of Activity.** Complete these lines if you worked for an employer on more than one occasion at the same location. After entering the most recent period of employment in the initial numbered block, provide previous periods of employment at the same location on the additional lines provided. For example, if you worked at XY Plumbing in Denver, CO, during 3 separate periods of time, you would enter dates and information concerning the most recent period of employment first, and provide dates, position titles, and supervisors for the two previous periods of employment on the lines below that information.

Month/Year	Month/Year	Code	Employer/Verifier Name/Military Duty Location	Your Position Title/Military Rank		
#1	5/93	To Present	4 U.S. Dept. of Justice/ODAG	Associate Deputy Attorney Gen.		
Employer's/Verifier's Street Address			City (Country)	State	ZIP Code	Telephone Number
950 Pennsylvania Avenue, N.W.			Washington	DC	20530	(202) 514-4945
Street Address of Job Location (if different than Employer's Address)			City (Country)	State	ZIP Code	Telephone Number
						( )
Supervisor's Name & Street Address (if different than Job Location)			City (Country)	State	ZIP Code	Telephone Number
Eric H. Holder, Jr.			Washington	DC	20530	(202) 514-2101
PREVIOUS PERIODS OF ACTIVITY (Block #1)	Month/Year	Month/Year	Position Title	Supervisor		
	7/90	To 5/93	Acting DAAG/CRM/Online Section			
	Month/Year	Month/Year	Position Title	Supervisor		
	2/79	To 7/90	Chief, Organized Crime Section	John C. Keeney		
PREVIOUS PERIODS OF ACTIVITY (Block #2)	Month/Year	Month/Year	Position Title	Supervisor		
	To					
	Month/Year	Month/Year	Position Title	Supervisor		
	To					
PREVIOUS PERIODS OF ACTIVITY (Block #3)	Month/Year	Month/Year	Position Title	Supervisor		
	To					
	Month/Year	Month/Year	Position Title	Supervisor		
	To					
PREVIOUS PERIODS OF ACTIVITY (Block #4)	Month/Year	Month/Year	Position Title	Supervisor		
	To					
	Month/Year	Month/Year	Position Title	Supervisor		
	To					

b6  
b7C

Enter your Social Security Number before going to the next page



047-30-3300

### 13 YOUR SPOUSE

Mark one box to show your current marital status and provide information about your spouse(s) in items a, and/or b.

☐ 1 - Never married  
☒ 2 - Married

☐ 3 - Separated  
☐ 4 - Legally Separated

☐ 5 - Divorced  
☐ 6 - Widowed

#### a Current Spouse Complete the following about your current spouse only.

Full Name	Date of Birth	Place of Birth (Include country if outside the U.S.)	Social Security Number
		CT	
Other Names Used (Specify maiden name, names by other marriages, etc. and show dates used for each name)			Country(ies) of Citizenship
(Maiden)			
Date Married	Place Married (Include country if outside the U.S.)		State
5/25/69	West Hartford		Ct
If Separated, Date of Separation	If Legally Separated, Where is the Record Located? City (Country)		State
Address of Current Spouse, If different than your current address (Street, city, and country if outside the U.S.)			State ZIP Code

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b7C

#### b Former Spouse(s) Complete the following about your former spouse(s), use blank sheets if needed.

Full Name	Date of Birth	Place of Birth (Include country if outside the U.S.)	State
		I think - Roanoke	VA
Country(ies) of Citizenship	Date Married	Place Married (Include country if outside the U.S.)	State
US	7/31/66	Hartford	CT
Check One, Then Give Date	Month/Day/Year	If Divorced, Where is the Record Located? City (Country) (so does the FBI)	
<input checked="" type="checkbox"/> Divorced <input type="checkbox"/> Widowed	12/30/67	Juarez, Mexico - I have a certified copy	
Address of Former Spouse (Street, city, and country if outside the U.S.)		State	ZIP Code Telephone Number
UNKNOWN - No contact in nearly 30 years - Parents live in Bloomfield, CT			

### 14 YOUR RELATIVES AND ASSOCIATES

Give the full name, correct code, and other requested information for each of your relatives and associates, living or dead, specified below.

- |                     |                          |                   |                    |                                      |
|---------------------|--------------------------|-------------------|--------------------|--------------------------------------|
| 1 - Mother (first)  | 5 - Foster parent        | 9 - Sister        | 13 - Half-sister   | 17 - Other Relative*                 |
| 2 - Father (second) | 6 - Child (adopted also) | 10 - Stepbrother  | 14 - Father-in-law | 18 - Associate*                      |
| 3 - Stepmother      | 7 - Stepchild            | 11 - Stepsister   | 15 - Mother-in-law | 19 - Adult Currently Living With You |
| 4 - Stepfather      | 8 - Brother              | 12 - Half-brother | 16 - Guardian      |                                      |

\* Code 17 (Other Relative)-include only foreign national relatives not listed in 1-16 with whom you or your spouse are bound by affection, obligation, or close and continuing contact. Code 18 (Associates) - include only foreign national associates with whom you or your spouse are bound by affection, obligation, or close and continuing contact.

Full Name (If deceased, check box on the left before entering name)	Code	Date of Birth Month/Day/Year	Country of Birth	Country(ies) of Citizenship	Current Street Address and City (country) of Living Relatives	State
<input type="checkbox"/> Biological Mother	1	UNK - I was adopted at birth -			Identity UNKNOWN	
<input type="checkbox"/> Biological Father	2	(SAME AS ABOVE)				
<input checked="" type="checkbox"/> Sylvia Booth Margolis	1	(Died in 44) UNKNOWN	US	US - Portland, CT		
<input checked="" type="checkbox"/> Louis Margolis	2	4/18/03	US	US		
<input type="checkbox"/> Martha Margolis	1	6/4/08	US	US	44 Avonwood Road Apt. #101, Avon	CT
<input type="checkbox"/>	6		US	US		b6 IL b7C
<input type="checkbox"/>	6		US	US		VA
<input type="checkbox"/>	8		US	US		CT
<input checked="" type="checkbox"/> David Lipman	14	4/17/10	US	US	829 Camino Road Delray Beach	FL
<input type="checkbox"/> Ruth Lipman	15	11/30/16	US	US	829 Camino Road Delray Beach	FL
<input type="checkbox"/>						
<input type="checkbox"/>						

Enter your Social Security Number before going to the next page



047-30-3300

**15 CITIZENSHIP OF YOUR RELATIVES AND ASSOCIATES**

If your mother, father, sister, brother, child, or current spouse or person with whom you have a spouse-like relationship is a U.S. citizen by other than birth, or an alien residing in the U.S., provide the nature of the individual's relationship to you (Spouse, Spouse-like, Mother, etc.), and the individual's name and date of birth on the first line (this information is needed to pair it accurately with information in items 13 and 14).

On the second line, provide the individual's naturalization certificate or alien registration number and use one of the document codes below to identify proof of citizenship status. Provide additional information on that line as requested.

1. **Naturalization Certificate:** Provide the date issued and the location where the person was naturalized (Court, City and State).
2. **Citizenship Certificate:** Provide the date and location issued (City and State).

3. **Alien Registration:** Provide the date and place where the person entered the U.S. (City and State).
4. **Other:** Provide an explanation in the "Additional Information" block.

Association #1	Name	Date of Birth (Month/Day/Year)
Certificate/Registration #	Document Code	Additional Information
Association #2	Name	Date of Birth (Month/Day/Year)
Certificate/Registration #	Document Code	Additional Information

**16 YOUR MILITARY HISTORY**

a Have you served in the United States military?

b Have you served in the United States Merchant Marine?

Yes	No
	X
	X

List all of your military service below, including service in Reserve, National Guard, and U.S. Merchant Marine. Start with the most recent period of service (#1) and work backward. If you had a break in service, each separate period should be listed.

- Code. Use one of the codes listed below to identify your branch of service:

1 - Air Force    2 - Army    3 - Navy    4 - Marine Corps    5 - Coast Guard    6 - Merchant Marine    7 - National Guard

- O/E. Mark "O" block for Officer or "E" block for Enlisted.

- Status. "X" the appropriate block for the status of your service during the time that you served. If your service was in the National Guard, do not use an "X"; use the two-letter code for the state to mark the block.

- Country. If your service was with other than the U.S. Armed Forces, identify the country for which you served.

Month/Year	Month/Year	Code	Service/Certificate #	O	E	Active	Active Reserve	Status Inactive Reserve	National Guard (State)	Country
To										
To										

**17 YOUR FOREIGN ACTIVITIES**

a Do you have any foreign property, business connections, or financial interests?

b Are you now or have you ever been employed by or acted as a consultant for a foreign government, firm, or agency?

c Have you ever had any contact with a foreign government, its establishments (embassies or consulates), or its representatives, whether inside or outside the U.S., other than on official U.S. Government business? (Does not include routine visa applications and border crossing contacts.)

d In the last 7 years, have you had an active passport that was issued by a foreign government?

Yes	No
	X
	X
	X
	X

If you answered "Yes" to a, b, c, or d above, explain in the space below: provide inclusive dates, names of firms and/or governments involved, and an explanation of your involvement.

Month/Year	Month/Year	Firm and/or Government	Explanation
To			
To			

**18 FOREIGN COUNTRIES YOU HAVE VISITED**

List foreign countries you have visited, except on travel under official Government orders, beginning with the most current (#1) and working back 7 years. (Travel as a dependent or contractor must be listed.)

- Use one of these codes to indicate the purpose of your visit: 1 - Business    2 - Pleasure    3 - Education    4 - Other

- Include short trips to Canada or Mexico. If you have lived near a border and have made short (one day or less) trips to the neighboring country, you do not need to list each trip. Instead, provide the time period, the code, the country, and a note ("Many Short Trips").

- Do not repeat travel covered in items 9, 10, or 11.

Month/Year	Month/Year	Code	Country	Month/Year	Month/Year	Code	Country
#1 9/91 To (3 days)		1	Montreal, Canada	#3 2/96 To (2 days)		1	Dominican Republic
			Windsor, Ontario, Canada				(Speech to FBA of N.Y.)
#2 6/85 To (4 hrs.)		2	Dinner on business trip to Detroit	#4			

This concludes Part 1 of this form. If you have used Page 9, continuation sheets, or blank sheets to complete any of the questions in Part 1, give the number for those questions in the space to the right:

Enter your Social Security Number before going to the next page

047-30-3300

# QUESTIONNAIRE FOR NATIONAL SECURITY POSITIONS

## Part 2

OFFICIAL  
USE  
ONLY

### 19 YOUR MILITARY RECORD

Have you ever received other than an honorable discharge from the military? If "Yes," provide the date of discharge and type of discharge below.

Yes	No
	X

Month/Year      Type of Discharge

### 20 YOUR SELECTIVE SERVICE RECORD

- a Are you a male born after December 31, 1959? If "No," go to 21. If "Yes," go to b.
- b Have you registered with the Selective Service System? If "Yes," provide your registration number. If "No," show the reason for your legal exemption below.

Yes	No
	X

Registration Number      Legal Exemption Explanation

### 21 YOUR MEDICAL RECORD

In the last 7 years, have you consulted with a mental health professional (psychiatrist, psychologist, counselor, etc.) or have you consulted with another health care provider about a mental health related condition?

Yes	No
	X

If you answered "Yes", provide the dates of treatment and the name and address of the therapist or doctor below, unless the consultation(s) involved only marital, family, or grief counseling, not related to violence by you.

Month/Year	Month/Year	Name/Address of Therapist or Doctor	State	ZIP Code
To				
To				

### 22 YOUR EMPLOYMENT RECORD

Has any of the following happened to you in the last 7 years? If "Yes," begin with the most recent occurrence and go backward, providing date fired, quit, or left, and other information requested.

Yes	No
	X

Use the following codes and explain the reason your employment was ended:

- 1 - Fired from a job      3 - Left a job by mutual agreement following allegations of misconduct      5 - Left a job for other reasons under unfavorable circumstances
- 2 - Quit a job after being told you'd be fired      4 - Left a job by mutual agreement following allegations of unsatisfactory performance

Month/Year	Code	Specify Reason	Employer's Name and Address (Include city/Country if outside U.S.)	State	ZIP Code

### 23 YOUR POLICE RECORD

For this item, report information regardless of whether the record in your case has been "sealed" or otherwise stricken from the court record. The single exception to this requirement is for certain convictions under the Federal Controlled Substances Act for which the court issued an expungement order under the authority of 21 U.S.C. 844 or 18 U.S.C. 3607.

Yes	No
	X
	X
	X
	X
	X
	X

- a Have you ever been charged with or convicted of any felony offense? (Include those under Uniform Code of Military Justice)
- b Have you ever been charged with or convicted of a firearms or explosives offense?
- c Are there currently any charges pending against you for any criminal offense?
- d Have you ever been charged with or convicted of any offense(s) related to alcohol or drugs?
- e In the last 7 years, have you been subject to court martial or other disciplinary proceedings under the Uniform Code of Military Justice? (Include non-judicial, Captain's mast, etc.)
- f In the last 7 years, have you been arrested for, charged with, or convicted of any offense(s) not listed in response to a, b, c, d, or e above? (Leave out traffic fines of less than \$150 unless the violation was alcohol or drug related.)

If you answered "Yes" to a, b, c, d, e, or f above, explain below. Under "Offense," do not list specific penalty codes, list the actual offense or violation (for example, arson, theft, etc.).

Month/Year	Offense	Action Taken	Law Enforcement Authority/Court (Include City and county/country if outside U.S.)	State	ZIP Code

Enter your Social Security Number before going to the next page

→ 047-30-3300

<b>24 YOUR USE OF ILLEGAL DRUGS AND DRUG ACTIVITY</b>	Yes	No
<p>The following questions pertain to the illegal use of drugs or drug activity. You are required to answer the questions fully and truthfully, and your failure to do so could be grounds for an adverse employment decision or action against you, but neither your truthful responses nor information derived from your responses will be used as evidence against you in any subsequent criminal proceeding.</p>		
<p><b>a</b> Since the age of 16 or in the last 7 years, whichever is shorter, have you <u>illegally</u> used any controlled substance, for example, marijuana, cocaine, crack cocaine, hashish, narcotics (opium, morphine, codeine, heroin, etc.), amphetamines, depressants (barbiturates, methaqualone, tranquilizers, etc.), hallucinogenics (LSD, PCP, etc.), or prescription drugs?</p>		X
<p><b>b</b> Have you <u>ever</u> illegally used a controlled substance while employed as a law enforcement officer, prosecutor, or courtroom official; while possessing a security clearance; or while in a position directly and immediately affecting the public safety?</p>		X
<p><b>c</b> In the last 7 years, have you been involved in the illegal purchase, manufacture, trafficking, production, transfer, shipping, receiving, or sale of any narcotic, depressant, stimulant, hallucinogen, or cannabis for your own intended profit or that of another?</p>		X

If you answered "Yes" to a or b above, provide the date(s), identify the controlled substance(s) and/or prescription drugs used, and the number of times each was used.

Month/Year	Month/Year	Controlled Substance/Prescription Drug Used	Number of Times Used
To			
To			

<b>25 YOUR USE OF ALCOHOL</b>	Yes	No
<p>In the last 7 years, has your use of alcoholic beverages (such as liquor, beer, wine) resulted in any alcohol-related treatment or counseling (such as for alcohol abuse or alcoholism)?</p>		X

If you answered "Yes", provide the dates of treatment and the name and address of the counselor or doctor below. Do not repeat information reported in response to item 21 above.

Month/Year	Month/Year	Name/Address of Counselor or Doctor	State	ZIP Code
To				
To				

<b>26 YOUR INVESTIGATIONS RECORD</b>	Yes	No
<p><b>a</b> Has the United States Government ever investigated your background and/or granted you a security clearance? If "Yes," use the codes that follow to provide the requested information below. If "Yes," but you can't recall the investigating agency and/or the security clearance received, enter "Other" agency code or clearance code, as appropriate, and "Don't know" or "Don't recall" under the "Other Agency" heading, below. If your response is "No," or you don't know or can't recall if you were investigated and cleared, check the "No" box.</p>	X	

<p><b>Codes for Investigating Agency</b></p> <p>1 - Defense Department      4 - FBI</p> <p>2 - State Department      5 - Treasury Department</p> <p>3 - Office of Personnel Management      6 - Other (Specify)</p>	<p><b>Codes for Security Clearance Received</b></p> <p>0 - Not Required      3 - Top Secret      3 - L</p> <p>1 - Confidential      4 - Sensitive Compartmented Information      7 - Other</p> <p>2 - Secret      5 - Q</p>
---	---

Month/Year	Agency Code	Other Agency	Clearance Code	Month/Year	Agency Code	Other Agency	Clearance Code
6-65	4		2	7-90	6	Justice - JMD	4
Summer '80	6	Justice - JMD	4	- 92	4	-	4

**b** To your knowledge, have you ever had a clearance or access authorization denied, suspended, or revoked, or have you ever been debarred from government employment? If "Yes," give date of action and agency. Note: An administrative downgrade or termination of a security clearance is not a revocation.

Month/Year	Department or Agency Taking Action	Month/Year	Department or Agency Taking Action

<b>27 YOUR FINANCIAL RECORD</b>	Yes	No
<p><b>a</b> In the last 7 years, have you filed a petition under any chapter of the bankruptcy code (to include Chapter 13)?</p>		
<p><b>b</b> In the last 7 years, have you had your wages garnished or had any property repossessed for any reason?</p>		X
<p><b>c</b> In the last 7 years, have you had a lien placed against your property for failing to pay taxes or other debts?</p>		X
<p><b>d</b> In the last 7 years, have you had any judgments against you that have not been paid?</p>		X

If you answered "Yes" to a, b, c, or d, provide the information requested below:

Month/Year	Type of Action	Amount	Name Action Occurred Under	Name/Address of Court or Agency Handling Case	State	ZIP Code

Enter your Social Security Number before going to the next page

047-30-3300



# UNITED STATES OF AMERICA

## AUTHORIZATION FOR RELEASE OF INFORMATION

Carefully read this authorization to release information about you, then sign and date it in ink.

I **Authorize** any investigator, special agent, or other duly accredited representative of the authorized Federal agency conducting my background investigation, to obtain any information relating to my activities from individuals, schools, residential management agents, employers, criminal justice agencies, credit bureaus, consumer reporting agencies, collection agencies, retail business establishments, or other sources of information. This information may include, but is not limited to, my academic, residential, achievement, performance, attendance, disciplinary, employment history, criminal history record information, and financial and credit information. I authorize the Federal agency conducting my investigation to disclose the record of my background investigation to the requesting agency for the purpose of making a determination of suitability or eligibility for a security clearance.

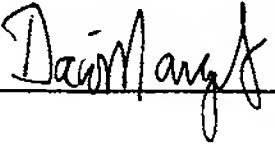
I **Understand** that, for financial or lending institutions, medical institutions, hospitals, health care professionals, and other sources of information, a separate specific release will be needed, and I may be contacted for such a release at a later date. Where a separate release is requested for information relating to mental health treatment or counseling, the release will contain a list of the specific questions, relevant to the job description, which the doctor or therapist will be asked.

I **Further Authorize** any investigator, special agent, or other duly accredited representative of the U.S. Office of Personnel Management, the Federal Bureau of Investigation, the Department of Defense, the Defense Investigative Service, and any other authorized Federal agency, to request criminal record information about me from criminal justice agencies for the purpose of determining my eligibility for access to classified information and/or for assignment to, or retention in, a sensitive National Security position, in accordance with 5 U.S.C. 9101. I understand that I may request a copy of such records as may be available to me under the law.

I **Authorize** custodians of records and other sources of information pertaining to me to release such information upon request of the investigator, special agent, or other duly accredited representative of any Federal agency authorized above regardless of any previous agreement to the contrary.

I **Understand** that the information released by records custodians and sources of information is for official use by the Federal Government only for the purposes provided in this Standard Form 86, and that it may be redisclosed by the Government only as authorized by law.

Copies of this authorization that show my signature are as valid as the original release signed by me. This authorization is valid for five (5) years from the date signed or upon the termination of my affiliation with the Federal Government, whichever is sooner. Read, sign and date the release on the next page if you answered "Yes" to question 21.

Signature (Sign in ink) 		Full Name (Type or Print Legibly) DAVID MARGOLIS		Date Signed 1-14-98	
Other Names Used				Social Security Number 047-30-3300	
Current Address (Street, City)			State VA	ZIP Code	Home Telephone Number (Include Area Code)

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b7C

**28 YOUR FINANCIAL DELINQUENCIES**

Yes	No
	X
	X

a In the last 7 years, have you been over 180 days delinquent on any debt(s)?

b Are you currently over 90 days delinquent on any debt(s)?

If you answered "Yes" to a or b, provide the information requested below:

Incurred Month/Year	Satisfied Month/Year	Amount	Type of Loan or Obligation and Account Number	Name/Address of Creditor or Obligor	State	ZIP Code

**29 PUBLIC RECORD CIVIL COURT ACTIONS**

Yes	No
	X

In the last 7 years, have you been a party to any public record civil court actions not listed elsewhere on this form?

If you answered "Yes," provide the information about the public record civil court action requested below.

Month/Year	Nature of Action	Result of Action	Name of Parties Involved	Court (Include City and county/country if outside U.S.)	State	ZIP Code

**30 YOUR ASSOCIATION RECORD**

Yes	No
	X
	X

a Have you ever been an officer or a member or made a contribution to an organization dedicated to the violent overthrow of the United States Government and which engages in illegal activities to that end, knowing that the organization engages in such activities with the specific intent to further such activities?

b Have you ever knowingly engaged in any acts or activities designed to overthrow the United States Government by force?

If you answered "Yes" to a or b, explain in the space below.

**Continuation Space**

Use the continuation sheet(s) (SF 86A) for additional answers to items 9, 10, and 11. Use the space below to continue answers to all other items and any information you would like to add. If more space is needed than is provided below, use a blank sheet(s) of paper. Start each sheet with your name and Social Security Number. Before each answer, identify the number of the item.

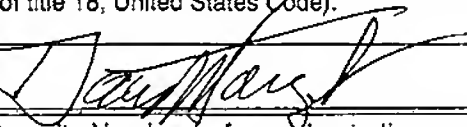
13. As to former spouse: She was a [ ] employed by the [ ] Board of b6  
Education. They may have a current address. There were no children of this marriage b7C  
and her maiden name was restored [ ] - I recently read a newspaper which  
indicated that she now lives in New York City.

After completing Parts 1 and 2 of this form and any attachments, you should review your answers to all questions to make sure the form is complete and accurate, and then sign and date the following certification and sign and date the release on page 10.

**Certification That My Answers Are True**

My statements on this form, and any attachments to it, are true, complete, and correct to the best of my knowledge and belief and are made in good faith. I understand that a knowing and willful false statement on this form can be punished by fine or imprisonment or both. (See section 1001 of title 18, United States Code).

Signature (Sign in ink)



Date

1-13-98

Enter your Social Security Number before going to the next page



047-30-3300



## UNITED STATES OF AMERICA

### AUTHORIZATION FOR RELEASE OF MEDICAL INFORMATION

Carefully read this authorization to release information about you, then sign and date it in ink.

#### Instructions for Completing this Release

This is a release for the investigator to ask your health practitioner(s) the three questions below concerning your mental health consultations. Your signature will allow the practitioner(s) to answer only these questions.

I am seeking assignment to or retention in a position with the Federal government which requires access to classified national security information or special nuclear information or material. As part of the clearance process, I **hereby authorize** the investigator, special agent, or duly accredited representative of the authorized Federal agency conducting my background investigation, to obtain the following information relating to my mental health consultations:

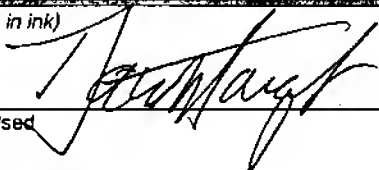
Does the person under investigation have a condition or treatment that could impair his/her judgement or reliability, particularly in the context of safeguarding classified national security information or special nuclear information or material?

If so, please describe the nature of the condition and the extent and duration of the impairment or treatment.

What is the prognosis?

I understand the information released pursuant to this release is for use by the Federal Government only for purposes provided in the Standard Form 86 and that it may be redisclosed by the Government only as authorized by law.

Copies of this authorization that show my signature are as valid as the original release signed by me. This authorization is valid for 1 year from the date signed or upon termination of my affiliation with the Federal Government, whichever is sooner.

Signature (Sign in ink) 	Full Name (Type or Print Legibly) DAVID MARGOLIS	Date Signed 1-13-98
Other Names Used	Social Security Number 047-30-3300	
Current Address (Street, City) <div></div>	State VA	ZIP Code <div></div>
		Home Telephone Number <div></div>

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(12/31/1995)

# FEDERAL BUREAU OF INVESTIGATION

Precedence: DEADLINE 04/30/1998

Date: 02/10/1998

To: Chicago  
New Haven  
Personnel  
Richmond  
Washington Field

Attn: BICS, Region I

Attn: 77 Rotor Clerk

From: Personnel  
Special Inquiry and General Background Investigation  
Unit (SIGBIU), Room 4371  
Contact: PSS [redacted] Extension 2586

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b7C

Approved By: [redacted]

Drafted By: [redacted] rsh

Case ID #: 77L-HQ-1240842 (Pending)

Title: DAVID (NMN) MARGOLIS  
BI-DOJ

Synopsis: Initiation of 5-year scope background reinvestigation on captioned candidate using SF-86.

Administrative: BUDED is 04/30/1998. Prior case ID/file number for captioned candidate was 77J-97035.

NOTE: As a result of discussions with representatives of the Department of Justice (DOJ), changes in certain procedures/policy involving classification 77L 5-year background reinvestigations conducted by the FBI for the DOJ when using the SF-86 have been made. These changes must be followed when conducting a 5-year reinvestigation.

RECEIVING OFFICES ARE TO ENSURE THAT A COPY OF THE ENCLOSED 77L INVESTIGATIVE PACKET IS PROVIDED WITH THIS EC TO EACH INDIVIDUAL (I.E., BUREAU EMPLOYEE OR BICS SPECIAL INVESTIGATOR) CONDUCTING INVESTIGATION IN CAPTIONED MATTER. The investigative packet reflects the changes and provides specific instructions concerning them, as well as other (UNCHANGED) investigation to be conducted in a 77L background reinvestigation in which the SF-86 is used. PARTICULAR ATTENTION IS TO BE PAID TO THE SCOPE OF QUESTIONING, ESPECIALLY WITH RESPECT (ALTHOUGH NOT LIMITED) TO MENTAL HEALTH AND ALCOHOL RELATED COUNSELING, PRIOR ILLEGAL DRUG USE AND OTHER DRUG ACTIVITY, AND THE "INITIAL" CANDIDATE INTERVIEW.

As was done in this particular reinvestigation, FBIHQ will provide the enclosed investigative packet in each 77L background reinvestigation in which SF-86 is used, as an EC enclosure, to each field office/BICS Region having investigation

✓ Mail Room

3/24  
Return to [redacted]

Room 4371

77L-HQ-1240842-5

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MAIL DIRECT from SPECIAL INQUIRY UNIT, CID  
mel

To: Chicago From: Personnel  
Re: 77L-HQ-1240842, 02/10/1998

therein. Field offices/BICS Regions sending followup leads to other field offices/BICS Regions not known to be involved in captioned investigation are to ensure that a copy of the enclosed investigative packet is provided to those field offices/BICS Regions along with a copy of this EC.

To the extent that they do not conflict with the policy/procedure changes in the enclosed investigative packet, and as applicable, the investigative guidelines set forth in MIOG, Part I, Section 77, and Part II, Section 17, are to be referred to for assistance in conducting investigation in captioned matter. However, to the extent those guidelines are in conflict with the changes, the guidelines are to be disregarded and the changes followed.

Additionally, field offices/BICS Regions are reminded that neither the investigative status of a background investigation nor any deadlines are to be disclosed to the candidate or any interviewees. Candidates making inquiries of the status of their investigation are to be referred to the client entity.

Direct results/questions to PSS [ ] supra.  
Advise SIGBIU (PSS [ ] and appropriate field offices of any derogatory information in accordance with MIOG, Part II, Section 17-5.1(1). If Buded will not be met, telephonically advise PSS [ ] and set forth reason(s) in Administrative Section of investigative report. SIGBIU facsimile numbers are (202) 324-2574, and (202) 324-1373.

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**Enclosures:** Being forwarded to each field office/BICS Region with a hard copy of this EC, via Bureau mail, is one copy each of the following: candidate's SF-86 dated 1/13/98, Authorization for Release of Information dated 1/13/98, and Authorization for Release of Medical Information dated 1/13/98, and an investigative packet revised 9/12/96 to be used in conducting candidate's 5-year background reinvestigation.

**Details:** Bureau has been requested by the DOJ to conduct a 5-year background reinvestigation of candidate using the SF-86. Candidate is currently an Associate Deputy Attorney General, Department of Justice, Washington, D.C. Candidate's last FBI background investigation was closed in 1992. The results of that investigation have not yet been received. If that investigation discloses the necessity for additional investigation, PSS [ ] will immediately forward to appropriate field offices for lead coverage. Update from that point and as indicated on

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To: Chicago From: Personnel  
Re: 77L-HQ-1240842, 02/10/1998

the SF-86.

At this time, PSS [ ] has not received appropriate HQ credit reports for review. If review reveals unsatisfactory credit history, PSS [ ] will immediately forward copies of pertinent details to appropriate Field Offices for lead coverage.

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b7c

IN ADDITION TO INVESTIGATION TO BE CONDUCTED IN THOSE AREAS MARKED FOR COVERAGE ON THE CANDIDATE'S SF-86, RECEIVING OFFICES ARE TO CONDUCT SPECIFIC INVESTIGATION SET FORTH IN THE LEADS SECTION BELOW.

ALL OFFICES: AFTER REVIEWING THE INFORMATION IN THIS EC AND ITS ENCLOSURES, CONDUCT INVESTIGATION SET FORTH UNDER THE "LEAD(S)" SECTION HEREIN AND/OR AS MARKED ON THE ENCLOSED SF-86. ENSURE THAT EACH INDIVIDUAL CONDUCTING INVESTIGATION IN THIS MATTER IS PROVIDED A COPY OF THE ENCLOSED 77L INVESTIGATIVE PACKET WITH THIS EC.

To: Chicago From: Personnel  
Re: 77L-HQ-1240842, 02/10/1998

LEAD (s):

Set Lead 1:

CHICAGO

AT CHICAGO, IL

Conduct appropriate indices searches concerning candidate's relatives as indicated on the enclosed SF-86.

Set Lead 2:

NEW HAVEN

AT NEW HAVEN, CT

Verify D/POB at the Bureau of Vital Statistics.

Conduct appropriate indices searches concerning candidate's relatives as indicated on the enclosed SF-86.

Set Lead 3:

PERSONNEL

AT BICS, REGION I

Conduct candidate interview using enclosed candidate interview guidelines and ensure each question is thoroughly addressed and clearly set forth in candidate's FD-302. In addition, specifically address the following:

Determine from the candidate where he may be licensed to practice law. If not already set out in this communication, set appropriate leads to verify current membership standing and any grievances.

Set Lead 4:

AT BICS, REGION I

During routine interviews of candidate's listed references, developed references, supervisors, coworkers, neighbors, and/or residence verifiers, refer to enclosed third party interview guidelines as outlined on pages 4 and 5 of investigative packet.

To: Chicago From: Personnel  
Re: 77L-HQ-1240842, 02/10/1998

**Set Lead 5:**

RICHMOND

AT RICHMOND, VA

Check Department of Motor Vehicles for any information concerning candidate.

Check the Virginia State Bar Association for current membership standing and any grievances.

**Set Lead 6:**

WASHINGTON FIELD

AT WASHINGTON, DC

Review records of appropriate U.S. Attorney's Offices for any pertinent information concerning candidate.

Conduct appropriate law enforcement checks in areas where the candidate has resided/been employed for the scope of this investigation.

Check the District of Columbia Bar Association for current membership standing and any grievances.

Check the DOJ. Check the Offices of the Inspector General and Professional Responsibility.

CC: 1 - PSS

♦♦

b6  
b7c

76957

## FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE Richmond	OFFICE OF ORIGIN Bureau	DATE 2/18/98	INVESTIGATIVE PERIOD 2/12/98
TITLE OF CASE DAVID (NMN) MARGOLIS		REPORT MADE BY IA [redacted]	TYPED BY: jzg
		CHARACTER OF CASE BI - DOJ	

b6  
b7C

Reference: Bureau "EC" to Chicago dated 2/10/98.

-RUC-

Administrative:

Richmond indices negative regarding the candidate.

APPROVED <i>[Signature]</i>	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW			
COPIES MADE: (2) - Bureau (77L-HQ-1240842) - 10 Attn: PSS [redacted] SIGBIU, Room 4371 1 - Richmond (77L-HQ-1240842)					
DISSEMINATION RECORD OF ATTACHED REPORT		Notations			
Agency	DOJ				
Request Recd.	4/17/03				
Date Fwd.	5/22/98	6/3/03			
How Fwd.					
By	not	TW			

b6  
b7C

b7E

3/24

UNITED STATES DEPARTMENT OF JUSTICE  
Federal Bureau of Investigation

Copy to:

Report of:

IA [REDACTED]

Office: Richmond

b6  
b7C

Date:

February 18, 1998

Case ID #:

77L-HQ-1240842

Title:

DAVID (NO MIDDLE NAME) MARGOLIS

Character:

BACKGROUND INVESTIGATION - DEPARTMENT OF JUSTICE

Synopsis:

DMV set forth. Candidate not a member of the VSB.

-RUC-

DETAILS:

ARREST

On February 12, 1998, a review of the computerized files of the Department of Motor Vehicles, Richmond, Virginia, by [REDACTED] failed to disclose any traffic violations for the candidate. The candidate has a current Virginia driver's license with an expiration date of December 31, 1999.

b6  
b7C

MISCELLANEOUS

On February 12, 1998, [REDACTED]  
[REDACTED] Virginia State Bar (VSB), Richmond, Virginia, advised that the candidate is not a member of the VSB.



76957

## FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE New Haven	OFFICE OF ORIGIN Bureau	DATE 2/24/98	INVESTIGATIVE PERIOD 02/10-24/1998
TITLE OF CASE DAVID (NMN) MARGOLIS		REPORT MADE BY IA [redacted]	TYPED BY: cjw
		CHARACTER OF CASE BI-DOJ	

b6  
b7C

REFERENCE: Bureau EC to New Haven, Et Al, dated February 10, 1998.

## ADMINISTRATIVE:

New Haven Office indices were reviewed and proved negative for the candidate and his family with the exception of a possible reference for the candidate to File Number 76-1397-3, dated October 1970.

NP

APPROVED <i>MSP/HB</i>	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW			
COPIES MADE: ②-Bureau (77L-HQ-1240842) (Attn: PSS [redacted]) SIGBIU, Room 4371		TTL	HQ	1240842	7
2-New Haven [redacted] 2/26					
DISSEMINATION RECORD OF ATTACHED REPORT		Notations			
Agency	DOS				
Request Recd.	4/17/03				
Date Fwd.	5/22/98 6/3/03				
How Fwd.					
By	Law TW				

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b7C  
b7E

3/24/4371

UNITED STATES DEPARTMENT OF JUSTICE  
Federal Bureau of Investigation

Copy to:

Report of: IA [REDACTED]  
Date: February 24, 1998

Office: New Haven

b6  
b7C

Case ID #: 77L-HQ-1240842

Title: DAVID (NMN) MARGOLIS

Character: BI-DOJ

Synopsis: Birth check conducted.

DETAILS:

BIRTH:

In view of the fact that the candidate stated in his application that he was adopted at birth, on February 24, 1998, [REDACTED] Department of Public Health, Vital Records, 410 Capital Avenue, Hartford, Connecticut, verified that David (NMN) Margolis was born on December 18, 1939, at Hartford, Connecticut. Parents listed on the birth certificate are Louis and Sylvia Margolis.

b6  
b7C

(12/31/1995)

# FEDERAL BUREAU OF INVESTIGATION

Precedence: DEADLINE 04/30/1998

Date: 03/12/1998

To: New Haven

From: Personnel

Special Inquiry and General Background Investigation  
Unit (SIGBIU), Room 4371

Contact: PSS [REDACTED] Extension 2586

b6  
b7c

Approved By: [REDACTED]

*RM*  
Drafted By: [REDACTED] rsh

Case ID #: 77L-HQ-1240842 (Pending)

Title: DAVID (NMN) MARGOLIS  
BI-DOJ

Synopsis: Additional lead regarding background investigation (BI)  
on captioned candidate.

Reference: 77L-HQ-1240842 Serial 5

Administrative:

Field offices/BICS Regions are reminded that neither the investigative status of a background investigation nor any deadlines are to be disclosed to the candidate or any interviewees. Candidates making inquiries of the status of their investigation are to be referred to the client entity.

All investigation is to be submitted in investigative report format, to include headings and subheadings.

Direct results/questions to [REDACTED] supra.  
Advise SIGBIU (PSS [REDACTED] and appropriate field offices of any derogatory information in accordance with MIOG, Part II, Section 17-5.1(1). If Buded will not be met, telephonically advise PSS [REDACTED] and set forth reason(s) in Administrative Section of investigative report. SIGBIU facsimile numbers are (202) 324-2574, and (202) 324-1373.

b6  
b7c

Details: Bureau has been requested by the DOJ to conduct a 5-year background reinvestigation of candidate using the SF-86. Candidate is currently an Associate Deputy Attorney General, Department of Justice, Washington, D.C. Candidate's last FBI background investigation was closed in 1992. During that investigation, candidate advised he was a member of the Connecticut State Bar.

3/12  
MAIL DIRECT from SPECIAL INQUIRY UNIT, CID BY *meo*

*Mail Rom ✓*

*Return to*

[REDACTED]

*Room 4371*

*77L-HQ-1240842-8*

To: New Haven From: Personnel  
Re: 77L-HQ-1240842, 03/12/1998

LEAD (s):

Set Lead 1:

NEW HAVEN

AT NEW HAVEN, CT

Check the Connecticut State Bar Association for  
current membership standing and any grievances.

CC: 1 - PSS

b6  
b7c

♦♦

(12/31/1995)

## FEDERAL BUREAU OF INVESTIGATION

Precedence: DEADLINE 04/30/1998

Date: 03/17/1998

To: Boston  
Pittsburgh

From: Personnel  
Special Inquiry and General Background Investigation  
Unit (SIGBIU) Room 4371  
Contact: PSS [redacted] Extension 2586

b6  
b7c

Approved By: [redacted]

Drafted By: [redacted] rsh

Case ID #: 77L-HQ-1240842 (Pending)

Title: DAVID (NMN) MARGOLIS  
BI-DOJ

Synopsis: Additional leads regarding background investigation (BI) on captioned candidate.

Reference: 77L-HQ-1240842 Serial 5

Administrative:

Field offices/BICS Regions are reminded that neither the investigative status of a background investigation nor any deadlines are to be disclosed to the candidate or any interviewees. Candidates making inquiries of the status of their investigation are to be referred to the client entity.

All investigation is to be submitted in investigative report format, to include headings and subheadings.

Direct results/questions to PSS [redacted] supra.  
Advise SIGBIU [redacted] and appropriate field offices of any derogatory information in accordance with MIOG, Part II, Section 17-5.1(1). If Buded will not be met, telephonically advise PSS [redacted] and set forth reason(s) in Administrative Section of investigative report. SIGBIU facsimile numbers are (202) 324-2574, and (202) 324-1373.

b6  
b7c

Details: Bureau has been requested by the DOJ to conduct a 5-year background reinvestigation of candidate using the SF-86. Candidate is currently an Associate Deputy Attorney General, Department of Justice, Washington, D.C. A review of the Universal Name Index maintained at FBIHQ has revealed field office file, 89B-BS-80615 (Serial 36) which may contain a reference to the candidate, David Margolis. This review also revealed field office file, 139B-PG-63149 (Serial 1), which may concern the candidate's mother, Martha Margolis (nee Harris).

*Fixed 3/17*

77L-HQ-1240842-9

To: Boston From: Personnel  
Re: 77L-HQ-1240842, 03/17/1998

LEAD (s):

Set Lead 1:

BOSTON

AT BOSTON, MA

Review appropriate field office file 89B-BS-80615 for possible identification of captioned candidate. If investigation reveals a positive identification, immediately telephonically advise PSS [ ] and provide any pertinent details.

b6  
b7C

Set Lead 2:

PITTSBURGH

AT PITTSBURGH, PA

Review appropriate field office file 139B-PG-63149 for possible identification of captioned candidate's mother. If investigation reveals a positive identification, immediately telephonically advise PSS [ ] and provide any pertinent details.

CC: 1 - PSS [ ]

♦♦

## FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE	OFFICE OF ORIGIN	DATE	INVESTIGATIVE PERIOD
New Haven	Bureau	3/23/98	3/23/98
TITLE OF CASE		REPORT MADE BY	TYPED BY:
DAVID (NMN) MARGOLIS		IA <span style="border: 1px solid black; display: inline-block; width: 100px; height: 1.2em; vertical-align: middle;"></span>	ses
		CHARACTER OF CASE	
		BI-DOJ	

b6  
b7CREFERENCE:

Bureau EC to NH, et al, dated 03/12/1998.

ADMINISTRATIVE:

All persons interviewed were furnished the appropriate provisions of the Privacy Act. Express promises of confidentiality have not been granted.

New Haven Office indices were furnished in a previous communication.

*Downloaded from ACS  
5/24*

APPROVED	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW			
COPIES MADE:					
2- Bureau (77L-HQ-1240842)					
(Attn: PSS <span style="border: 1px solid black; display: inline-block; width: 80px; height: 1.2em; vertical-align: middle;"></span> SIGBIU, Ext. 2586)					
2- New Haven (77L-HQ-1240842)					
DISSEMINATION RECORD OF ATTACHED REPORT		Notations			
Agency	DOS				
Request Recd.		4/17/03			
Date Fwd.	5/22/98	6/3/03			
How Fwd.					
By	nm	TW			

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*2/PH*

UNITED STATES DEPARTMENT OF JUSTICE  
Federal Bureau of Investigation

Copy to:

Report of:

IA [REDACTED]

Office: New Haven

b6  
b7C

Date:

March 23, 1998

Case ID #:

77L-HQ-1240842

Title:

DAVID (NMN) MARGOLIS

Character:

BI-DOJ

Synopsis:

Bar membership verified for candidate.

DETAILS:

STATE BAR

On March 23, 1998, [REDACTED] Statewide Grievance Committee, 287 Main Street, East Hartford, Connecticut, advised that David Margolis was admitted to the Connecticut Bar on August 11, 1964, at New Haven, Connecticut. [REDACTED] advised that the candidate is an active member of the Bar and there have been no complaints or grievances filed against him. Both public and private records were checked. The Statewide Grievance Committee is the licensing authority for attorneys in the State of Connecticut.

b6  
b7C



(12/31/1995)

**FEDERAL BUREAU OF INVESTIGATION**

**Precedence:** DEADLINE 04/30/1998

**Date:** 03/23/1998

**To:** Personnel

**Attn:** SIGBIII Room 4371

PSS

b6  
b7C

**From:** Pittsburgh

Support Services/Unit 1

**Contact:**

Ext. 9300

**Approved By:**

**Drafted By:**

bcr

**Case ID #:** 77L-HQ-1240842 (Pending) -10

**Title:** DAVID (NMN) MARGOLIS  
BI-DOJ

**Synopsis:** Pittsburgh Indices checks and File Review conducted.

**Reference:** 77L-HQ-1240842 Serial 9

**Administrative:** All persons interviewed were furnished the appropriate provisions of the Privacy Act. Express promises of confidentiality have not been granted.

**Details:** A review of Pittsburgh General Indices and Universal Index search failed to locate any information on the applicant, or applicant's mother.

♦♦

*not disseminated  
Not*

*for 4371  
3/RH*

(12/31/1995)

76959

# FEDERAL BUREAU OF INVESTIGATION

**Precedence:** DEADLINE 04/30/1998

**Date:** 03/25/1998

**To:** Personnel

**Attn:** SIGBIU, Room 4371

PSS [redacted]

b6  
b7C

**From:** Pittsburgh

Support Services/Unit 1

**Contact:** [redacted] Ext. 9300

**Approved By:** [redacted]

**Drafted By:** [redacted] bcr

**Case ID #:** 77L-HQ-1240842 (Pending) - //

**Title:** DAVID (NMN) MARGOLIS  
BI-DOJ

**Synopsis:** Pittsburgh Indices checks and File Review conducted.

**Reference:** 77L-HQ-1240842 Serial 9

**Administrative:** All persons interviewed were furnished the appropriate provisions of the Privacy Act. Express promises of confidentiality have not been granted.

**Details:** A review of 139B-PG-63149 references a [redacted] however the information on [redacted] is not identical to the applicant's mother, Martha Margolis (nee [redacted]).

b6  
b7C

♦♦

*not disseminated  
me*

*4371  
3/RH*

(12/31/1995)

76957

# FEDERAL BUREAU OF INVESTIGATION

Precedence: DEADLINE 04/30/1998

Date: 04/08/1998

To: ☒ Personnel

Attn: PSS   
SIGBIU, Room 4371

b6  
b7c

From: Chicago

Squad CI-3

Contact: SSA  Ext. 3027

Approved By:  JKN/JS

Drafted By:  rmb

Case ID #: 77L-HQ-1240842-13

Title: DAVID (NMN) MARGOLIS  
BI - DOJ

Synopsis: See details below.

Reference: 77L-HQ-1240842 Serial 5

Administrative: Chicago's general indices are negative regarding the candidate and relative.

All investigation is completed in the Chicago Division.

Details: On February 18, 1998, a check of Chicago's general indices was made. This check revealed no information identifiable with the candidate David (NMN) Margolis, and child

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*Not disseminated  
for*

*3/24*

76.77

# FEDERAL BUREAU OF INVESTIGATION

**Precedence:** DEADLINE 04/30/1998

**Date:** 04/16/1998

**To:** New Haven

**Attn:** 77L Supervisor

Personnel

**Attn:** [REDACTED]

SIGBIU, Rm. 4371

BICS

**Attn:** Region II

b6  
b7c

**From:** BICS

Region I

**Contact:** PSS [REDACTED] 703-762-3771

**Approved By:** [REDACTED]

**Drafted By:** [REDACTED] mlb

**Case ID #:** 77L-HQ-1240842

**Title:** DAVID (NMN) MARGOLIS  
BACKGROUND INVESTIGATION  
DEPARTMENT OF JUSTICE

-14

**Synopsis:** Verify the candidate's membership with the State Bar and review grievance committee records. Interview the candidate's supervisor.

**Reference:** 77L-HQ-1240842 Serial 5

**Details:** The candidate advised that he is licensed to practice law only in the state of Connecticut.

Investigation at Washington, D.C., determined the candidate listed supervisor, [REDACTED] is currently residing and working in Philadelphia, Pennsylvania. His work telephone number is 215-963-5722. No other information was provided.

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b7c

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mm

3/2/11

To: New Haven                      From: BICS  
Re: 77L-HQ-1240842, 04/16/1998

LEAD (s):

Set Lead 1:

NEW HAVEN

AT NEW HAVEN, CT

Verify the candidate's membership with the Connecticut State Bar and review grievance records.

Set Lead 2:

BICS

AT REGION II, VA

Interview the candidate's supervisor,

b6  
b7C

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76957

## FEDERAL BUREAU OF INVESTIGATION

710957

REPORTING OFFICE	OFFICE OF ORIGIN	DATE	INVESTIGATIVE PERIOD
BICS - REGION 2	FBIHQ	4/28/98	04/23/98
TITLE OF CASE		REPORT MADE BY	TYPED BY:
DAVID (NMN) MARGOLIS		SI [REDACTED]	ksr
BUDED: 4/30/98		CHARACTER OF CASE	
		BACKGROUND INVESTIGATION - DEPARTMENT OF JUSTICE	

REFERENCE

Bureau EC, dated 04/16/98.

- C -

ADMINISTRATIVE

All persons interviewed were furnished the appropriate provisions of the Privacy Act. Express promises of confidentiality have not been granted.

APPROVED <i>[Signature]</i> SPECIAL AGENT IN CHARGE COPIES MADE: ② - Bureau (77L-HQ-1240842) 1 - BICS REGION II (77L-HQ-1240842) (PSS [REDACTED] 703-762-3782)	DO NOT WRITE IN SPACES BELOW				
<b>DISSEMINATION RECORD OF ATTACHED REPORT</b>					
Agency	Request Recd.	Date Fwd.	How Fwd.	By	Notations
DOS	5/22/98	4/17/03	6/3/03	tw	3/24

b6  
b7C

b7E

UNITED STATES DEPARTMENT OF JUSTICE  
Federal Bureau of Investigation

Copy to:

Report of: SI [REDACTED] Office: BICS - REGION II  
Date: April 28, 1998  
Case ID #: 77L-HQ-1240842  
Title: DAVID (NMN) MARGOLIS

b6  
b7C

Character: BACKGROUND INVESTIGATION - DEPARTMENT OF JUSTICE

Synopsis: Supervisor interviewed; favorable and recommends.

- C -

DETAILS:

The following investigation was conducted by  
Special Investigator (SI) [REDACTED]

-1-

EMPLOYMENT

U.S. DEPARTMENT OF JUSTICE  
950 Pennsylvania Avenue, Northwest  
Washington, D.C.  
July, 1990 - May, 1993

[redacted] Date of Birth [redacted]  
[redacted] MORGAN LEWIS AND BOCIUS, Suite 2000, One Logan  
Square, Philadelphia, Pennsylvania, was interviewed at his place  
of business in connection with a background investigation of  
DAVID MARGOLIS on April 23, 1998.

b6  
b7C

[redacted] advised he has known the candidate since 1980 as  
a colleague and as an Assistant Attorney General. He supervised  
MARGOLIS from June, 1988 to June, 1990 in the Criminal Division  
of Main Justice, Washington, D.C. [redacted] advised during the  
period of time he supervised MARGOLIS, he would have occasional  
contact with MARGOLIS during the work week.

[redacted] advised the candidate has the ability to work  
under pressure, he is amenable to supervision and he has  
leadership ability. [redacted] advised the candidate is a mature and  
emotionally stable individual. [redacted] stated the candidate is of  
good moral character and reputation, he is trustworthy, honest,  
reliable and a person of discretion. [redacted] stated the  
candidate's associates are of unquestionable character and  
MARGOLIS is highly regarded by his colleagues and associates.  
[redacted] added MARGOLIS is conscientious, hard working, and is a  
great asset to the department. [redacted] stated the candidate is  
loyal to the United States and he has never heard him express any  
sympathy towards any foreign government or ideology. [redacted] also  
advised the candidate is a financially responsible person who  
lives within his means. He stated the candidate has never  
indicated any bias or prejudice towards any individual or group.

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b7C

[redacted] stated to his knowledge that MARGOLIS has never  
been known to abuse alcohol, prescription drugs, or been involved  
in the use, purchase, manufacture, possession, sale, or any type  
of distribution of illegal drugs. [redacted] also stated he is not  
aware of any activity or conduct in the candidate's background  
which could be used in any way to subject the candidate to  
influence, pressure, coercion or compromise and/or would impact  
negatively on the candidate's character, reputation, judgement,  
discretion, trustworthiness, responsibility, or loyalty to the  
United States or which he believes would, should, or could have a  
bearing on the candidate's continued federal employment or access  
to classified information.



77L-HQ-1240842

-2-

advised he would recommend MARGOLIS for a position of trust and confidence with the United States Government.

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70908  
**FEDERAL BUREAU OF INVESTIGATION**

REPORTING OFFICE	OFFICE OF ORIGIN	DATE	INVESTIGATIVE PERIOD
BICS Region I	FBIHQ	4/28/98	04/15/98 - 04/21/98
TITLE OF CASE		REPORT MADE BY	TYPED BY:
DAVID MARGOLIS		SI [REDACTED]	km
BUDED: 04/30/98		CHARACTER OF CASE	
		BACKGROUND INVESTIGATION - DEPARTMENT OF JUSTICE	

b6  
b7CREFERENCE:

Bureau Electronic Communication (EC) dated 02/10/98 and BICS Region I EC dated 4/16/98.

- C -

ADMINISTRATIVE:

All persons interviewed were furnished the appropriate provisions of the Privacy Act. Express promises of confidentiality have not been granted.

Current immediate supervisor, Deputy Attorney General ERIC HOLDER, advised that due to large number of reference interviews he is requested to do, he can now only provide telephonic interviews for Special Investigators. Previous Supervisor, [REDACTED] is no longer in the Washington, D.C. area. He now resides in Philadelphia, Pennsylvania.

b6  
b7C

APPROVED	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW			
VTD/mb	4/28/98				
COPIES MADE:					
2 - Bureau (77L-HQ-1240842) 16					
Attn: [REDACTED]					
1 - BICS Region I (77L-HQ-1240842)					
Attn: [REDACTED] 703-762-3771					
DISSEMINATION RECORD OF ATTACHED REPORT		Notations			
Agency	DOJ				
Request Recd.	4/17/03				
Date Fwd.	5/21/03				
How Fwd.	tw				
By	tw				

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UNITED STATES DEPARTMENT OF JUSTICE  
Federal Bureau of Investigation

Copy to:

Report of:

SI [REDACTED]

Office: BICS Region I

b6  
b7C

Date:

April 28, 1998

Case ID #:

77L-HQ-1240842

Title:

DAVID MARGOLIS

Character:

BACKGROUND INVESTIGATION -  
DEPARTMENT OF JUSTICE

Synopsis:

Candidate interviewed, all information favorable.  
Employment verified. Supervisor and coworkers interviewed  
and recommend. Neighborhood verified. Listed references  
and developed references interviewed and recommend.

- C -

DETAILS:

The following investigation was conducted by Special  
Investigator (SI) [REDACTED]

- 1 -

## FEDERAL BUREAU OF INVESTIGATION

Date of transcription 04/22/98

DAVID MARGOLIS, the candidate, was interviewed at his office, United States Department of Justice (DOJ), 950 Pennsylvania Avenue, Washington, D.C. 20530. The candidate was advised that this interview concerned his background investigation with the DOJ. He was advised that the DOJ has requested the Federal Bureau of Investigation to conduct a five year scope background reinvestigation and that the purpose of the interview is to ensure that complete, current, and accurate information is available concerning the candidate to conduct the requested investigation. MARGOLIS was shown his Standard Form 86 (SF 86), in its entirety. Each items was reviewed individually with the candidate. He advised that his SF 86, dated January 13, 1998, is entirely correct and accurate.

MARGOLIS advised that his date of birth is December 19, 1939, in Hartford, Connecticut, and his Social Security Account Number is 047-30-3300. He has resided with his wife at [redacted] Virginia [redacted] since July, 1977. His home telephone number is [redacted]

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In connection with a review of his SF 86, MARGOLIS advised that in the past five years he has lived at no other residence other than the residence indicated on his SF 86.

MARGOLIS stated that he was married to [redacted] May 25, 1969, West Hartford, Connecticut. There are two children born of this marriage.

The candidate advised that his father and mother are deceased.

MARGOLIS stated that in the past five years, he has not abused alcoholic beverages. He considers himself to be a very moderate drinker, at the most, consuming a glass or two of wine at dinner, or an occasional beer once or twice a week.

The candidate stated that in the last five years, he has not used any controlled substance or abused prescription drugs or been involved in the illegal purchase, manufacture,

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Investigation on 04/15/98 at Washington, D.C.File # 77L-HQ-1240842Date dictated 04/20/98by SI [redacted] kmb6  
b7C

77L-HQ-1240842

Continuation of FD-302 of DAVID MARGOLIS, On 04/15/98, Page 2

trafficking, production, transfer, shipping, receiving or sale of any controlled substance. MARGOLIS reported that he has never used a controlled substance or abused prescription drugs while employed as a law enforcement officer, prosecutor, or courtroom official; while possessing a security clearance; or while in a position directly and immediately affecting the public safety.

The candidate reported that in the last five years, he, except for marital, family or grief counseling, has not consulted with a mental health professional (e.g. psychiatrist, psychologist, counselor) or another health care provider about a mental health problem.

MARGOLIS stated that to the best of his knowledge, as an individual, and/or employer, he is current on all Federal, State, and local tax obligations (this includes but is not limited to, income taxes, Medicare taxes, Social Security taxes, Employee Withholding taxes, "Nanny Taxes," and Unemployment taxes), and he has never made back payment of any such tax.

The candidate stated that in the past five years he has no personal or business credit issues including but not limited to repossessions, delinquent student loans, debts placed for collection or bankruptcy. In the past five years, he has not filed for bankruptcy, has not had any property repossessed, and has not had any credit accounts or loans become delinquent or placed for collection. In the past five years, he has not been a party to any type of civil suit.

The candidate stated that in the last five years, he has not been the subject of any professional complaints, or any nonjudicial disciplinary action, e.g. Bar Association grievances, Better Business complaints, student or military disciplinary proceedings, Equal Employment Opportunity complaints, et cetera. The candidate reported that he is only licensed before the Connecticut State Bar.

The candidate stated that in the last five years, he has not been involved in any business or investment circumstances that could involve, or that has involved, conflict of interest allegations.

77L-HQ-1240842

Continuation of FD-302 of DAVID MARGOLIS, On 04/15/98, Page 3

The candidate advised that he is unaware of any activity or conduct in his background, regardless of when that activity or conduct occurred, which could be used in any way to subject him to influence, pressure, coercion, or compromise, and/or impact adversely on his character, reputation, judgment, discretion, trustworthiness, responsibility, or loyalty to the United States, and/or which he believed would, could, or should affect his continued Federal employment and/or access to classified information.

EMPLOYMENT

United States Department of Justice  
Office of the Deputy Attorney General  
950 Pennsylvania Avenue  
Washington, D.C. 20530  
May, 1993 - Present

On April 21, 1998, ERIC HOLDER, JR., Deputy Attorney General, was interviewed telephonically at his request. HOLDER reported that he is the candidate's immediate supervisor but he has known the candidate for almost twenty years as a colleague and friend. HOLDER described the candidate as possibly the finest attorney and public servant he has ever worked with in his career at the Department of Justice (DOJ).

In the opinion of HOLDER, there is not a finer attorney to be found. He has all of the professional skills needed to do the job, but more than this he is dedicated to serving the public and his career accomplishment have amply demonstrated that he has been entirely successful. According to HOLDER, the candidate is confident, hard working, loyal, honest, trustworthy, and extremely reliable. The candidate is also one of the nicest human beings a person could hope to meet. He is a dedicated parent, husband, and member of the community, and citizen.

HOLDER reported that it would take pages to annotate all of the candidate's accomplishments and accolades. HOLDER added that you would not find anyone who will describe the candidate any differently. Even those who have opposed him in court cases have tremendous respect and admiration for the candidate.

HOLDER advised that he did not observe any conduct or activity that would reflect adversely on his character. HOLDER reported that all of the candidate's associates and friends appear to be people of reputable character and values. HOLDER reported that he is unaware of any derogatory information concerning the candidate's past conduct or reputation. HOLDER stated that there were no indications that the candidate was not loyal to the United States. HOLDER stated that the candidate routinely demonstrated that he was competent and had excellent work habits and professional abilities. The candidate did not display any prejudice or bias toward any group or individual. HOLDER had no knowledge of the candidate's specific financial

condition; however, there were no indications of any credit or debt problems.

In the past five years, HOLDER has not known the candidate to illegally use any controlled substances, for example, marijuana, cocaine, crack cocaine, hashish, narcotics (opium, morphine, codeine, heroine, et cetera) amphetamines, depressants (barbiturates, methaqualone, tranquilizers, et cetera), hallucinogenic (LSD, PCP, et cetera), or prescription drugs.

In the past five years, HOLDER has not known the candidate to be involved in the illegal purchase, manufacture, trafficking, production, transfer, shipping, receiving, or sale of any narcotic, depressant, stimulant, hallucinogen, or cannabis for the candidate's own intended profit or that of another.

HOLDER is not aware of the candidate ever using a controlled substance while employed as a law enforcement officer, prosecutor, or courtroom official, while possessing a security clearance; or while in a position directly and immediately affecting the public safety. In the past five years, HOLDER has not known the candidate to abuse alcohol or prescription drugs.

HOLDER is unaware of any activity or conduct in the candidate's background which could be used in any way to subject the candidate to influence, pressure, coercion, or compromise, and/or would impact negatively on the candidate's character, reputation, judgment, discretion, trustworthiness, responsibility, or loyalty, or which he believes could have a bearing on the candidate's suitability for Federal employment or access to classified information.

HOLDER highly recommended the candidate for a position of trust and responsibility with the United States Government.

On April 15, 1998, [ ] date of birth (DOB) [ ] was interviewed at his office, above address. [ ] reported that he has known the candidate as a coworker for four years.

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[ ] reported that he has frequent office social contact (e.g. official social functions, holiday parties, et cetera) and has also had outside social contact with the candidate. [ ] has been to the candidate's home and met his wife and children.



[ ] described the candidate as a very intelligent person who was an outstanding attorney. [ ] indicated that the candidate has been a mentor and a good friend. The candidate, according to [ ] is the epitome of what a true public servant should be. He is dedicated beyond all expectations and is the most honest and trustworthy person [ ] has ever met. He gets along extremely well with supervisors, subordinates, and coworkers, and has a very good sense of humor. He is serious and hard working on the job, but knows how not to take life too seriously. [ ] stated that the candidate is totally reliable.

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For leisure activities, the candidate enjoys reading, watching baseball, and spending time with his family. [ ] added that the candidate is also a model husband and father. The candidate is in good health after his recovery from a heart attack and emotionally stable. [ ] considers the candidate to be a well-rounded individual who is liked and respected by coworkers and friends.

[ ] advised that he did not observe any conduct or activity that would reflect adversely on his character. [ ] reported that all of the candidate's associates and friends appear to be people of reputable character and values. [ ] reported that he is unaware of any derogatory information concerning the candidate's past conduct or reputation. [ ] stated that there were no indications that the candidate was not loyal to the United States. [ ] stated that the candidate routinely demonstrated that he was competent and had excellent work habits and professional abilities. The candidate did not display any prejudice or bias toward any group or individual. [ ] had no knowledge of the candidate's specific financial condition; however, there were no indications of any credit or debt problems.

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In the four years, [ ] has known the candidate, he is not aware of the candidate illegally using any controlled substances, for example, marijuana, cocaine, crack cocaine, hashish, narcotics (opium, morphine, codeine, heroine, et cetera) amphetamines, depressants (barbiturates, methaqualone, tranquilizers, et cetera), hallucinogenic (LSD, PCP, et cetera), or prescription drugs.

In the past four years, [ ] has not known the candidate to be involved in the illegal purchase, manufacture, trafficking, production, transfer, shipping, receiving, or sale of any narcotic, depressant, stimulant, hallucinogen, or cannabis for the candidate's own intended profit or that of another.

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[ ] is not aware of the candidate ever using a controlled substance while employed as a law enforcement officer, prosecutor, or courtroom official, while possessing a security clearance; or while in a position directly and immediately affecting the public safety. During the time [ ] has known the candidate, he was not aware of the candidate abusing alcohol or prescription drugs.

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[ ] is unaware of any activity or conduct in the candidate's background which could be used in any way to subject the candidate to influence, pressure, coercion, or compromise, and/or would impact negatively on the candidate's character, reputation, judgment, discretion, trustworthiness, responsibility, or loyalty, or which he believes could have a bearing on the candidate's suitability for Federal employment or access to classified information.

[ ] highly recommended the candidate for a position of trust and responsibility with the United States Government.

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On April 16, 1998, [ ] DOB [ ] Attorney, DOJ, above address, was interviewed. [ ] has known the candidate as a coworker since 1993.

[ ] is both a professional and social friend of the candidate, has been to his home and knows the candidate's family. [ ] described the candidate as an individual who is well liked and respected for his outstanding, professional abilities, and his very impressive interpersonal skills. The candidate is easy to work with, has a very outgoing and pleasant personality and is a very sincere, honest, and trustworthy person.

According to [ ] he is always willing to help others, is a dedicated attorney as well as an asset to the office. [ ] commented that the candidate is probably one of the most highly respected employees in the entire Justice Department. He is well known for his dedication to duty. [ ] advised that the candidate teaches "ethics" classes to other DOJ attorneys. The candidate is a very dedicated father and husband who considers his family to be the center of his life. However, any time not spent with his family is usually dedicated to the DOJ. He is in his office almost every Saturday finishing up his week's work and preparing for the next week. [ ] stated that the candidate is in good physical health and actually in better shape after recovering from a heart attack several years ago. [ ] described the candidate as the ideal employee and stated that if the Government had more employees like the candidate, "we would virtually have no problems."

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[ ] advised that he did not observe any conduct or activity that would reflect adversely on his character. [ ] reported that all of the candidate's associates and friends appear to be people of reputable character and values. [ ] reported that he is unaware of any derogatory information concerning the candidate's past conduct or reputation. [ ] stated that there were no indications that the candidate was not loyal to the United States. [ ] stated that the candidate routinely demonstrated that he was competent and had excellent work habits and professional abilities. The candidate did not display any prejudice or bias toward any group or individual. [ ] had no knowledge of the candidate's specific financial condition; however, there were no indications of any credit or debt problems.

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In the past five years, [ ] has not known the candidate to illegally use any controlled substances, for example, marijuana, cocaine, crack cocaine, hashish, narcotics (opium, morphine, codeine, heroine, et cetera) amphetamines, depressants (barbiturates, methaqualone, tranquilizers, et cetera), hallucinogenic (LSD, PCP, et cetera), or prescription drugs.

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In the past five years, [ ] has not known the candidate to be involved in the illegal purchase, manufacture, trafficking, production, transfer, shipping, receiving, or sale of any narcotic, depressant, stimulant, hallucinogen, or cannabis for the candidate's own intended profit or that of another.

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[ ] is not aware of the candidate ever using a controlled substance while employed as a law enforcement officer, prosecutor, or courtroom official, while possessing a security clearance; or while in a position directly and immediately affecting the public safety. In the past five years, [ ] has not known the candidate to abuse alcohol or prescription drugs.

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[ ] is unaware of any activity or conduct in the candidate's background which could be used in any way to subject the candidate to influence, pressure, coercion, or compromise, and/or would impact negatively on the candidate's character, reputation, judgment, discretion, trustworthiness, responsibility, or loyalty, or which he believes could have a bearing on the candidate's suitability for Federal employment or access to classified information.

[ ] highly recommended the candidate for a position of trust and responsibility with the United States Government.

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On April 17, 1998, [REDACTED] DOB [REDACTED] Assistant United States Attorney, Chief Homicide Division, was interviewed at his office, United States Attorney's Office, 555 4th Street, NW, Washington, D.C. 20005. [REDACTED] has known the candidate for approximately ten years. [REDACTED] was the candidate's immediate supervisor from 1990 to 1993. [REDACTED] is both a professional and social friend of the candidate, has been to his home, and knows the candidate's family.

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[REDACTED] described the candidate as one of the finest attorneys in the Government. He is extremely intelligent, an excellent leader, and team player, and the type of person others rely on for assistance.

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[REDACTED] commented that the candidate has received calls from the Federal Bureau of Investigation Director and Attorney General asking for his advice on various issues. He is highly respected in the Justice Department and has a reputation for being a problem solver. The candidate possesses exceptionally good judgment and common sense. His hallmark is his honesty and integrity. The candidate is easy to work with, has a very outgoing and pleasant personality and is a very sincere, honest, and trustworthy person.

According to [REDACTED] he has the ability to get along with anyone and [REDACTED] has never met anyone who had anything derogatory or negative to say about the candidate. He is well known for his dedication to duty. The candidate is a very dedicated father and husband.

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[REDACTED] stated that the candidate is in good physical health and actually in better shape after recovering from a heart attack several years ago. He is emotionally stable.

[REDACTED] advised that he did not observe any conduct or activity that would reflect adversely on his character. [REDACTED] reported that all of the candidate's associates and friends appear to be people of reputable character and values. [REDACTED] reported that he is unaware of any derogatory information concerning the candidate's past conduct or reputation. [REDACTED] stated that there were no indications that the candidate was not loyal to the United States. [REDACTED] stated that the candidate routinely demonstrated that he was competent and had excellent work habits and professional abilities. The candidate did not display any prejudice or bias toward any group or individual. [REDACTED] had no knowledge of the candidate's specific financial

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condition; however, there were no indications of any credit or debt problems.

In the past five years, [ ] has not known the candidate to illegally use any controlled substances, for example, marijuana, cocaine, crack cocaine, hashish, narcotics (opium, morphine, codeine, heroine, et cetera) amphetamines, depressants (barbiturates, methaqualone, tranquilizers, et cetera), hallucinogenics (LSD, PCP, et cetera), or prescription drugs.

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In the past five years, [ ] has not known the candidate to be involved in the illegal purchase, manufacture, trafficking, production, transfer, shipping, receiving, or sale of any narcotic, depressant, stimulant, hallucinogen, or cannabis for the candidate's own intended profit or that of another.

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[ ] is not aware of the candidate ever using a controlled substance while employed as a law enforcement officer, prosecutor, or courtroom official, while possessing a security clearance; or while in a position directly and immediately affecting the public safety. In the past five years, [ ] has not known the candidate to abuse alcohol or prescription drugs.

[ ] is unaware of any activity or conduct in the candidate's background which could be used in any way to subject the candidate to influence, pressure, coercion, or compromise, and/or would impact negatively on the candidate's character, reputation, judgment, discretion, trustworthiness, responsibility, or loyalty, or which he believes could have a bearing on the candidate's suitability for Federal employment or access to classified information.

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[ ] highly recommended the candidate for a position of trust and responsibility with the United States Government.

RESIDENCE

[redacted]

July, 1977 - Present

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On April 13, 1998, [redacted] date of birth (DOB) [redacted] retired Assistant United States Attorney, was interviewed at his residence, [redacted] Virginia [redacted] has known the candidate as a friend and former coworker for twenty seven years.

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[redacted] and the candidate were in the same car pool for twelve years. [redacted] has been to the candidate's home many times for dinner and social functions; he has met the candidate's wife and children. [redacted] reported that as long as he has known the candidate he has had an excellent reputation in his neighborhood and has not been the cause of any problems or disturbances.

[redacted] described the candidate as an outstanding person and not the type that would ever cause any problems in the neighborhood. He is a good member of the community. The candidate is an avid reader, enjoys working with computers, and going to dinner with friends.

The candidate is very dedicated to his wife and children. [redacted] considers the candidate an excellent attorney. [redacted] stated that the candidate is professional at work but fun to be around in a social environment. He is dependable and reliable as a friend and the type of person you can trust to keep his word. The candidate had a heart attack several years but has recovered completely. He quit smoking and is probably in better shape than he was before the heart attack.

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[redacted] advised that he did not observe any conduct or activity that would reflect adversely on his character. [redacted] reported that all of the candidate's associates and friends appear to be people of reputable character and values. [redacted] reported that he is unaware of any derogatory information concerning the candidate's past conduct or reputation. [redacted] stated that there were no indications that the candidate was not loyal to the United States. [redacted] stated that the candidate routinely demonstrated that he was competent and had excellent work habits and professional abilities. The candidate did not display any prejudice or bias toward any group or individual. [redacted] had no knowledge of the candidate's specific financial

condition; however, there were no indications of any credit or debt problems.

In the past five years, [ ] has not known the candidate to illegally use any controlled substances, for example, marijuana, cocaine, crack cocaine, hashish, narcotics (opium, morphine, codeine, heroine, et cetera) amphetamines, depressants (barbiturates, methaqualone, tranquilizers, et cetera), hallucinogenics (LSD, PCP, et cetera), or prescription drugs.

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In the past five years, [ ] has not known the candidate to be involved in the illegal purchase, manufacture, trafficking, production, transfer, shipping, receiving, or sale of any narcotic, depressant, stimulant, hallucinogen, or cannabis for the candidate's own intended profit or that of another.

[ ] is not aware of the candidate ever using a controlled substance while employed as a law enforcement officer, prosecutor, or courtroom official, while possessing a security clearance; or while in a position directly and immediately affecting the public safety. In the past five years, [ ] has not known the candidate to abuse alcohol or prescription drugs.

[ ] is unaware of any activity or conduct in the candidate's background which could be used in any way to subject the candidate to influence, pressure, coercion, or compromise, and/or would impact negatively on the candidate's character, reputation, judgment, discretion, trustworthiness, responsibility, or loyalty, or which he believes could have a bearing on the candidate's suitability for Federal employment or access to classified information.

[ ] highly recommended the candidate for a position of trust and responsibility with the United States Government.

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On April 13, 1998, [ ] DOB [ ] was interviewed at his residence [ ] Virginia [ ] has known the candidate as a neighbor for seven years.

[ ] has been to the candidate's home many times for dinner and social functions; he has met the candidate's wife and children. [ ] reported that as long as he has known the candidate he has had an excellent reputation in his neighborhood and has not been the cause of any problems or disturbances.

[ ] described the candidate as an outstanding person and not the type that would ever cause any problems in the neighborhood. He is a good member of the community. The candidate enjoys working with computers, and going to dinner with friends, and is an avid Yankees fan. The candidate is very dedicated to his wife and children. [ ] considers the candidate an excellent neighbor.

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[ ] advised that in the past five years, he has not observe any conduct or activity that would reflect adversely on his character. [ ] reported that all of the candidate's associates and friends appear to be people of reputable character and values. [ ] reported that he is unaware of any derogatory information concerning the candidate's past conduct or reputation. [ ] stated that there were no indications that the candidate was not loyal to the United States. [ ] stated that the candidate routinely demonstrated that he was competent and had excellent work habits and professional abilities. The candidate did not display any prejudice or bias toward any group or individual. [ ] had no knowledge of the candidate's specific financial condition; however, there were no indications of any credit or debt problems.

In the past five years, [ ] has not known the candidate to illegally use any controlled substances, for example, marijuana, cocaine, crack cocaine, hashish, narcotics (opium, morphine, codeine, heroine, et cetera) amphetamines, depressants (barbiturates, methaqualone, tranquilizers, et cetera), hallucinogenics (LSD, PCP, et cetera), or prescription drugs.

In the past five years, [ ] has not known the candidate to be involved in the illegal purchase, manufacture, trafficking, production, transfer, shipping, receiving, or sale of any narcotic, depressant, stimulant, hallucinogen, or cannabis for the candidate's own intended profit or that of another.

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[ ] is not aware of the candidate ever using a controlled substance while employed as a law enforcement officer, prosecutor, or courtroom official, while possessing a security clearance; or while in a position directly and immediately affecting the public safety. In the past five years, [ ] has not known the candidate to abuse alcohol or prescription drugs.

[ ] is unaware of any activity or conduct in the candidate's background which could be used in any way to subject the candidate to influence, pressure, coercion, or compromise, and/or would impact negatively on the candidate's character,



reputation, judgment, discretion, trustworthiness, responsibility, or loyalty, or which he believes could have a bearing on the candidate's suitability for Federal employment or access to classified information.

[redacted] highly recommended the candidate for a position of trust and responsibility with the United States Government.

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On April 13, 1998, [redacted] DOB [redacted] was interviewed at her residence, [redacted] Virginia [redacted] has known the candidate as a neighbor since 1982.

She is well acquainted with both the candidate and his wife and watched the children grow up. [redacted] has been to the candidate's home many times for dinner and social functions and vice versa. [redacted] reported that as long as she has known the candidate he has had an excellent reputation in his neighborhood and has not been the cause of any problems or disturbances.

[redacted] described the candidate as an outstanding person and not the type that would ever cause any problems in the neighborhood. He is a good member of the community. The candidate has a very outgoing personality, is fun to be around, and is one of the most reliable friends anyone could hope to have. [redacted] considers the candidate an excellent neighbor.

[redacted] advised that during the time she has known the candidate, she has not observe any conduct or activity that would reflect adversely on his character. [redacted] reported that all of the candidate's associates and friends appear to be people of reputable character and values. [redacted] reported that she is unaware of any derogatory information concerning the candidate's past conduct or reputation. [redacted] stated that there were no indications that the candidate was not loyal to the United States. [redacted] stated that the candidate routinely demonstrated that he was competent and had excellent work habits and professional abilities. The candidate did not display any prejudice or bias toward any group or individual. [redacted] had no knowledge of the candidate's specific financial condition; however, there were no indications of any credit or debt problems.

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In the past five years, [redacted] has not known the candidate to illegally use any controlled substances, for example, marijuana, cocaine, crack cocaine, hashish, narcotics (opium, morphine, codeine, heroine, et cetera) amphetamines, depressants (barbiturates, methaqualone, tranquilizers, et

cetera), hallucinogenics (LSD, PCP, et cetera), or prescription drugs.

In the past five years, [ ] has not known the candidate to be involved in the illegal purchase, manufacture, trafficking, production, transfer, shipping, receiving, or sale of any narcotic, depressant, stimulant, hallucinogen, or cannabis for the candidate's own intended profit or that of another.

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[ ] is not aware of the candidate ever using a controlled substance while employed as a law enforcement officer, prosecutor, or courtroom official, while possessing a security clearance; or while in a position directly and immediately affecting the public safety. In the past five years, [ ] has not known the candidate to abuse alcohol or prescription drugs.

[ ] is unaware of any activity or conduct in the candidate's background which could be used in any way to subject the candidate to influence, pressure, coercion, or compromise, and/or would impact negatively on the candidate's character, reputation, judgment, discretion, trustworthiness, responsibility, or loyalty, or which she believes could have a bearing on the candidate's suitability for Federal employment or access to classified information.

[ ] highly recommended the candidate for a position of trust and responsibility with the United States Government.

LISTED REFERENCES

On March 15, 1998, [redacted] date of birth (DOB) [redacted] was interviewed at his residence, [redacted] Virginia 22031. [redacted] advised that he has known the candidate for twenty years.

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They both worked together at the Justice Department and are social friends. [redacted] now sees the candidate several times a month. [redacted] has met the candidate's family and been in their home many times. [redacted] described the candidate as being one of the nicest, most sincere, trustworthy, reliable, and responsible people a person could meet.

[redacted] advised that the candidate takes his personal and professional responsibilities very seriously. The candidate is a well-rounded person who likes music, reading, art, movies, plays, and going to dinner with friends for leisure activities. He is in good physical and emotional health. He is an excellent attorney and is [redacted] opinion one of the finest attorneys to ever work for the Department of Justice.

[redacted] advised that he did not observe any conduct or activity that would reflect adversely on his character. [redacted] reported that all of the candidate's associates and friends appear to be people of reputable character and values. [redacted] reported that he is unaware of any derogatory information concerning the candidate's past conduct or reputation. [redacted] stated that there were no indications that the candidate was not loyal to the United States. [redacted] stated that the candidate routinely demonstrated that he was competent and had excellent work habits and professional abilities. The candidate did not display any prejudice or bias toward any group or individual. [redacted] had no knowledge of the candidate's specific financial condition; however, there were no indications of any credit or debt problems.

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In the past five years, [redacted] has not known the candidate to illegally use any controlled substances, for example, marijuana, cocaine, crack cocaine, hashish, narcotics (opium, morphine, codeine, heroine, et cetera) amphetamines, depressants (barbiturates, methaqualone, tranquilizers, et cetera), hallucinogenics (LSD, PCP, et cetera), or prescription drugs.

In the past five years, [redacted] has not known the candidate to be involved in the illegal purchase, manufacture,

trafficking, production, transfer, shipping, receiving, or sale of any narcotic, depressant, stimulant, hallucinogen, or cannabis for the candidate's own intended profit or that of another.

[ ] is not aware of the candidate ever using a controlled substance while employed as a law enforcement officer, prosecutor, or courtroom official, while possessing a security clearance; or while in a position directly and immediately affecting the public safety. In the past five years, [ ] has not known the candidate to abuse alcohol or prescription drugs.

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[ ] is unaware of any activity or conduct in the candidate's background which could be used in any way to subject the candidate to influence, pressure, coercion, or compromise, and/or would impact negatively on the candidate's character, reputation, judgment, discretion, trustworthiness, responsibility, or loyalty, or which he believes could have a bearing on the candidate's suitability for Federal employment or access to classified information.

[ ] highly recommended the candidate for a position of trust and responsibility with the United States Government.

On March 16, 1998, [ ] Assistant United States Attorney, was interviewed at his office, 1400 New York Avenue, NW, Washington, D.C. 20005. [ ] advised that he has known the candidate for twenty nine years.

[ ] has had both professional and social contact with the candidate. [ ] reported that he worked on numerous cases with the candidate and had the opportunity to observe both his professional and interpersonal skills.

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[ ] described the candidate as a very easy going, laid back individual, who has an excellent sense of humor and is the type of person who gets along with everyone. [ ] stated that the candidate is trustworthy, reliable, and responsible. The candidate enjoys reading, going to dinner with friends, spending time with his wife and children, and he is an avid ELVIS PRESLEY fan. Although he had a heart attack several years ago, he is now in good physical and emotional health. He is an excellent attorney and is well liked and respected by coworkers and supervisors.

[ ] advised that he did not observe any conduct or activity that would reflect adversely on his character. [ ] reported that all of the candidate's associates and friends appear to be people of reputable character and values. [ ]

reported that he is unaware of any derogatory information concerning the candidate's past conduct or reputation. [ ] stated that there were no indications that the candidate was not loyal to the United States. [ ] stated that the candidate routinely demonstrated that he was competent and had excellent work habits and professional abilities. The candidate did not display any prejudice or bias toward any group or individual. [ ] had no knowledge of the candidate's specific financial condition; however, there were no indications of any credit or debt problems.

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In the past five years, [ ] has not known the candidate to illegally use any controlled substances, for example, marijuana, cocaine, crack cocaine, hashish, narcotics (opium, morphine, codeine, heroine, et cetera) amphetamines, depressants (barbiturates, methaqualone, tranquilizers, et cetera), hallucinogenics (LSD, PCP, et cetera), or prescription drugs.

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[ ] is not aware of the candidate ever using a controlled substance while employed as a law enforcement officer, prosecutor, or courtroom official, while possessing a security clearance; or while in a position directly and immediately affecting the public safety. In the past five years, [ ] has not known the candidate to abuse alcohol or prescription drugs.

[ ] is unaware of any activity or conduct in the candidate's background which could be used in any way to subject the candidate to influence, pressure, coercion, or compromise, and/or would impact negatively on the candidate's character, reputation, judgment, discretion, trustworthiness, responsibility, or loyalty, or which he believes could have a bearing on the candidate's suitability for Federal employment or access to classified information.

[ ] highly recommended the candidate for a position of trust and responsibility with the United States Government.

DEVELOPED REFERENCES

On March 16, 1998, [redacted] date of birth (DOB) [redacted] Operations, FANNIE MAE FOUNDATION, was interviewed at his office, 4000 Wisconsin Avenue, NW, North Tower, Suite 1, Washington, D.C. 20016. [redacted] advised that he has known the candidate for twenty years.

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[redacted] has had both professional and social contact with the candidate. [redacted] advised that the candidate is the model employee. He has had a very impressive career and is well known and highly respected in the legal professional.

The candidate was largely responsible for the break up of the Mafia. He thoroughly enjoys his work and can usually be found in the office every weekend. [redacted] commented that although he does spend a lot of time at work, the candidate is still a well-rounded person who knows how to enjoy life. He is a very dedicated father and husband. The candidate enjoys reading, watching sports, and spending time with his family.

[redacted] reported that the candidate has worked on numerous cases with the candidate and had the opportunity to observe both his professional and interpersonal skills.

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[redacted] stated that the candidate is an excellent attorney, an upright and loyal citizen, and a genuinely nice person. He has a very good sense of humor and is the type of person who gets along with everyone. Since his heart attack two years ago, the candidate is very careful about his health and takes good care of himself in terms of diet and exercise. He is an excellent attorney and is well liked and respected by coworkers and supervisors.

[redacted] advised that he did not observe any conduct or activity that would reflect adversely on his character. [redacted] reported that all of the candidate's associates and friends appear to be people of reputable character and values. [redacted] reported that he is unaware of any derogatory information concerning the candidate's past conduct or reputation. [redacted] stated that there were no indications that the candidate was not loyal to the United States. [redacted] stated that the candidate routinely demonstrated that he was competent and had excellent work habits and professional abilities. The candidate did not display any prejudice or bias toward any group or individual. [redacted] had no knowledge of the candidate's specific financial

condition; however, there were no indications of any credit or debt problems.

In the past five years, [ ] has not known the candidate to illegally use any controlled substances, for example, marijuana, cocaine, crack cocaine, hashish, narcotics (opium, morphine, codeine, heroine, et cetera) amphetamines, depressants (barbiturates, methaqualone, tranquilizers, et cetera), hallucinogenic (LSD, PCP, et cetera), or prescription drugs.

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In the past five years, [ ] has not known the candidate to be involved in the illegal purchase, manufacture, trafficking, production, transfer, shipping, receiving, or sale of any narcotic, depressant, stimulant, hallucinogen, or cannabis for the candidate's own intended profit or that of another.

[ ] is not aware of the candidate ever using a controlled substance while employed as a law enforcement officer, prosecutor, or courtroom official, while possessing a security clearance; or while in a position directly and immediately affecting the public safety. In the past five years, [ ] has not known the candidate to abuse alcohol or prescription drugs.

[ ] is unaware of any activity or conduct in the candidate's background which could be used in any way to subject the candidate to influence, pressure, coercion, or compromise, and/or would impact negatively on the candidate's character, reputation, judgment, discretion, trustworthiness, responsibility, or loyalty, or which he believes could have a bearing on the candidate's suitability for Federal employment or access to classified information.

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[ ] highly recommended the candidate for a position of trust and responsibility with the United States Government.

On March 16, 1998, [ ] DOB [ ] [ ] was interviewed at his office, ARNOLD AND PORTER, 555 12th Street, NW, Washington, D.C. 20530. [ ] advised that he has known the candidate for twenty years.

They worked together at the Department of Justice and saw each other on a daily basis. [ ] now sees or talks to the candidate at least once a week. [ ] has had both professional and social contact with the candidate. [ ] advised that the candidate is one of the most well known and highly respected

attorneys in the Justice Department. He is also highly respected in the outside legal community. He is thorough, precise, logical, and demonstrates common sense and good judgment in all of his work. [ ] advised that the candidate is a well-rounded person. The candidate spends time with his family and enjoys watching baseball games. He is a very dedicated father and husband. He has a very good sense of humor and is the type of person who gets along with everyone.

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[ ] advised that he did not observe any conduct or activity that would reflect adversely on his character. [ ] reported that all of the candidate's associates and friends appear to be people of reputable character and values. [ ] reported that he is unaware of any derogatory information concerning the candidate's past conduct or reputation. [ ] stated that there were no indications that the candidate was not loyal to the United States. [ ] stated that the candidate routinely demonstrated that he was competent and had excellent work habits and professional abilities. The candidate did not display any prejudice or bias toward any group or individual. [ ] had no knowledge of the candidate's specific financial condition; however, there were no indications of any credit or debt problems.

In the past five years, [ ] has not known the candidate to illegally use any controlled substances, for example, marijuana, cocaine, crack cocaine, hashish, narcotics (opium, morphine, codeine, heroine, et cetera) amphetamines, depressants (barbiturates, methaqualone, tranquilizers, et cetera), hallucinogenic (LSD, PCP, et cetera), or prescription drugs.

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In the past five years, [ ] has not known the candidate to be involved in the illegal purchase, manufacture, trafficking, production, transfer, shipping, receiving, or sale of any narcotic, depressant, stimulant, hallucinogen, or cannabis for the candidate's own intended profit or that of another.

[ ] is not aware of the candidate ever using a controlled substance while employed as a law enforcement officer, prosecutor, or courtroom official, while possessing a security clearance; or while in a position directly and immediately affecting the public safety. In the past five years, [ ] has not known the candidate to abuse alcohol or prescription drugs.

[ ] is unaware of any activity or conduct in the candidate's background which could be used in any way to subject the candidate to influence, pressure, coercion, or compromise,



77L-HQ-1240842

and/or would impact negatively on the candidate's character, reputation, judgment, discretion, trustworthiness, responsibility, or loyalty, or which he believes could have a bearing on the candidate's suitability for Federal employment or access to classified information.

highly recommended the candidate for a position of trust and responsibility with the United States Government.

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U.S. Department of Justice

Federal Bureau of Investigation

Washington, D. C. 20535

May 21, 1998

DAVID MARGOLIS  
BACKGROUND INVESTIGATION  
DEPARTMENT OF JUSTICE

Agency Checks

On January 26, 1998, records of the Office of Personnel Management were checked and found to contain no pertinent information concerning candidate.

On January 22, 1998, records of the Defense Clearance and Investigations Index were checked and found to contain no record concerning candidate.

Searches of the various indices of the FBI, including but not limited to the central index maintained at FBI Headquarters, the index of the Criminal Justice Information Services Division (Mr. Margolis by fingerprints and other known identifying data; Mr. Margolis' close relatives by name and other known identifying data only), the indices of appropriate field offices and other appropriate computer data bases, did not identify any documents that contain pertinent information identifiable with him or his close relatives,

Candidate was the subject\* of a prior applicant-type FBI background investigation completed in February, 1993, in connection with his employment with the Department of Justice.

It should be noted that results of the above indices searches reveal only data entered into those indices as of the date each was searched. However, it should also be noted that some delays may occur as to the entry of such data.

Dep. Dir. \_\_\_\_\_  
Chief of \_\_\_\_\_  
Supt. \_\_\_\_\_  
Dir. of Gen. \_\_\_\_\_  
Adm. Serv. \_\_\_\_\_  
Ident. Div. \_\_\_\_\_  
Crim. Inv. \_\_\_\_\_  
Lab. \_\_\_\_\_  
Finance \_\_\_\_\_  
Info. Res. \_\_\_\_\_  
Insp. \_\_\_\_\_  
Lab. \_\_\_\_\_  
National Sec. \_\_\_\_\_  
Personnel \_\_\_\_\_  
Training \_\_\_\_\_  
Off. of EEOA \_\_\_\_\_  
Off. of Pub. \_\_\_\_\_  
& Cong. \_\_\_\_\_  
Director's \_\_\_\_\_

CC [redacted]  
613103 RR 4/17/03  
TW

Delivered by Courier to DOJ on 5/22/98

77L-HQ-1240842-17

David Margolis

Credit Check

A search of computerized credit records of Trans Union and Equifax Credit Information Services, conducted at FBI Headquarters on February 17, 1998, revealed no pertinent information concerning candidate.

REQUEST FOR CREDIT CHECK

DATE 01/22/98

TO: CONTRACTOR  
FROM: SPECIAL INQUIRY & GENERAL BACKGROUND INVESTIGATIONS (SIGBIU)

ATTENTION:  ROOM 4383 EXT.

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RESPONSE CRITERIA

TYPE OF REQUEST

5 WORK DAYS

SUITABILITY

SUBJECT'S NAME: MARGOLIS, DAVID

MAIDEN:

DATE OF BIRTH (DOB): 12/18/39 PLACE OF BIRTH (POB): HARTFORD, CT

SEX: M SOCIAL SECURITY ACCOUNT NUMBER (SSAN): 047-30-3300

SPOUSE'S NAME:   
AKA:

MAIDEN:

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SUBJECT'S CURRENT  
ADDRESS:

SUBJECT'S  
ADDRESS(ES)  
FOR LAST  
SEVEN (7) YEARS:

ENCLOSURE - RELEASE FORM TO BE ATTACHED

cc to DOS

3/24

Memorandum

76957  
PRIVACY ACT PROTECTED INFORMATION



Subject

Request for Investigation/Reinvestigation

Date

January 15, 1998

To

From

Chief  
Special Inquiry and Background  
Investigation Unit  
Federal Bureau of Investigation

Department Security Officer  
Department of Justice

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The purpose of this memorandum is to request an investigation as indicated indicated below:

INVESTIGATION COST CODE: \_\_\_\_\_

NAME: David Margolis

SSAN: 047-30-3300

DATE OF BIRTH: 12-18-39

PLACE OF BIRTH: Hartford, CT

SELECT ONE: EMPLOYEE: XX APPLICANT: \_\_\_\_\_

POSITION: Associate Deputy Attorney General

ORGANIZATION: Office of the Deputy Attorney General

TYPE OF POSITION: SENSITIVE: XX NON-SENSITIVE: \_\_\_\_\_

SCOPE OF INVESTIGATION REQUIRED:

3 Year: \_\_\_\_\_ 5 YEAR: X 10 YEAR: \_\_\_\_\_ 10 YEAR: \_\_\_\_\_  
(PRIR) (SSBI-PR) (SSBI/INITIAL) (SSBI/REINVESTIGATION)

X Please institute a name check of the above named subject whose security forms are attached.

\_\_\_\_ Please discontinue the character investigation regarding the above named subject and we request that all security forms be returned to this office.

Upon completion of the investigation, request that copies of the investigation reports be forwarded to this office. The required forms for the investigation are attached and should you have any questions regarding this request, please contact \_\_\_\_\_ Security Specialist, Personnel Security Group, at (202) 514-2325.

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COMMENTS (if any):

cc: 1 - Security File

77J-97035  
77L  
PRIVACY ACT PROTECTED INFORMATION

Fingerprints attached

\*\*\*\*\* EQUIFAX ACROFILE PLUS CREDIT REPORT W/ON-LINE DIRECTORY (DF) \*\*\*\*\*  
\* BEARAK REPORTS / FBI CONTRACTING UNIT \*  
\*  
\*\*\*\*\* Dept: SPIN / Queued by: SUPERVISOR \*\*\*\*\*

DATE:02-17-1998 TIME:13:23:00 SUBJECT ID:Look

NM-MARGOLIS,DAVID,.,.,

CA

ID-SSS-047-30-3300,BDS-12/18/39.

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\* 007 EQUIFAX CREDIT INFORMATION SERVICES, P O BOX 740241,  
1150 LAKE HEARN DRIVE STE 460,ATLANTA,GA,30374-0241,800/685-1111

\*MARGOLIS,DAVID SINCE 10/22/77 FAD 04/01/97

FN-320

07,HOPE,ST,STAMFORD,CT,DAT RPTD 09/86

100 07,HOPE,,STANFORD,CT,DAT RPTD 10/84

BDS-12/18/36,SSS-047-30-3300

01 ES-,US DEPT OF JUSTICE

\*SUM-12/69-01/98,PR/OI-NO,COLL-NO,FB-NO, ACCTS:13,HC\$0-58500, 12-ONES, 1-OTHER.  
FIRM / IDENT CODE CS RPTD LIMIT HICR BAL \$ DLA MR (30-60-90+)MAX/DEL  
EOCA/ACCOUNT NUMBER OPND P/DUE TERM 24 MONTH HISTORY

11/97 99

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12/97 08

01/98 99

08/97 71

12/97 99

04/97 76

10/97 99

&

END OF REPORT EQUIFAX AND AFFILIATES - 02/17/98

\*\*\* END OF REPORT ID: 02-17-1998/13:23:00 (ORN: 670) [B-0/F-0/P-0/O-0] \*\*\*

\*\*\*\*\* TRANS UNION CONSUMER CREDIT REPORT WITH LOOK \*\*\*\*\*  
\* BEARAK REPORTS / FBI CONTRACTING UNIT \*  
\*  
\*\*\*\*\* Dept: SPIN / Queued by: SUPERVISOR \*\*\*\*\*

DATE:02-13-1998 TIME:12:20:01 SUBJECT ID:Look

ARPT0001000Look 14BTZ Y I09N AN00 L

TRANS UNION PEER REPORT FOR:  
US DEPT OF JUSTICE  
Z BT0004252 BUREAU: 17 NV

USER REF: LOOK  
DATE REPORT PRINTED: 02/13/1998  
CENTRAL STANDARD TIME: 11:22  
IN OUR FILES SINCE: 01/1984

SUBJECT NAME:  
MARGOLIS, DAVID L.

SOCIAL SECURITY NUMBER: 047-30-3300  
PHONE: 476-4188

CURRENT ADDRESS REPORTED 08/1993.

CREDIT INFORMATION

SPECIAL MESSAGES:

\*\*\*TRANS-ALERT: CURRENT INPUT ZIP CODE INVALID\*\*\*

THE FOLLOWING CREDIT SUMMARY REPRESENTS THE SUBJECT'S TOTAL FILE HISTORY

PUBLIC RECORDS:	0	CURRENT NEGATIVE ACCTS:	0	REVOLVING ACCTS:	12
COLLECTIONS:	0	PREVIOUS NEGATIVE ACCTS:	0	INSTALLMENT ACCTS:	4
TRADE ACCTS:	21	PREVIOUS TIMES NEGATIVE:	0	MORTGAGE ACCTS:	3
CREDIT INQUIRIES:	0	EMPLOYMENT INQUIRIES:	1	OPEN ACCTS:	2

	HIGH CRED	CRED LIMIT	BALANCE	PAST DUE	MNTHLY	AVAIL
REVOLVING:	\$19.2K	\$45.1K	\$5059	\$0	\$135	89%
OPEN:	\$469	\$	\$0	\$0		100%
MORTGAGE:	\$116K	\$	\$35.0K	\$0	\$630	
TOTALS:	\$135K	\$45.1K	\$40.0K	\$0	\$765	

THE FOLLOWING ACCOUNT INFORMATION IS PRINTED IN ORDER BY MOST NEGATIVE MANNER  
OF PAYMENT (MOP) AND DATE MOST RECENTLY UPDATED.

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THE FOLLOWING COMPANIES HAVE REQUESTED THE SUBJECT'S FILE FOR EMPLOYMENT USE:

DATE	SUBCODE	SUBSCRIBER NAME
02/13/1998	Z 4252	US DEPT OF JUSTICE 935 PENNSYLVANIA A, ROOM WASHINGTON, DC 20535

-----  
END OF PEER REPORT - SERVICED BY:

TRANS UNION CORPORATION  
760 W. SPROUL ROAD, PO BOX 390  
SPRINGFIELD, PA 19064-0390  
800-888-4213

COPYRIGHTED TRANS UNION 1994  
A SUMMARY OF YOUR RIGHTS UNDER THE FAIR CREDIT REPORTING ACT

THE FEDERAL FAIR CREDIT REPORTING ACT (FCRA) IS DESIGNED TO PROMOTE ACCURACY, FAIRNESS, AND PRIVACY OF INFORMATION IN THE FILES OF EVERY "CONSUMER REPORTING AGENCY" (CRA). MOST CRA'S ARE CREDIT BUREAUS THAT GATHER AND SELL INFORMATION ABOUT YOU -- SUCH AS IF YOU PAY YOUR BILLS ON TIME OR HAVE FILED BANKRUPTCY -- TO CREDITORS, EMPLOYERS, LANDLORDS, AND OTHER BUSINESSES. YOU CAN FIND THE COMPLETE TEXT OF THE FCRA, 15 U.S.C §§1681-1681U, AT THE FEDERAL TRADE COMMISSION'S WEB SITE ([HTTP://WWW.FTC.GOV](http://www.ftc.gov)). THE FCRA GIVES YOU SPECIFIC RIGHTS, AS OUTLINED BELOW. YOU MAY HAVE ADDITIONAL RIGHTS UNDER STATE LAW. YOU MAY CONTACT A STATE OR LOCAL CONSUMER PROTECTION AGENCY OR A STATE ATTORNEY GENERAL TO LEARN THOSE RIGHTS.

- YOU MUST BE TOLD IF INFORMATION IN YOUR FILE HAS BEEN USED AGAINST YOU. ANYONE WHO USES INFORMATION FROM A CRA TO TAKE ACTION AGAINST YOU -- SUCH AS DENYING AN APPLICATION FOR CREDIT, INSURANCE, OR EMPLOYMENT -- MUST TELL YOU, AND GIVE YOU THE NAME, ADDRESS, AND PHONE NUMBER OF THE CRA THAT PROVIDED THE CONSUMER REPORT.
- YOU CAN FIND OUT WHAT IS IN YOUR FILE. AT YOUR REQUEST, A CRA MUST GIVE YOU THE INFORMATION IN YOUR FILE, AND A LIST OF EVERYONE WHO HAS REQUESTED IT

RECENTLY. THERE IS NO CHARGE FOR THE REPORT IF A PERSON HAS TAKEN ACTION AGAINST YOU BECAUSE OF INFORMATION SUPPLIED BY THE CRA. IF YOU REQUEST THE REPORT WITHIN 60 DAYS OF RECEIVING NOTICE OF THE ACTION. YOU ALSO ARE ENTITLED TO ONE FREE REPORT EVERY TWELVE MONTHS UPON REQUEST IF YOU CERTIFY THAT (1) YOU ARE UNEMPLOYED AND PLAN TO SEEK EMPLOYMENT WITHIN 60 DAYS, (2) YOU ARE ON WELFARE, OR (3) YOUR REPORT IS INACCURATE DUE TO FRAUD. OTHERWISE, A CRA MAY CHARGE YOU UP TO EIGHT DOLLARS.

- YOU CAN DISPUTE INACCURATE INFORMATION WITH THE CRA. IF YOU TELL A CRA THAT YOUR FILE CONTAINS INACCURATE INFORMATION, THE CRA MUST INVESTIGATE THE ITEMS (USUALLY WITHIN 30 DAYS) BY PRESENTING TO ITS INFORMATION SOURCE ALL RELEVANT EVIDENCE YOU SUBMIT, UNLESS YOUR DISPUTE IS FRIVOLOUS. THE SOURCE MUST REVIEW YOUR EVIDENCE AND REPORT ITS FINDINGS TO THE CRA. (THE SOURCE ALSO MUST ADVISE NATIONAL CRA'S -- TO WHICH IT HAS PROVIDED THE DATA -- OF ANY ERROR.) THE CRA MUST GIVE YOU A WRITTEN REPORT OF THE INVESTIGATION, AND A COPY OF YOUR REPORT IF THE INVESTIGATION RESULTS IN ANY CHANGE. IF THE CRA'S INVESTIGATION DOES NOT RESOLVE THE DISPUTE, YOU MAY ADD A BRIEF STATEMENT IN FUTURE REPORTS. IF AN ITEM IS DELETED OR A DISPUTE STATEMENT IS FILED, YOU MAY ASK THAT ANYONE WHO HAS RECENTLY RECEIVED YOUR REPORT BE NOTIFIED OF THE CHANGE.
- INACCURATE INFORMATION MUST BE CORRECTED OR DELETED. A CRA MUST REMOVE OR CORRECT INACCURATE OR UNVERIFIED INFORMATION FROM ITS FILES, USUALLY WITHIN 30 DAYS AFTER YOU DISPUTE IT. HOWEVER, THE CRA IS NOT REQUIRED TO REMOVE ACCURATE DATA FROM YOUR FILE UNLESS IT IS OUTDATED (AS DESCRIBED BELOW) OR CANNOT BE VERIFIED. IF YOUR DISPUTE RESULTS IN ANY CHANGE TO YOUR REPORT, THE CRA CANNOT REINSERT INTO YOUR FILE A DISPUTED ITEM UNLESS THE INFORMATION SOURCE VERIFIES ITS ACCURACY AND COMPLETENESS. IN ADDITION, THE CRA MUST GIVE YOU A WRITTEN NOTICE TELLING YOU IT HAS REINSERTED THE ITEM. THE NOTICE MUST INCLUDE THE NAME, ADDRESS AND PHONE NUMBER OF THE INFORMATION SOURCE.
- YOU CAN DISPUTE INACCURATE ITEMS WITH THE SOURCE OF THE INFORMATION. IF YOU TELL ANYONE -- SUCH AS A CREDITOR WHO REPORTS TO A CRA -- THAT YOU DISPUTE AN ITEM THEY MAY NOT THEN REPORT THE INFORMATION TO A CRA WITHOUT INCLUDING A NOTICE OF YOUR DISPUTE. IN ADDITION, ONCE YOU'VE NOTIFIED THE SOURCE OF THE ERROR IN WRITING, IT MAY NOT CONTINUE TO REPORT THE INFORMATION IF IT IS, IN FACT, AN ERROR.
- OUTDATED INFORMATION MAY NOT BE REPORTED. IN MOST CASES, A CRA MAY NOT REPORT NEGATIVE INFORMATION THAT IS MORE THAN SEVEN YEARS OLD; TEN YEARS FOR BANKRUPTCIES.
- ACCESS TO YOUR FILE IS LIMITED. A CRA MAY PROVIDE INFORMATION ABOUT YOU ONLY TO PEOPLE WITH A NEED RECOGNIZED BY THE FCRA -- USUALLY TO CONSIDER AN APPLICATION WITH A CREDITOR, INSURER, EMPLOYER, LANDLORD, OR OTHER BUSINESS.
- YOUR CONSENT IS REQUIRED FOR REPORTS THAT ARE PROVIDED TO EMPLOYERS, OR REPORTS THAT CONTAIN MEDICAL INFORMATION. A CRA MAY NOT GIVE OUT INFORMATION ABOUT YOU TO YOUR EMPLOYER, OR PROSPECTIVE EMPLOYER, WITHOUT YOUR WRITTEN CONSENT. A CRA MAY NOT REPORT MEDICAL INFORMATION ABOUT YOU TO CREDITORS, INSURERS, OR EMPLOYERS WITHOUT YOUR PERMISSION.
- YOU MAY CHOOSE TO EXCLUDE YOUR NAME FROM CRA LISTS FOR UNSOLICITED CREDIT AND INSURANCE OFFERS. CREDITORS AND INSURERS MAY USE FILE INFORMATION AS THE BASIS FOR SENDING YOU UNSOLICITED OFFERS OF CREDIT OR INSURANCE. SUCH OFFERS MUST INCLUDE A TOLL-FREE PHONE NUMBER FOR YOU TO CALL IF YOU WANT YOUR NAME AND ADDRESS REMOVED FROM FUTURE LISTS. IF YOU CALL, YOU MUST BE KEPT OFF THE LISTS FOR TWO YEARS. IF YOU REQUEST, COMPLETE, AND RETURN THE CRA FORM PROVIDED FOR THIS PURPOSE, YOU MUST BE TAKEN OFF THE LISTS INDEFINITELY.

- YOU MAY SEEK DAMAGES FROM VIOLATORS. IF A CRA, A USER OR (IN SOME CASES) A PROVIDER OF CRA DATA, VIOLATES THE FCRA, YOU MAY SUE THEM IN STATE OR FEDERAL COURT.

THE FCRA GIVES SEVERAL DIFFERENT FEDERAL AGENCIES AUTHORITY TO ENFORCE THE FCRA:

FOR QUESTIONS OR CONCERNS REGARDING: PLEASE CONTACT:

CRA'S CREDITORS AND OTHERS NOT LISTED BELOW

FEDERAL TRADE COMMISSION  
CONSUMER RESPONSE CENTER - FCRA  
WASHINGTON, DC 20580  
202-326-3761

NATIONAL BANKS, FEDERAL BRANCHES / AGENCIES OF FOREIGN BANKS (WORD "NATIONAL" OR INITIALS "N.A." APPEAR IN OR AFTER BANK'S NAME)

OFFICE OF THE COMPTROLLER OF THE CURRENCY  
COMPLIANCE MANAGEMENT, MAIL STOP 6-6  
WASHINGTON, DC 20219  
800-613-6743

FEDERAL RESERVE SYSTEM MEMBER BANKS (EXCEPT NATIONAL BANKS, AND FEDERAL BRANCHES / AGENCIES OF FOREIGN BANKS)

FEDERAL RESERVE BOARD  
DIVISION OF CONSUMER & COMMUNITY AFFAIRS  
WASHINGTON, DC 20551  
202-452-3693

SAVINGS ASSOCIATIONS AND FEDERALLY CHARTERED SAVINGS BANKS (WORD "FEDERAL" OR INITIALS "F.S.B." APPEAR IN FEDERAL INSTITUTION'S

OFFICE OF THRIFT SUPERVISION  
CONSUMER PROGRAMS  
WASHINGTON, DC 20552  
800-842-6929

FEDERAL CREDIT UNIONS (WORDS "FEDERAL CREDIT UNION" APPEAR IN INSTITUTION'S NAME)

NATIONAL CREDIT UNION ADMINISTRATION  
1775 DUKE STREET  
ALEXANDRIA, VA 22314  
703-518-6360

STATE-CHARTERED BANKS THAT ARE NOT MEMBERS OF THE FEDERAL RESERVE SYSTEM

FEDERAL DEPOSIT INSURANCE CORPORATION  
DIVISION OF COMPLIANCE & CONSUMER AFFAIRS  
WASHINGTON, DC 20429  
800-934-FDIC

AIR, SURFACE, OR RAIL COMMON CARRIERS REGULATED BY FORMER CIVIL AERONAUTICS BOARD OR INTERSTATE

DEPARTMENT OF TRANSPORTATION  
OFFICE OF FINANCIAL MANAGEMENT  
WASHINGTON, DC 20590  
202-366-1306

ACTIVITIES SUBJECT TO THE PACKERS AND STOCKYARDS ACT, 1921

DEPARTMENT OF AGRICULTURE  
OFFICE OF DEPUTY ADMINISTRATOR - GIPSA  
WASHINGTON, DC 20250  
202-720-7051

APER0000LOOK  
GO

14BTZ 0000425200000100AP17NV MARGOLIS

DAVI

\*\*\* END OF REPORT ID: 02-13-1998/12:20:01 (ORN: 670) [B-0/F-0/P-0/O-0] \*\*\*

*sent*  
FROM: SPECIAL INQUIRY & GENERAL BACKGROUND INVESTIGATIONS UNIT, DIV 3, RM  
EXT:                      ATTN:                      [REDACTED]  
SUBJECT: DAVID MARGOLIS

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BUDED: 04/30/98

BUREAU FILE NUMBER: 077L-\*

THE BUREAU HAS BEEN REQUESTED TO CONDUCT AN EXPEDITE BACKGROUND INVESTIGATION OF THE ABOVE-CAPTIONED SUBJECT, WHO IS BEING CONSIDERED FOR PRESIDENTIAL APPOINTMENT. YOU ARE REQUESTED TO CHECK APPROPRIATE INDICES BASED UPON AVAILABLE INFORMATION CONCERNING SUBJECT, EMPLOYMENT, AND ALL CLOSE RELATIVES. IT IS REQUESTED THAT THE RESULTS OF YOUR CHECK, WHETHER POSITIVE OR NEGATIVE, BE INDICATED IN THE SPACES PROVIDED BELOW, AND RELAYED TO THE SPECIAL INQUIRY & GENERAL BACKGROUND INVESTIGATIONS UNIT, RM 4371 VIA ROUTING SLIP MARKED 'URGENT'.

*TRF*

SUBJECT IS DESCRIBED AS FOLLOWS:

RESULT NAME: + DAVID MARGOLIS

DOB: 12/18/39

POB: HARTFORD, CT

SSAN: 047-30-3300

CURRENT ADDRESS: [REDACTED]

EMPLOYMENT: US DEPT. OF JUSTICE/DOAG  
950 PENNSYLVANIA AVE WASHINGTON, DC 2053

*Please search  
FPC's and DOB  
32 and Below*

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CLOSE RELATIVES

RESULT	NAME	DOB	RESIDENCE
-----	-----	---	-----
-----	[REDACTED]	[REDACTED]	[REDACTED]
-----			[REDACTED] IL
-----			[REDACTED] VA
-----			[REDACTED] CT
-----			[REDACTED]

*+ MARTHA MARGOLIS* *06/04/08* *44 Avonwood RD Avon, CT.*

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b7c

\*UNABLE TO IDENTIFY WITH ARREST RECORD  
ON BASIS OF INFORMATION FURNISHED.  
FBI CJIS DIVISION.

CHECK CONDUCTED BY: *BR*, ON *1/23*

*3/24*

CIVIL APPLICANT RESPONSE

PCN 973659464711 CIDN OCA  
MARGOLIS, DAVID U 510 1939/12/18  
MNU SOC SEX M  
FPC PJ PI PI PM PJ PI PM PM PI PI  
HEN CLASS D 32 W IIM 11  
I 32 W MMI  
DC001347A DEP ATTY GEN PRT REC  
WASHINGTON DC 1998/02/03  
A SEARCH OF THE FINGERPRINTS ON THE ABOVE  
INDIVIDUAL HAS FAILED TO DISCLOSE PRIOR ARREST DATA.  
CJIS DIVISION  
1998/02/03 FEDERAL BUREAU OF INVESTIGATION

DC001347A  
US DEPT JUSTICE-OFFICE OF  
DEPUTY ATTORNEY GENERAL  
ROOM 6150-MAIN  
950 PENNSYLVANIA AVE NW  
WASHINGTON, DC 20530-0001

DO NOT MAIL

S P P E C I A A L  
SSSS PPPP EE C I A A L  
S P E C I A A L  
SSSS P EEEE CCCC IIIII A A LLLLL

SPECIAL INQUIRY & GENERAL BACKGROUND INVESTIGATIONS (SIGBIU)  
FEDERAL BUREAU OF INVESTIGATION  
RECORDS/OPERATIONS SECTIONS

DATE: 01/22/98

BUDED: 04/30/98

\*\*\*\*\*

~~X SERVICE UNIT, ROOM 5991~~

X RETURN TO:  ROOM 4371, EXT.

\*\*\*\*\*

SCOPE OF SEARCH: SECURE DATA INFORMATION SYSTEM

\*\*\*\*\*

TYPE OF SEARCH REQUESTED: ALL REFERENCES (SECURITY & CRIMINAL)

\*\*\*\*\*

FR UTD , 19

SPECIAL INSTRUCTIONS: X SIX WAY PHONETIC

SUBJECT IS DESCRIBED AS FOLLOWS:

NAME: <sup>NK</sup> MARGOLIS, DAVID \*

DOB: 12/18/39

POB: HARTFORD, CT \*

SSAN: 047-30-3300

LOCALITIES: VA

\*\*\*\*\*

RELATIVES: FR UTD , 19

SPECIAL INSTRUCTIONS: X THREE WAY SEARCH

RELATIONSHIP	NAME	DOB	LOCALITIES
MOTHER	<sup>NK</sup> MARTHA MARGOLIS	06/04/08	CT
CHILD	<div style="border: 1px solid black; width: 250px; height: 100px;"></div>	<div style="border: 1px solid black; width: 130px; height: 120px;"></div>	IL
CHILD			VA
BROTHER			CT
CURRENT SPOUSE			

NEE:

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b7C

3/24

MARGOLIS, DAVID (nmn)  
aka: David Margolis

12/18/39

047-30-3300

OFI 79 (FBI) 8-27-92

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aka:

me

aka:

Wa SC.

aka:

NR

aka:

NR

aka:

NR

aka:

NR

aka:

NR

aka:

(nmn)

(Cambodia)

Justice

DCII CHECK REQUEST FORM

\*\*\*\*\*  
\*\* SUBJECT IDENTIFICATION \*\*  
\*\*\*\*\*

PLEASE RETURN TO PSS  ROOM 4383.

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b7c

SUBJECT: MARGOLIS, DAVID

DOB: 39/12/18

SSAN: 047-30-3300

OTHER-NAMES:

CHECK CONDUCTED BY: BR ON: 1/22

3/24



\*01 MARGOLIS,DAVID  
\*\*\* RECORD NOT FOUND \*\*\*

SSN=047303300 DB=391218 SB= CB=

PF1 = HELP PF4 = RNTR PA2 = EXT  
PRINT \* 00 DEMAND = 00 DELETE = 00 CHANGE = 00 ADD \* 00 DDAS \* 00

4ÃŸ

NUM

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33

NCE

FROM:

EXT:

ATTN: PSS [REDACTED]

SUBJECT: DAVID MARGOLIS  
AKA(S):

BUDED: 04/30/98

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b7C

THE BUREAU HAS BEEN REQUESTED TO CONDUCT AN EXPEDITE BACKGROUND INVESTIGATION OF THE ABOVE-CAPTIONED SUBJECT, WHO IS BEING CONSIDERED FOR PRESIDENTIAL APPOINTMENT. YOU ARE REQUESTED TO CHECK APPROPRIATE INDICES BASED UPON AVAILABLE INFORMATION CONCERNING SUBJECT, EMPLOYMENT, AND ALL CLOSE RELATIVES. IT IS REQUESTED THAT THE RESULTS OF YOUR CHECK, WHETHER POSITIVE OR NEGATIVE, BE INDICATED IN THE SPACES PROVIDED BELOW, AND RELAYED TO THE

RM

VIA ROUTING SLIP  
MARKED 'URGENT'.

## SUBJECT IS DESCRIBED AS FOLLOWS:

RESULT NAME: DAVID MARGOLIS

DOB: 12/18/39

POB: HARTFORD, CT

SSAN: 047-30-3300

CURRENT ADDRESS: [REDACTED]

EMPLOYMENT: US DEPT. OF JUSTICE/ODAG  
950 PENNSYLVANIA AVE WASHINGTON, DC 2053

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## CLOSE RELATIVES

RESULT NAME

DOB

RESIDENCE

-----  
[REDACTED] MARTHA MARGOLIS

-----  
06/04/08 44 AVONWOOD RD  
AVON, CT

-----  
[REDACTED]

-----  
[REDACTED]

-----  
[REDACTED]

-----  
[REDACTED]

--	--

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CHECK CONDUCTED BY: [REDACTED] BR, ON 1/13

3/24

S P P E C I A A L  
SSSS PPPP EF C I A A L  
S P E C I A A L  
SSSS P EEE CCCC IIIII A A LLLLL

SPECIAL INQUIRY & GENERAL BACKGROUND INVESTIGATIONS (SIGBIU)  
FEDERAL BUREAU OF INVESTIGATION  
RECORDS/OPERATIONS SECTIONS

#581  
128-98

DATE: 01/22/98

BUDED: 04/30/98 JAN 28 1998

\*\*\*\*\*

X NAME SEARCHING UNIT, NEWINGTON ANNEX  
X FORWARD TO PICKETT STREET  
X FORWARD TO FILE REVIEW : RM 5931  
X RETURN TO: [REDACTED] ROOM 4371, EXT.

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\*\*\*\*\*

SCOPE OF SEARCH: UNRESTRICTED ACTIVE/INACTIVE (ADB)

\*\*\*\*\*

TYPE OF SEARCH REQUESTED: ALL REFERENCES (SECURITY & CRIMINAL)

\*\*\*\*\*

FR UTD , 19

SPECIAL INSTRUCTIONS: X SIX WAY PHONETIC

SUBJECT IS DESCRIBED AS FOLLOWS:

NAME: MARGOLIS, DAVID \*

*Raiton David*

DOB: 12/18/39  
POB: HARTFORD, CT \*  
SSAN: 047-30-3300  
LOCALITIES: VA

\*\*\*\*\*

RELATIVES: FR UTD , 19

SPECIAL INSTRUCTIONS: X THREE WAY SEARCH

RELATIONSHIP	NAME	DOB	LOCALITIES
MOTHER	MARTHA MARGOLIS	06/04/08	CT
CHILD	[REDACTED]	[REDACTED]	IL
CHILD	[REDACTED]		VA
BROTHER	[REDACTED]		CT
CURRENT SPOUSE	[REDACTED]		

NEE: [REDACTED]

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76957

## FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE WFO	OFFICE OF ORIGIN BUREAU	DATE 5/29/98	INVESTIGATIVE PERIOD 4/29/98 - 5/28/98
TITLE OF CASE DAVID (NMN) MARGOLIS		REPORT MADE BY IA <span style="border: 1px solid black; display: inline-block; width: 100px; height: 1.2em; vertical-align: middle;"></span>	TYPED BY: dom
		CHARACTER OF CASE BACKGROUND INVESTIGATION DEPARTMENT OF JUSTICE <i>Q dom 5/29/98</i>	

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b7C

REFERENCE: WFO report to Bureau, dated 05/20/98.

(RUC)

ADMINISTRATIVE: Buded: 4/30/98 (PAST)

Where appropriate, Privacy Act (e) (3) data was furnished to persons interviewed. Express promise of confidentiality, both limited and unlimited have been noted where granted.

This report is submitted past buded due to an outstanding U.S. Department of Justice, OPR inquiry.

APPROVED <i>[Signature]</i>	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW			
COPIES MADE: 2-Bureau (77L-HQ-1240842) Attn: <span style="border: 1px solid black; display: inline-block; width: 150px; height: 1.2em; vertical-align: middle;"></span> SIGBIU Room 4371 1-WFO (77L-HQ-1240842)					
DISSEMINATION RECORD OF ATTACHED REPORT		Notations			
Agency	DOS				
Request Recd.		4/17/93			
Date Fwd.	6/3/98	6/3/93			
How Fwd.					
By	ash	TR			

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3/24

UNITED STATES DEPARTMENT OF JUSTICE  
Federal Bureau of Investigation

Copy to:

Report of:

IA

Office: WFO

b6  
b7C

Date:

May 29, 1998

Case ID #:

77L-HQ-1240842

Title:

DAVID MARGOLIS

Character:

BACKGROUND INVESTIGATION - DEPARTMENT OF JUSTICE

Synopsis:

Agency check conducted at U.S. Department of Justice,  
OPR.

- RUC -

DETAILS:

AT WASHINGTON, D.C.

WFO 77L-HQ-1240842  
RLK:rlk  
1

RECORD CHECK

DEPARTMENT OF JUSTICE

Office of Professional Responsibility  
10th and Pennsylvania Avenue, Northwest  
Washington, D.C.

On April 29, 1998, Investigative Analyst (IA) [ ]  
[ ] requested a search of the files at the above mentioned  
agency in an effort to locate information concerning DAVID  
MARGOLIS.

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On May 18, 1998, [ ] for the  
Office of Professional Responsibility (OPR) informed IA [ ] that  
OPR records were located regarding DAVID MARGOLIS.

On May 28, 1998, IA [ ] reviewed the following OPR  
records regarding MARGOLIS and the following pertinent  
information was obtained:

CASE # 88-0191

MARGOLIS, DAVID  
CHIEF,

[ ] DEPUTY CHIEF,  
OCRS, CRIMINAL DIVISION,  
[ ] - COMPLAINANT

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ALLEGATION: IMPROPER REMOVAL OF STRIKE FORCE ATTORNEY  
[ ] FROM MCA CASE.

On February 16, 1988, OPR opened this investigation.  
Letter from [ ] dated 2/11/88, enclosing a copy of his  
letter to AG [ ] dated 2/2/88, alleging that MCA used its huge  
economic power and influence in Washington, D.C. to obstruct/  
compromise the Department's investigation by having Special  
Attorney [ ] removed from the investigation of  
organized crime ties to the music business.

On January 10, 1998, OPR closed report to file. No  
evidence of improper removal of [ ]

WFO 77L-HQ-1240842

RLK:rlk

2

CASE # 87-0064

MARGOLIS, DAVID, ET AL  
CHIEF, ORGANIZED CRIME AND RACKETEERING SECTION  
CRIMINAL DIVISION;  
CRIMINAL REFERRAL - COMPLAINANT

ALLEGATION: POSSIBLE OBSTRUCTION OF JUSTICE

On March 6, 1987, OPR opened this investigation. Memo from JOHN C. KEENEY, dated 3/6/87, with reference to discussion of this date, forwarding copy of a "Second Report to the Court" which is filed under seal with the court in Chicago.

On December 15, 1988, OPR closed report to file. No misconduct on the part of DOJ employees.

No additional information was located in the file.





UNITED STATES DEPARTMENT OF JUSTICE  
Federal Bureau of Investigation

Copy to:

Report of:

IA

[Redacted]

Office: WFO

b6  
b7C

Date:

May 20, 1998

Case ID #:

77L-HQ-1240842

Title:

DAVID MARGOLIS

Character:

BACKGROUND INVESTIGATION - DEPARTMENT OF JUSTICE

Synopsis:

Agency check conducted at U.S. Department of Justice, IG.

- RUC -

DETAILS:

AT WASHINGTON, D.C.

WFO 77L-HQ-1240842

RLK:rlk

1

RECORD CHECK

DEPARTMENT OF JUSTICE

Office of the Inspector General  
14th & New York Avenue, Northwest  
Washington, D.C.

On April 29, 1998, Investigative Analyst (IA) [ ]  
[ ] requested a search of the records at the above mentioned  
agency in an effort to locate information regarding DAVID  
MARGOLIS.

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On May 8, 1998, [ ] Investigative  
Assistant at the Office of the Inspector General, informed IA  
[ ] that no identifiable record was found regarding DAVID  
MARGOLIS.

76957

## FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE WFO	OFFICE OF ORIGIN BUREAU	DATE 5/13/98	INVESTIGATIVE PERIOD 4/28/98 - 5/8/98
TITLE OF CASE DAVID (NMN) MARGOLIS		REPORT MADE BY IA [REDACTED]	TYPED BY: dom
		CHARACTER OF CASE BACKGROUND INVESTIGATION DEPARTMENT OF JUSTICE <i>dom 5/13/98</i>	

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b7C

REFERENCE: WFO report to Bureau, dated 02/10/98.

(P)

ADMINISTRATIVE: Buded: 4/30/98 (PAST)

Where appropriate, Privacy Act (e) (3) data was furnished to persons interviewed. Express promise of confidentiality, both limited and unlimited have been noted where granted.

This report is submitted pending and past buded due to an outstanding U.S. Department of Justice, OPR inquiry. (IG)

*Indices searches conducted concerning candidate & relatives through ACS by PSS [REDACTED] on 5/21. No pertinent information was located concerning candidate & no records found regarding relatives.*

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APPROVED <i>[Signature]</i>	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW			
COPIES MADE: (2-Bureau (77L-HQ-1240842) Attn: [REDACTED] SIGBIU Room 4371 1-WFO (77L-HQ-1240842)					
DISSEMINATION RECORD OF ATTACHED REPORT		Notations			
Agency	<i>DOS</i>				
Request Recd.	<i>5/22/98</i>	<i>4/17/93</i>			
Date Fwd.	<i>5/22/98</i>	<i>6/3/93</i>			
How Fwd.	<i>1st</i>	<i>TW</i>			
By	<i>[Signature]</i>				

b7E

UNITED STATES DEPARTMENT OF JUSTICE  
Federal Bureau of Investigation

Copy to:

Report of:

IA

May 13, 1998

Office: WFO

b6

b7C

Case ID #:

77L-HQ-1240842

Title:

DAVID MARGOLIS

Character:

BACKGROUND INVESTIGATION - DEPARTMENT OF JUSTICE

Synopsis:

Agency checks conducted at D.C. Bar, United States Attorney's Office, Alexandria, Virginia and Washington, D.C., U.S. Department of Justice, OPF. Appropriate arrest checks conducted.

- P -

DETAILS:

AT WASHINGTON, D.C.

WFO 77L-HQ-1240842

SDB:sdb

1

RECORD CHECK

BAR MEMBERSHIP  
DISTRICT OF COLUMBIA BAR  
1250 H Street, N.W.,  
Washington, D.C.

On April 28, 1998, Investigative Analyst (IA) [REDACTED]  
[REDACTED] contacted the District of Columbia Bar (D.C. BAR),  
Washington, D.C., concerning DAVID MARGOLIS, and was advised of  
the following:

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On April 29, 1998, [REDACTED]  
[REDACTED] D.C. Bar, advised IA [REDACTED] that a search of  
the files disclosed no records identifiable with the applicant.

WFO 77L-HQ-1240842

JSC:jsc

1

RECORD CHECK

UNITED STATES ATTORNEY'S OFFICE  
Eastern District of Virginia  
2100 Jamieson Avenue  
Alexandria, Virginia

On April 28, 1998, Investigative Analyst (IA) [redacted] [redacted] contacted [redacted] United States Attorney's Office (USAO), Eastern District of Virginia concerning DAVID MARGOLIS.

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On April 29, 1998, [redacted] conducted a search of all civil and criminal indexes both pending and closed and advised the following:

A search of the promise database, which covers the period from 1985 to the present and encompasses the Alexandria, Newport News, Norfolk, and Richmond regional areas in addition to the United States Magistrate Courts, disclosed no record concerning MARGOLIS.

[redacted] further advised that an additional search of their Index Card System which covers the period prior to the year 1985, at the United States Attorney's Office, Alexandria, Virginia, also revealed no record concerning MARGOLIS.

No additional information was available regarding MARGOLIS.

WFO 77L-HQ-1240842

CET:cet

1

ARREST CHECK

UNITED STATES DEPARTMENT OF JUSTICE  
Office of the United States Attorney  
Judiciary Center  
555 Fourth Street, N.W.,  
Washington, D.C. 20001

On APRIL 28, 1998, the following investigation was initiated by Investigative Analyst (IA) [REDACTED] at captioned agency concerning the applicant DAVID MARGOLIS.

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On APRIL 28, 1998, [REDACTED] U.S. Attorney's Office, Narcotics, Public Corruption, Economic Crime, Transnational and Major Crime Unit, advised that no identifiable record could be located regarding the applicant.

On APRIL 28, 1998, IA [REDACTED] caused a search to be made of the files of the Civil Division.

On MAY 12, 1998, [REDACTED] of the Civil Division advised IA [REDACTED] that no identifiable record could be located regarding the applicant.

It should be noted that the index system of the Civil Division, U.S. Attorney's Office (USAO) contains only names of plaintiffs, except in civil actions brought by the United States against a particular defendant. Suits against government employees who are represented by the United States Attorney would be filed by plaintiff's name and docket number.

WFO 77L-HQ-1240842

ARC:arc

1

EMPLOYMENT

U.S. DEPARTMENT OF JUSTICE (DOJ)  
Central Processing Unit (CPU)  
1331 Pennsylvania Avenue  
Washington, D.C.

On April 28, 1998, Investigative Analyst (IA) [ ]  
[ ] received an investigative lead concerning the candidate,  
DAVID MARGOLIS.

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On May 8, 1998, IA [ ] manually searched the  
records, at the captioned agency, in an effort to review the  
Official Personnel Folder (OPF) of the candidate, MARGOLIS,  
Social Security Account Number: 047-30-3300, date of birth:  
December 18, 1939. The following information that was obtained  
is based on a 5 year scope.

<u>DATE</u>	<u>ACTION</u>
September 30, 1993	SES Performance Award \$5,400.00 Senior Counsel Criminal Division Office of the Assistant Attorney General Department of Justice
August 22, 1993	Special Act or Service Award \$10,000.00
January 2, 1994	Reassignment Associate Deputy Attorney General Office of the Deputy Attorney General Immediate Office of the Deputy Attorney General Washington, D.C.

There was no additional pertinent information.



FO D-HQ-1240842  
TMT:tlc

1

LAW ENFORCEMENT AGENCY CHECK

NATIONAL PARK SERVICE  
United States Park Police Department  
1100 Ohio Drive, Southwest  
Washington, D.C.

On April 28, 1998, Investigative Analyst (IA) [REDACTED]  
[REDACTED] caused a search to be made of the files of the United  
States Park Police Department, 1100 Ohio Drive, Southwest,  
Washington, D.C., concerning DAVID MARGOLIS.

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On April 28, 1998, [REDACTED]  
advised that no identifiable Adult Criminal record could be  
located concerning MARGOLIS.

WFO 77L-HQ-1240842

DOM:dom

1

ARREST CHECK

VIRGINIA STATE POLICE  
CENTRAL CRIMINAL RECORDS EXCHANGE  
Richmond, Virginia

On April 29, 1998, [REDACTED] conducted a computerized search of the Central Criminal Records Exchange (CCRE), Virginia State Police (VSP), Richmond, Virginia, pertaining to candidate, DAVID MARGOLIS. The search failed to reflect any information identifiable with candidate MARGOLIS.

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WFO 77L-HQ-1240842

DOM:dom

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LAW ENFORCEMENT AGENCY CHECK

METROPOLITAN POLICE DEPARTMENT

300 Indiana Avenue Northwest

Washington, D.C.

On April 29, 1998, [REDACTED] caused a search to be made of the records of the Metropolitan Police Department, Washington, D.C., and was advised that no record was located concerning candidate DAVID MARGOLIS.

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77L-HQ-1240842  
LMT/ldt

1

RECORD CHECK

FAIRFAX COUNTY POLICE DEPARTMENT  
10600 Page Avenue,  
Fairfax, Virginia

On May 5, 1998, Investigative Analyst (IA) [ ]  
[ ] caused a search to be made of the files of the Fairfax  
County Police Department, Fairfax, Virginia, and was advised that  
there was no record found concerning, DAVID MARGOLIS.

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It should be noted that only class 3 and 4 misdemeanors  
subsequent to July 1, 1973, are available and that this record  
check request is restricted to offenses not reportable to the  
CENTRAL CRIMINAL RECORDS EXCHANGE (CCRE). Unauthorized  
dissemination will subject the disseminator to criminal and civil  
penalties.

✓  
WFO 77L-HQ-1240842  
LDP:ldt

1

RECORD CHECK

FAIRFAX CITY POLICE DEPARTMENT  
3730 Old Lee Highway  
Fairfax, Virginia

On May 5, 1998, Investigative Analyst (IA) [ ]  
[ ] caused a search to be made of the files of the Fairfax  
City Police Department, at Fairfax, Virginia, and was advised  
that no record was located concerning, DAVID MARGOLIS.

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It should be noted that this record check request is  
restricted to the Offenses NOT REPORTABLE to the CENTRAL CRIMINAL  
RECORDS EXCHANGE (CCRE) covering the period January 1, 1988 to  
the present. Unauthorized dissemination will subject the  
disseminator to criminal and civil penalties.

16957

PCD  
5/19

Memorandum

TO:

DATE: MAY 21 1998



XXX Office of Security Programs  
Attn: [redacted]  
Main Justice, Room 6525

Office of Policy Development  
U.S. Department of Justice  
Attn: [redacted]  
Main Justice, Room 4229

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Office of Personnel Management  
Attn: [redacted]  
Investigations Service  
Box 886  
Washington, D.C. 20044-0886

Office of Attorney Personnel Management  
Attn: [redacted]  
Main Justice, Room 3525

The White House  
Attn: [redacted]  
Counsel to the President

Administrative Office of the U.S. Courts  
Attn: [redacted]  
Personnel Security Specialist  
Room 5-543, One Columbus Circle, N.E.

Executive Office for U.S. Attorneys  
Security Program Staff  
600 E Street, N.W., Room 8200

Office of Intelligence Policy and Review  
Main Justice, Room 6325

Office of the Pardon Attorney  
Attn: [redacted]  
4th Floor, 500 1st Street, N.W.

Executive Office for U.S. Trustees  
901 E Street, N.W., Room 732

From: [redacted] Chief JAB/2WS  
Special Inquiry and General Background Investigations Unit

Subject: DAVID MARGOLIS

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In response to your request of January 15, 1998, enclosed are the partial results of our background investigation regarding Mr. David Margolis. Additional investigation is outstanding, the results of which will be provided upon completion. Should you have any questions regarding this matter, please contact Supervisory Personnel Security Specialist [redacted] at [redacted].

Status of Investigation: XXX Partial Closed

RSH:rsh (2)  
PARTIAL

NOTE: This case was opened on 2/10/98. Mr. Margolis is being reinvestigated in connection with his current position as Associate Deputy Attorney General, Department of Justice, Washington, D.C.

Mr. Margolis' reinvestigation is favorable and complete, except for reviews of the records of the Offices of the Inspector General and Professional Responsibility at the Department of Justice (WFO).

The following footnote corresponds to information located in the Agency Checks section of summary memorandum dated 5/21/98:

\* 77-97035, now 77L-HQ-1240842

Dep. Dir. \_\_\_\_\_  
Chief of \_\_\_\_\_  
Staff \_\_\_\_\_  
Off. of Gen. \_\_\_\_\_  
Counsel \_\_\_\_\_  
Asst. Dir.: \_\_\_\_\_  
Crim. Inv. \_\_\_\_\_  
C.I.S. \_\_\_\_\_  
Finance \_\_\_\_\_  
Info. Res. \_\_\_\_\_  
Insp. \_\_\_\_\_  
Lab. \_\_\_\_\_  
National Sec. \_\_\_\_\_  
Personnel \_\_\_\_\_  
Training \_\_\_\_\_  
Off. of EGOA \_\_\_\_\_  
Off. of Public \_\_\_\_\_  
& Cong. Affs. \_\_\_\_\_  
Director's Office \_\_\_\_\_

MAIL ROOM ☐

77L-HQ-1240842-18

FBI/DOJ

Memorandum

76959

PCD  
5/19



TO:

DATE:

JUN 3 1998

XXX Office of Security Programs  
Attn: [redacted]  
Main Justice, Room 6525

Office of Policy Development  
U.S. Department of Justice  
Attn: [redacted]  
Main Justice, Room 4229

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b7C

Office of Personnel Management  
Attn: [redacted]  
Investigations Service  
Box 886  
Washington, D.C. 20044-0886

Office of Attorney Personnel Management  
Attn: [redacted]  
Main Justice, Room 3525

The White House  
Attn: [redacted]  
Counsel to the President

Administrative Office of the U.S. Courts  
Attn: [redacted]  
Personnel Security Specialist  
Room 5-543, One Columbus Circle, N.E.

Executive Office for U.S. Attorneys  
Security Program Staff  
600 E Street, N.W., Room 8200

Office of Intelligence Policy and Review  
Main Justice, Room 6325

Office of the Pardon Attorney  
Attn: [redacted]  
4th Floor, 500 1st Street, N.W.

Executive Office for U.S. Trustees  
901 E Street, N.W., Room 732

From: [redacted] Chief [signature]  
Special Inquiry and General Background Investigations Unit

Subject: DAVID MARGOLIS

Reference is made to your request of January 15, 1998, and my memorandum dated May 21, 1998, enclosing the partial results of our investigation regarding Mr. David Margolis. The enclosed completes our investigation. Should you have any questions regarding this matter, please contact Supervisory Personnel Security Specialist [redacted] at [redacted]

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b7C

Status of Investigation: Partial XXX Closed

RSH:rsh (2)

CLOSED

NOTE: Records of the Offices of the Inspector General and Professional Responsibility at the Department of Justice were reviewed. Mr. Margolis' investigation is favorable and complete.

This is an FBI delay. Reason 09 - Investigation conducted after BUDED (WFO).

77L-HQ-1240842-19

Dep. Dir. \_\_\_\_\_  
Chief of \_\_\_\_\_  
Staff \_\_\_\_\_  
Off. of Gen. \_\_\_\_\_  
Counsel \_\_\_\_\_  
Asst. Dir.: \_\_\_\_\_  
Crim. Inv. \_\_\_\_\_  
CIS \_\_\_\_\_  
Finance \_\_\_\_\_  
Info. Res. \_\_\_\_\_  
Insp. \_\_\_\_\_  
Lab. \_\_\_\_\_  
National Sec. \_\_\_\_\_  
Personnel \_\_\_\_\_  
Training \_\_\_\_\_  
Off. of EEOA \_\_\_\_\_  
Off. of Public \_\_\_\_\_  
& Cong. Affs. \_\_\_\_\_  
Director's Office \_\_\_\_\_

MAIL ROOM ☐

FBI/DOJ



## Memorandum

To: RMD Pickoff St

Date 7-28-07

From: WFO

Subject: David Margolis  
(Title)☐ RUC☒ File Destruction Program

77J-HQ-47035

Enclosed are 1 items.

These items are forwarded to your office since file meets criteria for destruction.

Enclosures are described as follows:

- ☐ Original Notes.
- ☐ Original FD-302's.
- ☐ Laboratory and/or Technical Reports.
- ☒ Miscellaneous Documents. Indexing

CT

77HQ-1240842

Enc.

Note: Do Not Block Stamp Original Enclosures.



TRANSMIT VIA: AIRTEL

CLASSIFICATION: \_\_\_\_\_

DATE: 8/26/92

FROM: Director, FBI (77J-HQ-97035)

TO: SACs, Bics Region I - Enc. (1)

Boston - Enc. (1)

New Haven - Enc. (1)

Richmond - Enc. (1)

WMFO - Enc. (1)

DAVID (NMN) ~~MARGOLIS~~  
 BACKGROUND REINVESTIGATION  
 DEPARTMENT OF JUSTICE  
 BUDED: 10/13/92  
 OO: BUREAU

Attached for each receiving office is a copy of  
 Standard Form 86 (SF-86) and appropriate forms.

**THIS INVESTIGATION WILL COVER A TEN-YEAR SCOPE.**

Captioned individual is currently employed with the  
 Department of Justice, Washington, DC, as Acting Deputy Assistant  
 Attorney General. Captioned individual was the subject of a  
 previous applicant-type investigation by the FBI in (April 1965).  
This investigation is being updated and will cover a TEN-YEAR  
 scope. The following previous file numbers are set forth:

New Haven	77-4838; 67-5685
Boston	67-15953
WMFO	77-8067; 67-67-63217

Submit results of current investigation under file  
 number 77J-HQ-97035. All offices note at the time this case was  
 opened Bufile 67-612022, containing the results of candidate's  
 previous BI could not be located.

Investigators should review Manual of Investigative  
 Operations and Guidelines (MIOG), Part II, Section 17, and Part  
 I, Section 77. It would also be helpful to review MIOG, Part II,  
 Section 23-6 and the Manual of Administrative Operations and  
 Procedures (MAOP), Part II, Section 10-13.3.5.

77J-HQ-97035-2

SEARCHED	INDEXED
SERIALIZED	FILED
AUG 27 1992	

8/27/92

FMT 08/31/92

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RE: DAVID (NMN) MARGOLIS

All investigation should be submitted to the General Background Investigation Unit, Room 4383, Attention: PSS [REDACTED] FTS 324-4229, in report format to include headings and subheadings. All indices information should be reported in the Administrative Section or by separate communication.

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EACH PERSON INTERVIEWED MUST PROVIDE COMMENTS REGARDING CHARACTER, ASSOCIATES, REPUTATION, LOYALTY, ABILITIES, BIAS/PREJUDICE AND FINANCES. ALSO, ENSURE EACH INTERVIEW CONTAINS COMMENTS CONCERNING ANY PAST/PRESENT ILLEGAL DRUG USE, PRESCRIPTION DRUG AND ALCOHOL ABUSE.

Education verification should include dates of attendance, GPA/scale and class standing, honors/disciplinary action, financial aid and campus police records checks. Interview professors if attendance is within the past three years.

Employment investigation should include interview of supervisor, three co-workers and review of personnel records verifying dates.

Neighborhood investigation should include interview of three neighbors for residences of past five years, verifying dates. If rental property, also interview landlord/manager to include payment and complaint information. Identify/interview current roommates; immediately advise FBIHQ regarding roommate information.

In all DOJ reinvestigation cases (77J-M), ensure the investigation includes at least three (3) developed sources (individuals not provided by the candidate) who have, to the extent practical, social knowledge of the candidate. Developed sources may include other associates, neighbors, co-workers (peers/support employees), etc.

LEADS:

ALL OFFICES: Check records of the U.S. Attorney's Office at all places of residence, education, and employments.

BICS REGION 1: Interview candidate at inception of investigation and record specific answers to each question in MIOG, Part II, Section 17-5.6 (a-m) on FD-302 (any additional information obtained from the candidate during investigation must also be reported on FD-302). Candidate should be advised the scope of the questions asked during the initial interview is not limited to the time frame noted on the SF-86 and their responses should reflect activities during their entire life. FD-302 SHOULD INCLUDE A STATEMENT TO THAT EFFECT. Obtain full details of positive responses and set appropriate leads via teletype.

RE: DAVID (NMN) MARGOLIS

BICS REGION 1: At candidate's current employer [REDACTED] [REDACTED] personnel file, and interview listed supervisor [REDACTED] at least three (3) co-workers. If unable, so state.

During neighborhood investigation, interview neighbors in addition to those listed on application. If no others are available, so state.

Review Official Personnel File (OPF) and verify all Federal employments.

Obtain duplicate of candidate's certified copy of his divorce and attached to investigative report.

NEW HAVEN: Verify candidate's date/place of birth.

NEW HAVEN/WMFO: Conduct appropriate indices checks on candidate and listed relatives.

RICHMOND: Check DMV records for the last five years.

RICHMOND/WMFO: Check State bar and grievance records. Obtain information such as date of admission, current status, and whether any complaints/grievances were filed.

WMFO: Check appropriate Security/IG records.